

# Part III Representation Theory

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## Contents

<b>0 Preliminaries</b>	<b>2</b>
<b>1 Semisimple algebras</b>	<b>4</b>
<b>2 Irreducible modules for the symmetric group</b>	<b>8</b>
<b>3 Standard basis of Specht modules</b>	<b>12</b>
<b>4 Character formula</b>	<b>14</b>
<b>5 The hook length formula</b>	<b>21</b>
<b>6 Multilinear algebra and algebraic geometry</b>	<b>24</b>
<b>Interlude: some reminders about affine varieties</b>	<b>29</b>
<b>7 Schur–Weyl duality</b>	<b>31</b>
<b>8 Tensor decomposition</b>	<b>34</b>
<b>9 Polynomial and rational representations of <math>GL(V)</math></b>	<b>37</b>
<b>Interlude: some reminders about characters of <math>GL_n(\mathbb{C})</math></b>	<b>40</b>
<b>10 Weyl character formula</b>	<b>41</b>
<b>11 Introduction to invariant theory and first examples</b>	<b>44</b>
<b>12 First fundamental theorem of invariant theory</b>	<b>50</b>
<b>Example sheet 1</b>	<b>53</b>
<b>Example sheet 2</b>	<b>57</b>

*Last updated Thursday 21 March 2013*

## 0 Preliminaries

In this course we will study representations of

- (a) (finite) symmetric groups
- (b) (infinite) general linear groups

all over  $\mathbb{C}$ , and apply the theory to “classical” invariant theory.

We require no previous knowledge, except for some commutative algebra, ordinary representation theory and algebraic geometry, as outlined below.

### Commutative algebra

**References:** Part III course, Atiyah–Macdonald.

It is assumed that you will know about rings, modules, homomorphisms, quotients and chain conditions (the ascending chain condition, ACC, and descending chain condition, DCC).

**Recall:** a **chain**, or **filtration**, of submodules of a module  $M$  is a sequence  $(M_j : 0 \leq j \leq n)$  such that

$$M = M_0 > M_1 > \cdots > M_n > 0$$

The **length** of the chain is  $n$ , the number of links. A **composition series** is a maximal chain; equivalently, each quotient  $M_{j-1}/M_j$  is **irreducible**.

**Results:**

1. Suppose  $M$  has a composition series of length  $n$ . Then every composition series of  $M$  has length  $n$ , and every chain in  $M$  can be extended to a composition series.
2.  $M$  has a composition series if and only if it satisfies ACC and DCC.

Modules satisfying both ACC and DCC are called **modules of finite length**. By (1), all composition series of  $M$  have the same length, which we denote by  $\ell(M)$  and call the **length** of  $M$ .

3. (**Jordan–Hölder**) If  $(M_i)$  and  $(M'_i)$  are composition series then there exists a one-to-one correspondence between the set of quotients  $(M_{i-1}/M_i)$  and the set of quotients  $(M'_{i-1}/M'_i)$  such that the corresponding quotients are isomorphic.

**Remark.** For a vector space  $V$  over a field  $K$ , the following are equivalent:

- (i) finite dimension
- (ii) finite length
- (iii) ACC
- (iv) DCC

and if these conditions are satisfied then  $\ell(V) = \dim(V)$ .

### (Ordinary) representation theory (of finite groups)

**References:** Part II course, James–Liebeck, Curtis–Reiner Vol. 1, §1 below.

Work over  $\mathbb{C}$  or any field  $K$  where  $\text{char}(K) \nmid |G|$ . Let  $V$  be a finite-dimensional vector space.

- $\text{GL}(V)$  is the **general linear group** of  $K$ -linear automorphisms of  $V$ .
- If  $\dim_K(V) = n$ , choose a basis  $e_1, \dots, e_n$  of  $V$  over  $K$  to identify it with  $K^n$ . Then  $\theta \in \text{GL}(V) \leftrightarrow A_\theta = (a_{ij}) \in \text{M}_n(K)$ , where

$$\theta(e_j) = \sum_{i=1}^n a_{ij} e_i$$

and, in fact,  $A_\theta \in \text{GL}_n(K)$ . This gives rise to a group isomorphism  $\text{GL}(V) \cong \text{GL}_n(K)$ .

- Given  $G$  and  $V$ , a **representation** of  $G$  on  $V$  is a homomorphism

$$\rho = \rho_V : G \rightarrow \text{GL}(V)$$

- $G$  **acts linearly** on  $V$  if there is a **linear action**  $G \times V \rightarrow V$ , given by  $(g, v) \mapsto g \cdot v$ , where
  - (a) **(action)**  $(g_1 g_2) \cdot v = g_1 \cdot (g_2 \cdot v)$  and  $e \cdot v = v$
  - (b) **(linearity)**  $g \cdot (v_1 + v_2) = g \cdot v_1 + g \cdot v_2$ ,  $g \cdot (\lambda v) = \lambda(g \cdot v)$
- If  $G$  acts linearly on  $V$  then the map  $G \rightarrow \text{GL}(V)$  given by  $g \mapsto \rho_g$ , where  $\rho_g(v) = g \cdot v$ , defines a representation of  $G$  on  $V$ . Conversely, given a representation  $\rho : G \rightarrow \text{GL}(V)$  we have a linear action of  $G$  on  $V$  given by  $g \cdot v = \rho(g)v$ .

In this case, we say  $V$  is a  **$G$ -space** or  **$G$ -module**. By linear extension,  $V$  is a  $KG$ -module, where  $KG$  is the **group algebra** – more to come later.

For finite groups you should know

- If  $S$  is an irreducible  $KG$ -module then  $S$  is a composition factor of  $KG$
- (*Maschke's theorem*) If  $G$  is finite and  $\text{char}(K) \nmid |G|$ , then every  $KG$ -module is completely reducible; or equivalently, every submodule is a summand.
- The number of inequivalent (ordinary) irreducible representations of  $G$  is equal to the number of conjugacy classes of  $G$ .
- If  $S$  is an irreducible  $\mathbb{C}G$ -module and  $M$  is any  $\mathbb{C}G$ -module, then the number of composition factors of  $M$  isomorphic to  $S$  is equal to  $\dim \text{Hom}_{\mathbb{C}G}(S, M)$ .

## Algebraic geometry

**References:** Part II course, Reid, §6 below.

You should know about affine varieties, polynomial functions, the Zariski topology (in particular, Zariski denseness) and the Nullstellensatz.

# 1 Semisimple algebras

**Conventions** All rings are associative and have an identity, which we denote by 1 or  $1_R$ . Modules are always left  $R$ -modules.

**(1.1) Definition** A  $\mathbb{C}$ -**algebra** is a ring  $R$  which is also a  $\mathbb{C}$ -vector space, whose notions of addition coincide, and for which

$$\lambda(rr') = (\lambda r)r' = r(\lambda r')$$

for all  $r, r' \in R$  and  $\lambda \in \mathbb{C}$ . There are obvious notions of **subalgebras** and **algebra homomorphisms**.

Usually, our algebras will be finite-dimensional over  $\mathbb{C}$ .

## (1.2) Examples and remarks

(a)  $\mathbb{C}$  is a  $\mathbb{C}$ -algebra, and  $M_n(\mathbb{C})$  is a  $\mathbb{C}$ -algebra.

If  $G$  is a finite group then the **group algebra**  $\mathbb{C}G = \{\sum_g \alpha_g g : \alpha_g \in \mathbb{C}\}$  is a  $\mathbb{C}$ -algebra with

- pointwise addition:  $\sum_g \alpha_g g + \sum_g \beta_g g = \sum_g (\alpha_g + \beta_g) g$
- multiplication:  $\sum_g \left( \sum_{hh'=g} \alpha_h \beta_{h'} \right) g$

Recall the correspondence between representations of  $G$  over  $\mathbb{C}$  and finite-dimensional  $\mathbb{C}G$ -modules.

(b) If  $R, S$  are  $\mathbb{C}$ -algebras then the **tensor product**  $R \otimes S = R \otimes_{\mathbb{C}} S$  is a  $\mathbb{C}$ -algebra. [More on  $\otimes$  can be found in Atiyah–MacDonald p. 30.]

(c) If  $1_R = 0_R$  then  $R = \{0_R\}$  is the **zero ring**. Otherwise, for  $\lambda, \mu \in \mathbb{C}$ , we have  $\lambda 1_R = \mu 1_R$  if and only if  $\lambda = \mu$ , so we can identify  $\lambda \in \mathbb{C}$  with  $\lambda 1_R \in R$ .

With the identification  $\mathbb{C} \hookrightarrow R$  we can view  $\mathbb{C}$  as a subalgebra of  $R$ .

(d) An  $R$ -module  $M$  becomes a  $\mathbb{C}$ -space via  $\lambda m = (\lambda 1_R)m$  for  $\lambda \in \mathbb{C}, m \in M$ .

Given any  $R$ -module  $N$ ,  $\text{Hom}_R(M, N)$  is a  $\mathbb{C}$ -subspace of  $\text{Hom}_{\mathbb{C}}(M, N)$ . In particular, it is a  $\mathbb{C}$ -space, with structure

$$(\lambda \phi)(m) = \lambda \phi(m) = \phi(\lambda m) \quad \forall \lambda \in \mathbb{C}, m \in M, \phi \in \text{Hom}_R(M, N)$$

(e) If  $M$  be an  $R$ -module. Then  $\text{End}_R(M) = \text{Hom}_R(M, M)$  is a  $\mathbb{C}$ -algebra with multiplication given by composition of maps:

$$(\phi \psi)(m) = \phi(\psi(m)) \quad \forall m \in M, \phi, \psi \in \text{End}_R(M)$$

In particular, if  $V$  is a  $\mathbb{C}$ -space then  $\text{End}_{\mathbb{C}}(V)$  is an algebra. Recall that  $\text{End}_{\mathbb{C}}(V) \cong M_n(\mathbb{C})$ , where  $n = \dim_{\mathbb{C}}(V)$ .

(f) Let  $R$  be a  $\mathbb{C}$ -algebra and  $X \subseteq R$  be a subset. Define the **centraliser** of  $X$  in  $R$  by

$$c_R(X) = \{r \in R : rx = xr \forall x \in X\}$$

It is a subalgebra of  $R$ . The **centre** of  $R$  is  $c_R(R) = Z(R)$ .

(g) Let  $R$  be  $\mathbb{C}$ -algebra and  $M$  be a  $R$ -module. Then the map  $\alpha R \rightarrow \text{End}_{\mathbb{C}}(M)$  given by  $\alpha(r)(m) = rm$  for  $r \in R, m \in M$  is a map of  $\mathbb{C}$ -algebras.

Now,  $\text{End}_R(M) = c_{\text{End}_{\mathbb{C}}(M)}(\alpha R)$ , and so  $\alpha R \subseteq c_{\text{End}_{\mathbb{C}}(M)}(\text{End}_R(M))$ .

(h) An  $R$ -module  $M$  is itself naturally an  $\text{End}_R(M)$ -module, where the action is evaluation, and the action commutes with that of  $R$ . The inclusion  $\alpha$  as in (g) says that elements of  $R$  act as  $\text{End}_R(M)$ -module endomorphisms of  $M$ .

Given an  $R$ -module  $N$ ,  $\text{Hom}_R(M, N)$  is also an  $\text{End}_R(M)$ -module, where the action is composition of maps.

**(1.3) Lemma** Let  $R$  be a finite-dimensional  $\mathbb{C}$ -algebra. Then there are only finitely many isomorphism classes of irreducible  $R$ -modules. Moreover, all the irreducible  $R$ -modules are finite-dimensional.

**Proof.** Let  $S$  be an irreducible  $R$ -module and pick  $0 \neq x \in S$ . Define a map  $R \rightarrow S$  by  $r \mapsto rx$ . This map has nonzero image, so its image is  $S$  by irreducibility. In particular,

$$\dim_{\mathbb{C}}(S) \leq \dim_{\mathbb{C}}(R) < \infty$$

But  $S$  must occur in any composition series of  $R$ , so by the Jordan–Hölder theorem, there are only finitely many isomorphism classes of irreducible modules.  $\square$

**(1.4) Lemma (Schur’s lemma)** Let  $R$  be a finite-dimensional  $\mathbb{C}$ -algebra. Then

- (a) If  $S \not\cong T$  are nonisomorphic irreducible  $R$ -modules then  $\text{Hom}_R(S, T) = 0$ .
- (b) If  $S$  is an irreducible  $R$ -module then  $\text{End}_R(S) \cong \mathbb{C}$ .

**Proof**

- (a) If  $f : R \rightarrow S$  is a map of algebras then  $\ker(f) \leq R$  and  $\text{im}(f) \leq S$ . By irreducibility,  $\ker(f) = 0$  or  $R$  and  $\text{im}(f) = 0$  or  $S$ . If  $\ker(f) = 0$  then  $R \cong \text{im}(f) = S$ , contradicting nonisomorphism; so we must have  $\ker(f) = R$  and  $\text{im}(f) = 0$ , i.e.  $f = 0$ .
- (b) Clearly  $D = \text{End}_R(S)$  is a division ring. Since  $S$  is finite-dimensional, so is  $D$ . Thus, if  $d \in D$ , then the elements  $1, d, d^2, \dots$  are linearly dependent. Let  $p \in \mathbb{C}[X]$  be a nonzero polynomial with  $p(d) = 0$ .

Since  $\mathbb{C}$  is algebraically closed,  $p$  factors as

$$p(X) = c(X - a_1) \cdots (X - a_n)$$

for some  $0 \neq c \in \mathbb{C}$  and  $a_i \in \mathbb{C}$ . Hence

$$(d - a_1 1_D) \cdots (d - a_n 1_D) = 0$$

But, being a division ring,  $D$  has no zero divisors, so one of the terms must be zero. Thus  $d = a_j 1_D \in \mathbb{C} 1_D$ . But  $d \in D$  was arbitrary, so  $D = \mathbb{C} 1_D \cong \mathbb{C}$ .  $\square$

**(1.5) Definition** An  $R$ -module is **semisimple** (or **completely reducible**) if it is a direct sum of irreducible submodules. A finite-dimensional  $\mathbb{C}$ -algebra  $R$  is semisimple if it is so as an  $R$ -module.

**(1.6) Proposition**

- (a) Every submodule of a semisimple module is semisimple, and every such submodule is a direct summand.
- (b) Every quotient of a semisimple module is semisimple.
- (c) Direct sums of semisimple modules are semisimple.

In fact,  $M$  is semisimple if and only if every submodule of  $M$  is a direct summand. The proofs of this and of (1.6) are left as an exercise.

**Remarks**

1.  $R$  a semisimple  $\mathbb{C}$ -algebra  $\Rightarrow$  any  $R$ -module is semisimple.
2.  $G$  a finite group  $\Rightarrow \mathbb{C}G$  is semisimple. (This is precisely Maschke’s theorem.)

**(1.7) Definition** If  $R$  and  $S$  are  $\mathbb{C}$ -algebras,  $M$  is an  $R$ -module and  $N$  is an  $S$ -module, then  $M \otimes N$  has the structure of an  $R$ -module via

$$r(m \otimes n) = rm \otimes n, \quad r \in R, m \in M, n \in N$$

and the structure of an  $S$ -module via

$$s(m \otimes n) = m \otimes sn, \quad s \in S, \quad m \in M, \quad n \in N$$

and these actions commute:

$$r(s(m \otimes n)) = r(m \otimes sn) = rm \otimes sn = s(rm \otimes n) = s(r(m \otimes n))$$

hence the images of  $R, S$  in  $\text{End}_{\mathbb{C}}(M \otimes N)$  commute.

If  $N$  has basis  $\{e_1, \dots, e_k\}$  then the map  $M^{\oplus k} \rightarrow M \otimes N$  given by

$$(m_1, \dots, m_k) \mapsto m_1 \otimes e_1 + \dots + m_k \otimes e_k$$

is an isomorphism of  $R$ -modules.

Similarly, if  $M$  has basis  $\{f_1, \dots, f_\ell\}$  then the map  $N^{\oplus \ell} \rightarrow M \otimes N$  given by

$$(n_1, \dots, n_\ell) \mapsto n_1 \otimes f_1 + \dots + n_\ell \otimes f_\ell$$

is an isomorphism of  $S$ -modules.

**(1.8) Lemma** Let  $M$  be a semisimple  $R$ -module. Then the **evaluation map**

$$\bigoplus_S S \otimes \text{Hom}_R(S, M) \rightarrow M$$

is an isomorphism of  $R$ -modules and of  $\text{End}_R(M)$ -modules. Here  $S$  runs over a complete set of nonisomorphic irreducible  $R$ -modules and we use the action of  $R$  on  $S$  and of  $\text{End}_R(M)$  on  $\text{Hom}_R(S, M)$ .

**Proof** Check that the map is a map of  $R$ -modules and of  $\text{End}_R(M)$ -modules. It's an isomorphism of vector spaces, by observing that we can reduce to the case where  $M$  is irreducible and apply Schur's lemma (1.4).  $\square$

**(1.9) Lemma** Let  $M$  be a finite-dimensional semisimple  $R$ -module. Then

$$\text{End}_R(M) \cong \prod_S \text{End}_{\mathbb{C}} \text{Hom}_R(S, M)$$

where  $S$  runs over a complete set of nonisomorphic irreducible  $R$ -modules.

**Proof** Observe that the product  $E = \prod_S \text{End}_{\mathbb{C}} \text{Hom}_R(S, M)$  acts naturally on  $S \otimes \text{Hom}_R(S, M)$  and, since this action commutes with that of  $R$ , there exists a homomorphism  $E \rightarrow \text{End}_R(M)$ , which is injective.

Now count dimensions by (1.8),  $M$  is isomorphic to a direct sum of  $\dim_{\mathbb{C}} \text{Hom}_R(S, M)$  copies of each irreducible module  $S$ , so by (1.4),

$$\dim_{\mathbb{C}} \text{End}_R(S, M) = \sum_S (\dim_{\mathbb{C}} \text{Hom}_R(S, M))^2 = \dim_{\mathbb{C}} E \quad \square$$

**(1.10) Theorem (Artin–Wedderburn Theorem)** Any finite-dimensional semisimple  $\mathbb{C}$ -algebra is isomorphic to a product

$$R = \prod_{i=1}^k \text{End}_{\mathbb{C}}(V_i)$$

where  $V_i$  are finite-dimensional vector spaces. Conversely, if  $R$  has this form then it is semisimple, the nonzero  $V_i$ s form a complete set of nonisomorphic irreducible  $R$ -modules and, as an  $R$ -module,  $R$  is isomorphic to a direct sum of  $\dim_{\mathbb{C}} V_i$  copies of each  $V_i$ .

**Proof** We'll take this in steps.

**Step 1** (Deal with the product) Without loss of generality, assume each  $V_i$  is nonzero. The  $V_i$  are naturally  $R$ -modules, with the factors other than  $\text{End}_{\mathbb{C}}(V_i)$  acting as 0.

Now  $\text{End}_{\mathbb{C}}$ , and hence  $R$ , has transitively on  $V_i - \{0\}$ , thus the  $V_i$  are irreducible  $R$ -modules.

If  $V_i$  has basis  $\{e_{i1}, \dots, e_{im_i}\}$  then the map

$$R \rightarrow \underbrace{V_1 \oplus \dots \oplus V_1}_{m_1 \text{ copies}} \oplus \dots \oplus \underbrace{V_k \oplus \dots \oplus V_k}_{m_k \text{ copies}}$$

given by

$$(f_1, \dots, f_k) \mapsto (f_1(e_{11}), \dots, f_1(e_{1m_1}), \dots, f_k(e_{k1}), \dots, f_k(e_{km_k}))$$

is an injective map of  $R$ -modules, and hence is an isomorphism by counting dimensions. Thus  $R$  is semisimple.

The  $V_i$  form a complete set of irreducible  $R$ -modules (by Jordan–Hölder, as in (1.3)), and they are nonisomorphic: if  $i \neq j$  then  $(0, \dots, 0, 1, 0, \dots, 0)$  annihilates  $V_j$  but not  $V_i$ .

**Step 2** Let  $R$  be any ring. Now the natural map

$$\alpha : R \rightarrow \text{End}_{\text{End}_R(R)}(R)$$

sending  $r$  to the map  $x \mapsto rx$  is an isomorphism. It's injective, and if  $\theta \in \text{End}_{\text{End}_R(R)}(R)$  then it commutes with the endomorphisms  $\alpha_r \in \text{End}_R(R)$ , where  $\alpha_r(x) = xr$ . Now if  $r \in R$  then

$$\theta(r) = \theta(1r) = \theta(\alpha_r(1)) = \alpha_r(\theta(1)) = \theta(1)r$$

so  $\theta$  acts by left-multiplication. Hence  $\theta = \alpha(\theta(1)) \in \alpha(R)$ , so  $\alpha$  is surjective.

Assume  $R$  is a semisimple  $\mathbb{C}$ -algebra. Then  $\text{End}_R(R)$  is semisimple by (1.9), and so  $R$  is a semisimple  $\text{End}_R(R)$ -module. By (1.9) and the isomorphism  $\alpha$ ,  $R$  has the required form.  $\square$

**(1.11) Lemma** If  $R$  is semisimple and  $M$  is a finite-dimensional  $R$ -module then the natural map

$$\alpha : R \rightarrow \text{End}_{\text{End}_R(M)}(M)$$

sending  $r$  to the map  $m \mapsto rm$  is surjective.

**Proof** Let  $I = M^\circ = \{r \in R : rM = 0\}$  be the annihilator of  $M$ ; then  $\ker \alpha = I$ . Now,  $R/I$  is semisimple and  $M$  is an  $R/I$ -module, and  $\text{End}_R(M) = \text{End}_{R/I}(M)$ . So we can replace  $R$  by  $R/I$  and suppose that  $M$  is faithful (and  $\alpha$  is injective).

By (1.9),  $\text{End}_R(M) = \prod_S \text{End}_{\mathbb{C}} \text{Hom}_R(S, M)$  and, since  $M$  is faithful, all the spaces  $\text{Hom}_R(S, M)$  are nonzero because they are precisely the simple  $\text{End}_R(M)$ -modules. By (1.8),  $M$  is isomorphic (as an  $\text{End}_R(M)$ -module) to  $\bigoplus_S S \otimes \text{Hom}_R(S, M)$ , so it's the direct sum of  $\dim_{\mathbb{C}} S$  copies of the simple module  $\text{Hom}_R(S, M)$  for each  $S$ .

As in (1.9), this implies that

$$\dim_{\mathbb{C}} \text{End}_{\text{End}_R(M)}(M) = \sum_S (\dim_{\mathbb{C}} S)^2$$

But this is equal to  $\dim_{\mathbb{C}} R$ , so  $\alpha$  is an isomorphism.  $\square$

Finally, it's worth noting:

**(1.12) Lemma** Let  $R$  be a  $\mathbb{C}$ -algebra and let  $e \in R$  be 'almost idempotent' in the sense that  $e^2 = \lambda e$  for some  $0 \neq \lambda \in \mathbb{C}$ . Then for any  $R$ -module  $M$ , we have an isomorphism of  $\text{End}_R(M)$ -modules

$$\text{Hom}_R(Re, M) \cong eM$$

**Proof**  $eM$  is an  $\text{End}_R(M)$ -submodule of  $M$ : if  $\varphi \in \text{End}_R(M)$  and  $em \in eM$  then  $\varphi(em) = e\varphi(m) \in eM$ .

Replacing  $e$  by  $\frac{e}{\lambda}$ , we may suppose that  $e$  is idempotent. Now there exists a map of  $\text{End}_R(M)$ -modules

$$\text{Hom}(Re, M) \rightarrow eM$$

given by  $\varphi \mapsto \varphi(e)$ , whose inverse sends  $m$  to the map  $r \mapsto rm$ .  $\square$

# Chapter I: Representation theory of the symmetric group

## 2 Irreducible modules for the symmetric group

Recall the correspondence between representations  $\rho : G \rightarrow \text{GL}(V)$  and  $\mathbb{C}G$ -modules given by setting  $g \cdot v = \rho(g)v$  for  $g \in G$  and  $v \in V$ . The **trivial representation**  $G \rightarrow \mathbb{C}^\times = \text{GL}(\mathbb{C})$  is given by  $g \mapsto 1$ , and the corresponding  $\mathbb{C}G$ -module is  $\mathbb{C}$ , sometimes written  $\mathbb{C}_G$  to emphasise the context.

If  $X \subseteq \{1, \dots, n\}$ , write  $S_X$  for the subgroup of  $S_n$  fixing every number outside of  $X$ .

Let  $\varepsilon : S_n \rightarrow \{\pm 1\}$  be the **sign representation**, and write

$$\varepsilon_\sigma = \prod_{1 \leq i < j \leq n} \frac{\sigma(i) - \sigma(j)}{i - j}$$

Throughout this section, write  $A = \mathbb{C}S_n$ ; this is a finite-dimensional semisimple  $\mathbb{C}$ -algebra.

**(2.1) Definition** For  $\lambda_i \in \mathbb{N}_0$ , we say  $\lambda = (\lambda_1, \lambda_2, \lambda_3, \dots)$  is a **partition** of  $n$ , and write  $\lambda \vdash n$ , if  $\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq \dots$  and  $\sum_i \lambda_i = n$ . Write  $(\lambda_1^{a_1}, \lambda_2^{a_2}, \dots, \lambda_k^{a_k})$  to denote

$$(\underbrace{\lambda_1, \dots, \lambda_1}_{a_1}, \underbrace{\lambda_2, \dots, \lambda_2}_{a_2}, \dots, \underbrace{\lambda_k, \dots, \lambda_k}_{a_k}, 0, 0, \dots)$$

with  $\lambda_1 > \lambda_2 > \dots > \lambda_k$ .

Partitions of  $n$  correspond with conjugacy classes of  $S_n$ , e.g.

$$(5, 2^2, 1) \leftrightarrow (\bullet \bullet \bullet \bullet \bullet)(\bullet \bullet)(\bullet)$$

If  $\lambda, \mu \vdash n$ , write  $\lambda < \mu$  if and only if there is some  $i \in \mathbb{N}$  with  $\lambda_j = \mu_j$  for all  $j < i$  and  $\lambda_i < \mu_i$ . This is the **lexicographic** (or **dictionary**) **order** on partitions. It is a total order on the set of partitions of  $n$ . E.g.

$$(5) > (4, 1) > (3, 2) > (3, 1^2) > (2^2, 1) > (2, 1^3) > (1^5)$$

**(2.2) Definition** Partitions  $\lambda \vdash n$  may be geometrically represented by their **Young diagram**

$$[\lambda] = \{(i, j) : i \geq 1, 1 \leq j \leq \lambda_i\} \subseteq \mathbb{N} \times \mathbb{N}$$

If  $(i, j) \in [\lambda]$  then it is called a **node** of  $[\lambda]$ . The  $k^{\text{th}}$  **row** (resp. **column**) of a diagram consists of those nodes whose first (resp. second) coordinate is  $k$ .

**Example** If  $\lambda = (4, 2^2, 1)$  then

$$[\lambda] = \begin{array}{cccc} \bullet & \bullet & \bullet & \bullet & \leftarrow \lambda_1 \\ \bullet & \bullet & & & \leftarrow \lambda_2 \\ \bullet & \bullet & & & \\ \bullet & & & & \leftarrow \lambda_3 \end{array}$$

The **height** (or **length**) of  $\lambda$  is the length of the first column of  $[\lambda]$ , i.e.  $\max\{j : \lambda_j \neq 0\}$ , and is denoted by  $\text{ht}(\lambda)$ .

**(2.3) Definition** A  $\lambda$ -**tableau**  $t_\lambda$  is one of the  $n!$  arrays of integers obtained by replacing each node in  $[\lambda]$  by one of the integers  $1, \dots, n$ , with no repeats. E.g. the following are  $(4, 3, 1)$ -tableaux

$$t_1 = \begin{array}{cccc} 1 & 2 & 4 & 5 \\ 3 & 6 & 7 & \\ 8 & & & \end{array}, \quad t_2 = \begin{array}{cccc} 4 & 5 & 7 & 3 \\ 2 & 1 & 8 & \\ 6 & & & \end{array}$$

Equivalently, a  $\lambda$ -tableau can be regarded as a bijection  $[\lambda] \rightarrow \{1, 2, \dots, n\}$ .



$S_n$  acts on the set of  $[\lambda]$ -tableaux, e.g.  $(1\ 4\ 7\ 8\ 6)(2\ 5\ 3)$  sends  $t_1$  to  $t_2$ . Formally, given a tableau  $t_\lambda$  and  $\pi \in S_n$ , the composition of the functions  $\pi$  and  $t_\lambda$  gives a new  $\lambda$ -tableau  $\pi t_\lambda$ , where  $(\pi t_\lambda)(x) = \pi(t_\lambda(x))$ .

Given a partition  $\lambda \vdash n$ , we want to pick one representative of all the corresponding  $\lambda$ -tableaux. Let  $t_\lambda^\circ$  be the **standard  $\lambda$ -tableau**, i.e. the tableau numbered in order from left to right and from top to bottom, e.g.

$$t_{(5,2^2,1)}^\circ = \begin{array}{ccccc} & 1 & 2 & 3 & 4 & 5 \\ & 6 & 7 & & & \\ & 8 & 9 & & & \\ & 10 & & & & \end{array}$$

Define the **row stabilizer**  $R_t$  and **column stabilizer**  $C_t$  of a tableau  $t$  by

$$\begin{aligned} \sigma \in R_t &\Leftrightarrow \text{each } i \in \{1, \dots, n\} \text{ is in the same row of } t \text{ and } \sigma t \\ \sigma \in C_t &\Leftrightarrow \text{each } i \in \{1, \dots, n\} \text{ is in the same column of } t \text{ and } \sigma t \end{aligned}$$

For instance, if  $t = t_1$  as in the examples after (2.3) above, then

$$\begin{aligned} R_{t_1} &= S_{\{1,2,4,5\}} \times S_{\{3,6,7\}} \times S_{\{8\}} \\ C_{t_1} &= S_{\{1,3,8\}} \times S_{\{2,6\}} \times S_{\{4,7\}} \times S_{\{1\}} \end{aligned}$$

**(2.4) Definition** Let  $t_\lambda$  be a  $\lambda$ -tableau. The **Young symmetrizer** is

$$h(t_\lambda) = \sum_{r \in R_{t_\lambda}, c \in C_{t_\lambda}} \varepsilon_c r c \in A$$

Write  $h_\lambda = h(t_\lambda^\circ)$ .

### Examples

- (a) If  $\lambda = (n)$ , then  $h = h(t_{(n)}) = \sum_{\sigma \in S_n} \sigma$ , called the **symmetrizer** in  $A$ .  
Since  $\rho \cdot h = h$  for all  $\rho \in S_n$ ,  $Ah = \mathbb{C}h$  is the trivial representation.
- (b) If  $\lambda = (1^n)$ , then  $h = h(t_{(1^n)}) = \sum_{\sigma \in S_n} \varepsilon_\sigma \sigma$ , called the **alternizer** in  $A$ .  
Since  $\rho \cdot h = \varepsilon_\rho h$ ,  $Ah = \mathbb{C}h$  is the sign representation.

**Goal** The left-ideals in  $A$  of the form  $Ah_\lambda$  for  $\lambda \vdash n$  (known as **Specht modules**) form a complete set of nonisomorphic irreducible  $A$ -modules: see (2.14) below.

**(2.5) Lemma** If  $\lambda \vdash n$ ,  $t = t_\lambda$  is a tableau,  $\sigma \in S_n$ .

- (a)  $R_t \cap C_t = 1$ ;
- (b) The coefficient of 1 in  $h(t_\lambda)$  is 1;
- (c)  $R_{\sigma(t)} = \sigma R_t \sigma^{-1}$  and  $C_{\sigma(t)} = \sigma C_t \sigma^{-1}$ ;
- (d)  $h(\sigma t_\lambda) = \sigma h(t_\lambda) \sigma^{-1}$ ;
- (e)  $Ah(t_\lambda) \cong Ah_\lambda$  as  $A$ -modules.

**Proof** (a)–(d) are clear.

For (e),  $t_\lambda = \sigma t_\lambda^\circ$  for some permutation  $\sigma \in S_n$ . Postmultiplication by  $\sigma$  then gives an isomorphism  $Ah(t_\lambda) \cong Ah_\lambda$ .  $\square$

The following lemma is sometimes called the *basic combinatorial lemma*.

**(2.6) Lemma** Let  $\lambda, \mu \vdash n$  with  $\lambda \geq \mu$ , and let  $t_\lambda$  and  $t_\mu$  be tableaux. Then one of the following is true:

- (A) There exist distinct integers  $i$  and  $j$  occurring in the same row of  $t_\lambda$  and the same column of  $t_\mu$ ;  
(B)  $\lambda = \mu$  and  $t_\mu = rct_\lambda$  for some  $r \in R_{t_\lambda}$  and  $c \in C_{t_\lambda}$ .

**Proof** Suppose (A) is false. If  $\lambda_1 \neq \mu_1$  then  $\lambda_1 > \mu_1$ , so  $[\mu]$  has fewer columns than  $[\lambda]$ . Hence two of the numbers in the first row of  $t_\lambda$  are in the same column of  $t_\mu$ , so (A) holds, contradicting our assumption. So  $\lambda_1 = \mu_1$ .

Since (A) fails, some  $c_1 \in C_{t_\mu}$  forces  $c_1 t_\mu$  to have the same members in the first row of  $t_\lambda$ . Now ignore the first rows of  $t_\lambda$  and  $c_1 t_\mu$ . The same argument implies that  $\lambda_2 = \mu_2$  and there is  $c_2 \in C_{t_\mu}$  such that  $t_\lambda$  and  $c_2 c_1 t_\mu$  have the same numbers in each of their first two rows.

Continuing in this way we see that  $\lambda = \mu$  and there exists  $c' \in C_{t_\lambda}$  such that  $t_\lambda$  and  $c' t_\mu$  have the same numbers in each row. Then  $rt_\lambda = c' t_\mu$  for some  $r \in R_{t_\lambda}$ .

Now define

$$c = r^{-1}(c')^{-1}r \in r^{-1}c'C_{t_\mu}(c')^{-1}r = r^{-1}C_{c't_\mu}r = C_{c't_\mu} = C_{t_\lambda}$$

Then  $t_\mu = rct_\lambda$ . □

**(2.7) Lemma** If  $\sigma \in S_n$  cannot be written as  $rc$  for any  $r \in R_{t_\lambda}$  and  $c \in C_{t_\lambda}$  then there exist transpositions  $u \in R_{t_\lambda}$  and  $v \in C_{t_\lambda}$  such that  $u\sigma = \sigma v$ .

**Proof** Since (2.6)(B) fails for  $t_\lambda$  and  $\sigma t_\lambda$ , there exist integers  $i \neq j$  in the same row of  $t_\lambda$  and the same column of  $\sigma t_\lambda$ . Take  $u = (i j)$  and  $v = \sigma^{-1}u\sigma$ . □

**(2.8) Lemma** Given a tableau  $t_\lambda$  and  $a \in A$ , the following are equivalent:

- (1)  $rac = \varepsilon_c a$  for all  $r \in R_{t_\lambda}$  and  $c \in C_{t_\lambda}$ ;  
(2)  $a = kh(t_\lambda)$  for some  $k \in \mathbb{C}$ .

**Proof**

(2) $\Rightarrow$ (1) As  $r'$  runs through  $R_{t_\lambda}$  so does  $rr'$ , and as  $c$  runs through  $C_{t_\lambda}$  so does  $c'c$  with  $\varepsilon_{c'c} = \varepsilon_{c'}\varepsilon_c$ . Calculation reveals that  $rh(t_\lambda)c = \varepsilon_c h(t_\lambda)$ .

(1) $\Rightarrow$ (2) Let  $a = \sum_{\sigma \in S_n} a_\sigma \sigma$ . If  $\sigma$  is not of the form  $rc$  then by (2.7) there exist transpositions  $u \in R_{t_\lambda}$  and  $v \in C_{t_\lambda}$  such that  $u\sigma v = \sigma$ .

By assumption,  $uav = \varepsilon_v a$ , and the coefficient of  $\sigma$  gives  $a_{u\sigma v} = \varepsilon_v a_\sigma$ , so  $a_\sigma = -a_\sigma$ , and hence  $a_\sigma = 0$ . The coefficient of  $rc$  in (1) gives  $a_1 = \varepsilon_c a_{rc} \in \mathbb{C}$ . Thus

$$a = \sum_{r,c} a_{rc} rc = \sum_{rc} \varepsilon_c a_1 rc = a_1 h(t_\lambda)$$

as required □

**(2.9) Lemma** If  $a \in A$  then  $h(t_\lambda)ah(t_\lambda) = kh(t_\lambda)$  for some  $k \in \mathbb{C}$ .

**Proof**  $x = h(t_\lambda)ah(t_\lambda)$  satisfies (2.8)(1). □

**(2.10) Proposition** Define  $f_\lambda = \dim_{\mathbb{C}} Ah_\lambda$ . Then

- (a)  $h(t_\lambda)^2 = \frac{n!}{f_\lambda} h(t_\lambda)$   
(b)  $f_\lambda \mid n!$   
(c)  $h(t_\lambda)Ah(t_\lambda) = \mathbb{C}h(t_\lambda)$

In particular,  $\frac{f_\lambda}{n!} h(t_\lambda)$  is idempotent.

**Proof**

- (a) Let  $h = h(t_\lambda)$ . We already know that  $h^2 = kh$  for some  $k \in \mathbb{C}$ . Right-multiplication by  $h$  induces a linear map  $\hat{h} : A \rightarrow A$ . For  $a \in A$ ,  $(ah)h = k(ah)$ , so  $\hat{h}|_{Ah}$  is multiplication by  $k$ .  
Extend a basis of  $Ah$  to a basis of  $A$ . With respect to this basis,

$$\hat{h} \sim \begin{pmatrix} kI_{f_\lambda} & * \\ 0 & 0 \end{pmatrix}$$

and so  $\text{tr}(\hat{h}) = kf_\lambda$ .

With respect to the basis  $S_n$  of  $A$ ,  $\hat{h}$  has matrix  $H$  whose entries are

$$H_{\sigma\tau} = \text{coefficient of } \sigma \text{ in } \tau h$$

But  $H_{\sigma\tau} = 1$ , so  $\text{tr}(\hat{h}) = n!$ .

$$\text{Hence } k = \frac{n!}{f_\lambda}.$$

- (b) The coefficient of 1 in  $h^2 = kh$  is  $\sum \varepsilon_{c_1} \varepsilon_{c_2} \in \mathbb{Z}$  where the sum runs over all  $r_1, r_2 \in R_{t_\lambda}$  and  $c_1, c_2 \in C_{t_\lambda}$  such that  $r_1 c_1 r_2 c_2 = 1$ .  
(c) By (2.9), the only other possibility is  $hAh = 0$ ; but  $h^2 \neq 0$ . □

**(2.11) Proposition**  $Ah(t_\lambda)$  is an irreducible  $A$ -module.

**Proof** Put  $h = h(t_\lambda)$ . Then  $Ah \neq 0$  and  $A$  is semisimple, so it is enough to show that  $Ah$  is indecomposable.

Suppose  $Ah = U \oplus V$ . Then  $\mathbb{C}h = hAh = hU \oplus hV$ , so one of  $hU$  and  $hV$  is nonzero; without loss of generality,  $hU \neq 0$ . Then  $hU = \mathbb{C}h$ , so  $Ah = AhU \subseteq U$ , so  $U = Ah$  and  $V = 0$ . □

**(2.12) Lemma** If  $\lambda > \mu$  are partitions and  $t_\lambda, t_\mu$  are tableaux then  $h(t_\mu)Ah(t_\lambda) = 0$ .

**Proof** By (2.6) there exist integers in the same row of  $t_\lambda$  and the same column of  $t_\mu$ . Let  $\tau \in R_{t_\lambda} \cap C_{t_\mu}$  be the corresponding transposition. Then

$$h(t_\mu)h(t_\lambda) = h(t_\mu)\tau\tau h(t_\lambda) = -h(t_\mu)h(t_\lambda)$$

so  $h(t_\mu)h(t_\lambda) = 0$ .

Applying this to  $(\sigma t_\lambda, t_\mu)$  for  $\sigma \in S_n$  gives

$$0 = h(t_\mu)h(t_\lambda) = h(t_\mu)\sigma h(t_\lambda)\sigma^{-1}$$

and so  $h(t_\mu)\sigma h(t_\lambda) = 0$ .

Thus  $h(t_\mu)Ah(t_\lambda) = 0$ .

**(2.13) Lemma** If  $\lambda \neq \mu$  and  $t_\lambda, t_\mu$  are tableaux, then  $Ah(t_\lambda) \not\cong Ah(t_\mu)$ .

**Proof** Assume without loss of generality that  $\lambda > \mu$ . If there exists an  $A$ -module isomorphism  $f : Ah(t_\lambda) \cong Ah(t_\mu)$  then

$$f(\mathbb{C}h(t_\lambda)) \stackrel{(2.10)}{=} f(h(t_\lambda)Ah(t_\lambda)) = h(t_\lambda)f(Ah(t_\lambda)) = h(t_\lambda)Ah(t_\mu) \stackrel{(2.12)}{=} 0$$

which is a contradiction. □

**(2.14) Theorem** The left-ideals  $\{Ah_\lambda : \lambda \vdash n\}$  form a complete set of nonisomorphic irreducible  $A$ -modules.

**Proof** They are irreducible by (2.11) and nonisomorphic by (2.13) and, since

$$|\{\lambda : \lambda \vdash n\}| = |\{\text{ccls of } S_n\}| = |\{\text{irreducible } \mathbb{C}S_n\text{-modules}\}|$$

they form a complete set. □

**Further reading** Chapter 4 of James's lecture notes (Springer) – alternative proof using ‘polytabloids’ and certain permutation modules.

### 3 Standard basis of Specht modules

We first redefine what we mean by a ‘standard’ tableau.

**(3.1) Definition**  $t$  is a **standard** tableau if the numbers increase along the rows (left to right) and columns (top to bottom) of  $t$ .

The standard tableaux of shape  $\lambda$  are ordered such that  $t_\lambda < t'_\lambda$  if  $t_\lambda$  is smaller than  $t'_\lambda$  in the first place they differ when you read  $[\lambda]$  ‘like a book’. E.g. the standard  $(3, 2)$ -tableaux are

$$\begin{array}{ccc} 1 & 2 & 3 \\ 4 & 5 & \end{array} < \begin{array}{ccc} 1 & 2 & 4 \\ 3 & 5 & \end{array} < \begin{array}{ccc} 1 & 2 & 5 \\ 3 & 4 & \end{array} < \begin{array}{ccc} 1 & 3 & 4 \\ 2 & 5 & \end{array} < \begin{array}{ccc} 1 & 3 & 5 \\ 2 & 4 & \end{array}$$

Let  $F_\lambda$  be the number of standard  $\lambda$ -tableaux.

**Goal**  $F_\lambda = f_\lambda$ ; recall from (2.10) that  $f_\lambda = \dim_{\mathbb{C}} Ah_\lambda$ .

First we show  $\sum_{\lambda \vdash n} F_\lambda^2 = n!$ .

**Notation**  $\lambda/\mu$  means there is some  $m$  with  $\lambda \vdash m$ ,  $\mu \vdash m-1$  and  $[\mu] \subseteq [\lambda] \subseteq \mathbb{N} \times \mathbb{N}$ .

This idea will be used in induction arguments.

**(3.2) Lemma** Let  $\lambda \vdash m$ . Then  $F_\lambda = \sum_{\mu: \lambda/\mu} F_\mu$ .

**Proof** If  $t_\lambda$  is standard then  $t_\lambda^{-1}(\{1, 2, \dots, m-1\})$  is the diagram of a partition  $\mu \vdash m-1$ ; and  $t_\lambda|_{[\mu]}$  must be standard. The converse is similar.  $\square$

**(3.3) Lemma** If  $\lambda \neq \pi$  are partitions of  $m$  then

$$|\{\nu : \nu/\lambda \text{ and } \nu/\pi\}| = |\{\tau : \lambda/\tau \text{ and } \pi/\tau\}| \in \{0, 1\}$$

**Proof** If  $\nu/\lambda$  and  $\nu/\pi$  then  $[\nu] \supseteq [\lambda] \cup [\pi]$ . Since  $[\lambda]$  and  $[\pi]$  differ in at least one place, we must in fact have  $[\nu] \supseteq [\lambda] \cup [\pi]$ .

Likewise, if  $\lambda/\tau$  and  $\mu/\tau$  then  $[\tau] \supseteq [\lambda] \cap [\pi]$  and we must have  $[\tau] = [\lambda] \cap [\pi]$ .

Now  $[\lambda] \cup [\pi]$  and  $[\lambda] \cap [\pi]$  are partitions, so

$$\nu \text{ exists} \Leftrightarrow |[\lambda] \cup [\pi]| = m+1 \Leftrightarrow |[\lambda] \cap [\pi]| = m-1 \Leftrightarrow \tau \text{ exists}$$

This completes the proof.  $\square$

**(3.4) Lemma** If  $\lambda \vdash m$  then  $(m+1)F_\lambda = \sum_{\nu: \nu/\lambda} F_\nu$ .

**Proof** by induction on  $m$ .

The case  $m=1$  is clear. Suppose the statement holds true for partitions of  $m-1$ . Then

$$\sum_{\nu: \nu/\lambda} F_\nu \stackrel{(3.2)}{=} \sum_{\nu: \nu/\lambda} \left( \sum_{\pi: \nu/\pi} F_\pi \right) = |\{\nu : \nu/\lambda\}| F_\lambda + \sum_{\nu, \pi: \nu/\lambda, \pi \neq \lambda} F_\pi$$

Using the fact that  $|\{\nu : \nu/\lambda\}| = |\{\tau : \lambda/\tau\}| + 1$  together with (3.3) gives that our expression is equal to

$$\begin{aligned} & (|\{\tau : \lambda/\tau\}| + 1)F_\lambda + \sum_{\tau, \pi: \lambda/\tau, \pi/\tau, \pi \neq \lambda} F_\pi \\ &= F_\lambda + \sum_{\tau, \pi: \lambda/\tau, \pi/\tau} F_\pi = F_\lambda + \sum_{\tau: \lambda/\tau} mF_\tau = F_\lambda + mF_\lambda = (m+1)F_\lambda \end{aligned}$$

as required.  $\square$

$$(3.5) \text{ Lemma } \sum_{\lambda \vdash m} F_\lambda^2 = m!$$

**Proof** by induction on  $m$ .

The case  $m = 1$  is clear. Suppose the statement holds true for partitions of  $m - 1$ . Then

$$\sum_{\lambda \vdash m} F_\lambda^2 \stackrel{(3.2)}{=} \sum_{\lambda \vdash m, \lambda/\tau} F_\lambda F_\tau \stackrel{(3.4)}{=} \sum_{\tau \vdash m-1} m F_\tau^2 = m \cdot (m-1)! = m!$$

as required.  $\square$

$$(3.6) \text{ Lemma } \text{ If } t_\lambda > t'_\lambda \text{ are standard then } h(t_\lambda)h(t'_\lambda) = 0.$$

**Proof** It suffices to show that there are integers  $i \neq j$  lying in the same row of  $t'_\lambda$  and the same column of  $t_\lambda$ . For then the corresponding transposition  $\tau = (ij) \in R_{t'_\lambda} \cap C_{t_\lambda}$  gives the result as in the proof of (2.12).

It remains to find such integers  $i$  and  $j$ . Let  $x \in [\lambda]$  be the first place where  $t_\lambda$  and  $t'_\lambda$  first differ. Let  $t'_\lambda = i$  and  $y = (t'_\lambda)^{-1}(i) \in [\lambda]$ . Then  $y$  must be below and to the left of  $x$  since  $t_\lambda$  is standard. In particular,  $x$  cannot lie in the first column or the last row. Let  $z \in [\lambda]$  be in the same row as  $x$  and the same column of  $y$ , and let  $j = t_\lambda(z) = t'_\lambda(z)$  be the common value of  $t_\lambda$  and  $t'_\lambda$  at  $z$ .

It is now elementary to verify that  $i$  and  $j$  satisfy the above assumption.  $\square$

$$(3.7) \text{ Theorem } \mathbb{C}S_n = \bigoplus \mathbb{C}S_n h(t_\lambda), \text{ where the direct sum runs over all standard tableaux } t_\lambda \text{ for all partitions } \lambda \vdash n.$$

**Proof** The sum is direct: suppose we have a nontrivial relation  $\sum a(t_\lambda)h(t_\lambda)$  with  $a(t_\lambda) \in \mathbb{C}S_n$  are not all zero. Choose  $\mu$  maximal (in the ordering on partitions) such that some  $a(t_\mu)h(t_\lambda) \neq 0$ , and for this  $\mu$  pick  $t'_\mu$  minimal (in the ordering on standard  $\mu$ -tableaux) such that  $a(t'_\mu)h(t'_\mu) \neq 0$ . Multiplying the relation on the right by  $h(t'_\mu)$  gives  $a(t'_\mu)h(t'_\mu)^2 = 0$  by (3.6) and (2.12), and hence  $a(t'_\mu)h(t'_\mu) = 0$ , contradicting our assumption.

It is clear that the  $\bigoplus \mathbb{C}S_n h(t_\lambda) \leq \mathbb{C}S_n$ . By Artin–Wedderburn,  $\mathbb{C}S_n \cong \bigoplus f_\lambda \mathbb{C}S_n h_\lambda$  as  $\mathbb{C}S_n$ -modules, while in our direct sum there are  $F_\lambda$  copies of  $\mathbb{C}h_\lambda$ . By Jordan–Hölder,  $F_\lambda \leq f_\lambda$ . But  $f_\lambda \leq F_\lambda$  since, by (3.5),  $\sum F_\lambda^2 = n! = \sum f_\lambda^2$ . Hence  $F_\lambda = f_\lambda$  for each  $\lambda \vdash n$ , and so  $\mathbb{C}S_n \leq \bigoplus \mathbb{C}S_n h(t_\lambda)$ .  $\square$

$$(3.8) \text{ Corollary } \text{ The number of standard } \lambda\text{-tableaux is equal to } \dim_{\mathbb{C}}(\mathbb{C}S_n h_\lambda).$$

**Proof**  $H_\lambda = h_\lambda$  as in the proof of (3.7).  $\square$

$$(3.9) \text{ Lemma } \text{ Let } M \text{ be a finite-dimensional } \mathbb{C}S_n\text{-module. Then } M \cong \bigoplus h(t_\lambda)M, \text{ where the direct sum runs over all standard tableaux } t_\lambda \text{ for all partitions } \lambda \vdash n.$$

**Proof** If  $\sum m(t_\lambda) = 0$  is a nontrivial relation with  $m(t_\lambda) \in h(t_\lambda)M$  then choose  $\mu$  minimal and  $t'_\mu$  maximal with  $m(t'_\mu) \neq 0$ . Premultiply the relation by  $h(t'_\mu)$  to contradict (3.6) and (2.12). Finally,

$$\begin{aligned} \bigoplus h(t_\lambda)M &\stackrel{(1.12)}{\cong} \bigoplus \text{Hom}_{\mathbb{C}S_n}(\mathbb{C}S_n h(t_\lambda), M) \\ &\cong \text{Hom}_{\mathbb{C}S_n} \left( \bigoplus \mathbb{C}S_n h(t_\lambda), M \right) \cong \text{Hom}_{\mathbb{C}S_n}(\mathbb{C}S_n, M) \cong M \end{aligned} \quad \square$$

## 4 Character formula

Given a finite-dimensional  $\mathbb{C}G$ -algebra  $M$ , its **character** is defined by

$$\chi_M(g) = \text{tr} \begin{pmatrix} M \rightarrow M \\ m \mapsto gm \end{pmatrix}$$

and  $\chi_M$  is a **class function**  $G \rightarrow \mathbb{C}$ , i.e. a function that is constant on the conjugacy classes of  $G$ . If  $\alpha$  is a conjugacy class in  $G$ , write  $\chi_M(\alpha)$  for the common value of  $\chi_M$  on  $\alpha$ .

Given  $\lambda \vdash n$ , denote the character of the irreducible  $\mathbb{C}S_n$ -module  $\mathbb{C}S_n h_\lambda$  by  $\chi^\lambda$ . We will calculate  $\chi^\lambda(\alpha)$  and later use the formula to derive Weyl's character formula for  $\text{GL}_n(\mathbb{C})$ .

Now  $S_n$ -class  $\alpha$  comprises all permutations with fixed cycle type  $n^{\alpha_n} \dots 2^{\alpha_2} 1^{\alpha_1}$ , i.e. having  $\alpha_n$   $n$ -cycles,  $\dots$ ,  $\alpha_2$  2-cycles and  $\alpha_1$  1-cycles. Let  $n_\alpha = |\alpha|$ .

(4.1) **Lemma**  $n_\alpha = \frac{n!}{1^{\alpha_1} 2^{\alpha_2} \dots n^{\alpha_n} \cdot \alpha_1! \alpha_2! \dots \alpha_n!}$

**Proof** Any permutation in  $\alpha$  is one of the  $n!$  of the form

$$\underbrace{(*) \dots (*)}_{\alpha_1} \underbrace{(**) \dots (**)}_{\alpha_2} \dots \underbrace{(\overbrace{*\dots*}^n)}_{\alpha_n} \underbrace{(\overbrace{*\dots*}^n)}_{\alpha_n} \dots \underbrace{(\overbrace{*\dots*}^n)}_{\alpha_n}$$

Each such permutation can be represented in  $1^{\alpha_1} \dots n^{\alpha_n} \cdot \alpha_1! \dots \alpha_n!$  ways: each of the  $\alpha_k$   $k$ -cycles can be represented in  $k$  ways by cycling their components, and each block of  $\alpha_k$   $k$ -cycles can be represented in  $\alpha_k!$  ways by permuting the position of the factors.  $\square$

(4.2) **Theorem** (*orthogonality relations*) Let  $\lambda, \mu \vdash n$  and let  $\alpha$  and  $\beta$  be conjugacy classes in  $S_n$ . Then

$$(a) \sum_{\text{ccls } \alpha} n_\alpha \chi^\lambda(\alpha) \chi^\mu(\beta) = \begin{cases} n! & \text{if } \lambda = \mu \\ 0 & \text{if } \lambda \neq \mu \end{cases}$$

$$(b) \sum_{\lambda \vdash n} \chi^\lambda(\alpha) \chi^\lambda(\beta) = \begin{cases} n!/n_\alpha & \text{if } \alpha = \beta \\ 0 & \text{if } \alpha \neq \beta \end{cases}$$

**Proof** Every element of  $S_n$  is real, i.e. conjugate to its inverse, and so

$$\overline{\chi^\lambda(\sigma)} = \chi^\lambda(\sigma^{-1}) = \chi^\lambda(\sigma)$$

so  $\chi^\lambda(\sigma) \in \mathbb{R}$  for all  $\sigma \in S_n$ . These relations are then just the usual ones for general finite groups.  $\square$

**Notation** Given  $x = (x_1, \dots, x_n) \in \mathbb{C}^m$ ,  $\ell_1, \dots, \ell_m \in \mathbb{Z}$ , define

$$|x^{\ell_1}, \dots, x^{\ell_m}| = \det(x_i^{\ell_j})$$

Usually  $\ell_j \geq 0$ , in which case this is a homogeneous polynomial of degree  $\sum_{j=1}^m \ell_j$  in  $x_1, \dots, x_m$ .

**Example** The Vandermonde determinant is given by

$$V(x) = |x^{m-1}, \dots, 1|$$

(4.3) **Lemma**  $V = V(x) = \prod_{i < j} (x_i - x_j)$

**Proof** Exercise.  $\square$

In fact, if  $\ell_i \geq 0$  for each  $i$  then  $|x^{\ell_1}, \dots, x^{\ell_m}|$  is divisible by  $V$ . We define the **Schur polynomial**  $s_\ell$  by

$$s_\ell(x) = \frac{|x^{\ell_1}, \dots, x^{\ell_m}|}{|x^{m-1}, \dots, 1|}$$

This is indeed a polynomial in  $x_1, \dots, x_m$ , provided that  $\ell_i \geq 0$ .

**Example**  $s_{(1,2,\dots,n)}(x) = x_1 \cdots x_m$ .

**(4.4) Lemma (Cauchy's lemma)** If  $x_i, y_j \in \mathbb{C}$  for  $1 \leq i, j \leq m$  and  $x_i y_j \neq 1$  for all  $i, j$ , then

$$\det \left( \frac{1}{1 - x_i y_j} \right) = |x^{m-1}, \dots, 1| |y^{m-1}, \dots, 1| \prod_{i,j=1}^m \frac{1}{1 - x_i y_j}$$

**Proof** by induction on  $m$ . The case  $m = 1$  is clear. Let  $m > 1$ .

Now

$$\frac{1}{1 - x_i y_j} - \frac{1}{1 - x_1 y_j} = \frac{x_i - x_1}{1 - x_1 y_j} \cdot \frac{y_j}{1 - x_i y_j}$$

So subtracting the first row from each other row in the determinant, one can remove the factor  $(x_i - x_1)$  from each row  $i \neq 1$  and  $\frac{1}{1 - x_1 y_j}$  from each column. So

$$\det \left( \frac{1}{1 - x_i y_j} \right) = \left( \prod_{i>1} (x_i - x_1) \right) \left( \prod_{j=1}^m \frac{1}{1 - x_1 y_j} \right) \det \underbrace{\begin{pmatrix} 1 & 1 & \cdots & 1 \\ \frac{y_1}{1-x_2 y_1} & \frac{y_2}{1-x_2 y_2} & \cdots & \frac{y_n}{1-x_2 y_n} \\ \frac{y_1}{1-x_3 y_1} & \frac{y_2}{1-x_3 y_2} & \cdots & \frac{y_n}{1-x_3 y_n} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{y_1}{1-x_n y_1} & \frac{y_2}{1-x_n y_2} & \cdots & \frac{y_n}{1-x_n y_n} \end{pmatrix}}_{=\Delta}$$

Now subtract the first column in the matrix above from the other columns and use the fact that

$$\frac{y_j}{1 - x_i y_j} - \frac{y_1}{1 - x_i y_1} = \frac{y_j - y_1}{1 - x_i y_1} \cdot \frac{1}{1 - x_i y_j}$$

This gives

$$\Delta = \left( \prod_{j>1} (y_j - y_1) \right) \left( \prod_{i=2}^m \frac{1}{1 - x_i y_1} \right) \det \begin{pmatrix} 1 & 0 & 0 & \cdots & 0 \\ * & \frac{1}{1-x_2 y_2} & \frac{1}{1-x_2 y_3} & \cdots & \frac{1}{1-x_2 y_n} \\ * & \frac{1}{1-x_3 y_2} & \frac{1}{1-x_3 y_3} & \cdots & \frac{1}{1-x_3 y_n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ * & \frac{1}{1-x_n y_2} & \frac{1}{1-x_n y_3} & \cdots & \frac{1}{1-x_n y_n} \end{pmatrix}$$

The result now follows by applying the induction hypothesis to this last determinant.  $\square$

**(4.5) Lemma** Choose  $x_i, y_j \in \mathbb{C}$  for  $1 \leq i, j \leq n$  with modulus  $< 1$ . Then

$$\det \left( \frac{1}{1 - x_i y_j} \right) = \sum_{\ell_1 > \ell_2 > \cdots > \ell_m \geq 0} |x^{\ell_1}, \dots, x^{\ell_m}| |y^{\ell_1}, \dots, y^{\ell_m}|$$

**Proof** We use the expansion

$$\frac{1}{1 - x_i y_j} = \sum_{\ell \geq 0} (x_i y_j)^\ell$$

The determinant is thus

$$\sum_{\sigma \in S_m} \varepsilon_\sigma \prod_{i=1}^m \left( 1 + x_i y_{\sigma(i)} + (x_i y_{\sigma(i)})^2 + \cdots \right)$$

and the monomial  $x_1^{\ell_1} \cdots x_m^{\ell_m}$  ( $\ell_i \in \mathbb{N}$ ) occurs with coefficient

$$\sum_{\sigma \in S_m} \prod_{i=1}^m y_{\sigma(i)}^{\ell_i} = |y^{\ell_1}, \dots, y^{\ell_m}|$$

In particular, it this term is zero unless the  $\ell_i$  are all distinct. So

$$\begin{aligned}
\det \left( \frac{1}{1 - x_i y_j} \right) &= \sum_{\ell_1, \dots, \ell_m \text{ distinct}} x_1^{\ell_1} \dots x_m^{\ell_m} |y^{\ell_1}, \dots, y^{\ell_m}| \\
&= \sum_{\ell_1 > \dots > \ell_m \geq 0} \left( \sum_{\pi \in S_m} x_1^{\ell_{\pi(1)}} \dots x_m^{\ell_{\pi(m)}} |y^{\ell_{\pi(1)}}, \dots, y^{\ell_{\pi(m)}}| \right) \\
&= \sum_{\ell_1 > \dots > \ell_m \geq 0} \left( \sum_{\pi \in S_m} x_1^{\ell_{\pi(1)}} \dots x_m^{\ell_{\pi(m)}} \varepsilon_{\pi} |y^{\ell_1}, \dots, y^{\ell_m}| \right) \\
&= \sum_{\ell_1 > \dots > \ell_m \geq 0} |x^{\ell_1}, \dots, x^{\ell_m}| |y^{\ell_1}, \dots, y^{\ell_m}|
\end{aligned}$$

which is what we needed.  $\square$

**Notation** If  $\lambda = (\lambda_1, \dots, \lambda_m) \in \mathbb{Z}^m$ , let  $\ell_i = \lambda_i + m - i$ , i.e.  $\ell_1 = \lambda_1 + m - 1, \dots, \ell_m = \lambda_m$ . Sometimes in the literature, the  $\ell_i$  are denoted by  $\beta_i$  and are thus called ‘ $\beta$ -numbers’. Note that

(1)  $\lambda_1 \geq \dots \geq \lambda_m$  if and only if  $\ell_1 > \dots > \ell_m$

(2) If  $\sum_i \lambda_i = n$  and  $\lambda_i \geq 0$  then  $\frac{|x^{\ell_1}, \dots, x^{\ell_m}|}{|x^{m-1}, \dots, 1|}$  is a polynomial of degree  $m$  in the  $x_i$ s.

Write  $\Lambda^+(m, n)$  for the set of all partitions  $\lambda$  of  $n$  into  $\leq m$  parts.

**(4.6) Definition** Given  $x_1, \dots, x_m, y_1, \dots, y_m \in \mathbb{C}$ , then for any  $i \in \mathbb{N}$ , set

$$s_i = x_1^i + \dots + x_m^i, \quad t_i = y_1^i + \dots + y_m^i$$

These are called the **power sums** (sometimes referred to in the literature as **Newton sums**).

**(4.7) Lemma**  $\sum_{\lambda \in \Lambda^+(m, n)} \frac{|x^{\ell_1}, \dots, x^{\ell_m}| |y^{\ell_1}, \dots, y^{\ell_m}|}{|x^{m-1}, \dots, 1| |y^{m-1}, \dots, 1|} = \frac{1}{n!} \sum_{\text{ccls } \alpha} n_{\alpha} s_1^{\alpha_1} \dots s_n^{\alpha_n} t_1^{\alpha_1} \dots t_n^{\alpha_n}$

Note that both quotients on the left-hand side genuinely are polynomials (in fact, Schur polynomials), so they make sense even if the  $x_i, y_i$  are not distinct.

**Proof** Both sides are polynomials, so without loss of generality we may take all the  $x_i, y_i$  to have modulus  $< 1$ . Then

$$\begin{aligned}
\log \prod_{i,j=1}^n \frac{1}{1 - x_i y_j} &= \sum_{i,j=1}^n \left( \frac{x_i y_j}{1} + \frac{(x_i y_j)^2}{2} + \frac{(x_i y_j)^3}{3} + \dots \right) \\
&= \frac{s_1 t_1}{1} + \frac{s_2 t_2}{2} + \frac{s_3 t_3}{3} + \dots
\end{aligned}$$

and hence

$$\begin{aligned}
\prod_{i,j=1}^n \frac{1}{1 - x_i y_j} &= \exp \left( \frac{s_1 t_1}{1} + \frac{s_2 t_2}{2} + \frac{s_3 t_3}{3} + \dots \right) \\
&= \sum_{n=0}^{\infty} \frac{1}{n!} \left( \frac{s_1 t_1}{1} + \frac{s_2 t_2}{2} + \frac{s_3 t_3}{3} + \dots \right)^n \\
&= \sum_{(*)} \left( \frac{1}{n!} \cdot \frac{n!}{\alpha_1! \alpha_2! \dots} \left( \frac{s_1 t_1}{1} \right)^{\alpha_1} \left( \frac{s_2 t_2}{2} \right)^{\alpha_2} \dots \right)
\end{aligned}$$

where  $(*)$  denotes the sum over all  $n$  and all sequences  $\alpha_1, \alpha_2, \dots$  of nonnegative integers with only finitely many nonzero terms and  $\alpha_1 + \alpha_2 + \dots = n$ . But then

$$\prod_{i,j=1}^n \frac{1}{1 - x_i y_j} = \sum_{(*)} \frac{s_1^{\alpha_1} s_2^{\alpha_2} \dots t_1^{\alpha_1} t_2^{\alpha_2} \dots}{1^{\alpha_1} 2^{\alpha_2} \dots \alpha_1! \alpha_2! \dots}$$



By (4.4)(Cauchy) and (4.5), we have

$$\sum_{\ell_1 > \ell_2 > \dots > \ell_m \geq 0} \frac{|x^{\ell_1}, \dots, x^{\ell_m}|}{|x^{m-1}, \dots, 1|} \frac{|y^{\ell_1}, \dots, y^{\ell_m}|}{|y^{m-1}, \dots, 1|} = \prod_{i,j=1}^m \frac{1}{1 - x_i y_j} = \sum_{(*)} \frac{s_1^{\alpha_1} s_2^{\alpha_2} \dots t_1^{\alpha_1} t_2^{\alpha_2} \dots}{1^{\alpha_1} 2^{\alpha_2} \dots \alpha_1! \alpha_2! \dots}$$

so we can equate terms which are of degree  $n$  in the  $x_i$  to obtain the desired result.  $\square$

**(4.8) Definition** For  $\lambda = (\lambda_1, \dots, \lambda_m) \in \mathbb{Z}^m$  and  $\alpha$  a conjugacy class in  $S_n$ , let  $\psi_\lambda(\alpha)$  be the coefficient of the monomial  $x_1^{\lambda_1} \dots x_m^{\lambda_m}$  in  $s_1^{\alpha_1} \dots s_n^{\alpha_n}$ , i.e.

$$s_1^{\alpha_1} \dots s_n^{\alpha_n} = \sum_{\lambda \in \mathbb{Z}^m} \psi_\lambda(\alpha) x_1^{\lambda_1} \dots x_m^{\lambda_m}$$

### Remarks

(1)  $\psi_\lambda$  is a class function for  $S_n$ .

(2)  $\psi_\lambda(\alpha) = 0$  if any  $\lambda_i$  is negative or if  $\sum_{i=1}^m \lambda_i \neq n$ .

(3)  $\psi_\lambda$  is a symmetric function of the  $\lambda_i$ s.

**Notation** Set

$$\omega_\lambda(\alpha) = \sum_{\pi \in S_m} \varepsilon_\pi \psi_{(\ell_{\pi(1)}+1-m, \ell_{\pi(2)}+2-m, \dots, \ell_{\pi(m)})}(\alpha)$$

The idea now is to show that  $\omega_\lambda = \chi^\lambda$  whenever  $\lambda \vdash n$ .

**(4.9) Lemma** (*Character formula*)

$$s_1^{\alpha_1} \dots s_n^{\alpha_n} |x^{m-1}, \dots, 1| = \sum_{\lambda \in \Lambda^+(m,n)} \omega_\lambda(\alpha) |x^{\ell_1}, \dots, x^{\ell_m}|$$

**Proof** The left-hand side is equal to

$$\sum_{\lambda \in \mathbb{Z}^m} \psi_\lambda(\alpha) x_1^{\lambda_1} \dots x_m^{\lambda_m} \sum_{\tau \in S_m} \varepsilon_\tau x_{\tau(1)}^{m-1} \dots x_{\tau(m)}^0$$

which, by combining terms, is equal to

$$\sum_{\lambda \in \mathbb{Z}^m} \sum_{\tau \in S_m} \varepsilon_\tau \psi_\lambda(\alpha) x_{\tau(1)}^{\lambda_{\tau(1)}+m-1} \dots x_{\tau(m)}^{\lambda_{\tau(m)}}$$

Let  $\ell_i = \lambda_{\tau(i)} + m - i$ —note that this is not our usual convention—then since the  $\psi_\lambda(\alpha)$  are symmetric, our expression is equal to

$$\begin{aligned} & \sum_{\lambda \in \mathbb{Z}^m} \sum_{\tau \in S_m} \psi_{(\ell_1+1-m, \dots, \ell_m)}(\alpha) x_{\tau(1)}^{\ell_1} \dots x_{\tau(m)}^{\ell_m} \\ &= \sum_{\lambda \in \mathbb{Z}^m} \psi_{(\ell_1+1-m, \dots, \ell_m)}(\alpha) |x^{\ell_1}, \dots, x^{\ell_m}| \end{aligned}$$

Whenever the  $\ell_i$  are not distinct, the corresponding term gives zero. So setting  $\lambda_i = \ell_i + i - m$  and using the fact that  $\ell_1 > \dots > \ell_m$  if and only if  $\lambda_1 \geq \dots \geq \lambda_m$ , our expression is equal to

$$\sum_{\lambda_1 \geq \dots \geq \lambda_m} \sum_{\pi \in S_m} \psi_{(\ell_{\pi(1)}+1-m, \dots, \ell_{\pi(m)})} \varepsilon_\pi |x^{\ell_1}, \dots, x^{\ell_m}|$$

The terms for which  $\lambda$  is not a partition of  $n$  are zero, for if  $\lambda_m < 0$  then  $\ell_m + \pi^{-1}(m) - m < 0$ . Thus we have the desired equality.  $\square$

**(4.10) Lemma** (*Orthogonality of  $\omega$* ) Let  $\lambda, \lambda' \vdash n$  be partitions of  $\leq m$  parts. Then

$$\sum_{\text{ccls } \alpha} n_\alpha \omega_\lambda(\alpha) \omega_{\lambda'}(\alpha) = \begin{cases} n! & \text{if } \lambda = \lambda' \\ 0 & \text{if } \lambda \neq \lambda' \end{cases}$$

**Proof** By (4.7) we have

$$\sum_{\lambda \in \Lambda^+(m, n)} |x^{\ell_1}, \dots, x^{\ell_m}| |y^{\ell'_1}, \dots, y^{\ell'_m}| = \frac{1}{n!} \sum_{\text{ccls } \alpha} n_\alpha s_1^{\alpha_1} \dots s_n^{\alpha_n} t_1^{\alpha_1} \dots t_n^{\alpha_n} |x^{m-1}, \dots, 1| |y^{m-1}, \dots, 1|$$

By (4.9) this is equal to

$$\frac{1}{n!} \sum_{\text{ccls } \alpha} \left( \sum_{\lambda, \lambda' \in \Lambda^+(m, n)} n_\alpha \omega_\lambda(\alpha) \omega_{\lambda'}(\alpha) |x^{\ell_1}, \dots, x^{\ell_m}| |y^{\ell'_1}, \dots, y^{\ell'_m}| \right)$$

where  $\ell_i$  come from  $\lambda_i$  and  $\ell'_i$  come from  $\lambda'_i$  in the usual way.

As  $\lambda, \lambda'$  vary, the polynomials  $|x^{\ell_1}, \dots, x^{\ell_m}|$  and  $|y^{\ell'_1}, \dots, y^{\ell'_m}|$  are linearly independent in the polynomial ring  $\mathbb{C}[x_1, \dots, x_m, y_1, \dots, y_m]$ , so the result follows.  $\square$

Now that we have trudged through lots of combinatorics we will reintroduce the symmetric group.

**(4.11) Lemma** If  $\lambda \in \Lambda^+(n, m)$  then  $\psi_\lambda(\alpha)$  is the character of the  $\mathbb{C}S_n$ -module  $\mathbb{C}S_n r_\lambda$ , where

$$r_\lambda = \sum_{\sigma \in R_{t_\lambda^\circ}} \sigma$$

**Proof** (X-rated) Take the character  $\chi$  and of  $\mathbb{C}S_n r_\lambda$  and suppose  $\sigma \in \alpha$ , where  $\alpha$  is a conjugacy class in  $S_n$ . Write  $R = R_{t_\lambda^\circ}$ , and find a coset decomposition

$$S_n = \bigcup_{i=1}^N g_i R$$

for the transversal  $\{1 = g_1, g_2, \dots, g_N\}$ . (Recall: a **transversal** is a minimal set of coset representatives.) Then  $\mathbb{C}S_n r_\lambda$  has basis  $\{g_i r_\lambda\}_{1 \leq i \leq N}$ , which we now use to calculate the traces. The  $\mathbb{C}S_n$ -action is given by  $\sigma g_i r_\lambda = g_j r_\lambda$  for  $\sigma \in S_n$ , where  $\sigma g_i \in g_j R$ . Thus

$$\chi(\alpha) = |\{1 \leq i \leq N : g_i^{-1} \sigma g_i \in R\}|$$

We will now take this and bash it very hard lots of times until enough juice comes out to give us what we want.

Now  $g^{-1} \sigma g \in R$  if and only if  $g \in g_i R$ , where  $g_i^{-1} \sigma g_i \in R$ , and  $|R| = \prod_1^m \lambda_j!$ , so

$$\chi(\alpha) = \frac{1}{\prod_1^m \lambda_j!} |\{g \in S_n : g^{-1} \sigma g \in R\}|$$

Now since  $g^{-1} \sigma g = h^{-1} \sigma h$  if and only if  $gh^{-1} \in C_{S_n}(\sigma)$ , and each value taken by  $g^{-1} \sigma g$  for  $g \in S_n$  is taken by  $|C_{S_n}|$  elements. By (4.1) we have

$$\chi(\alpha) = \left( \frac{1}{\prod_1^m \lambda_j!} 1^{\alpha_1} 2^{\alpha_2} \dots n^{\alpha_n} \alpha_1! \alpha_2! \dots \alpha_n! \right) |\alpha \cap R|$$

since  $|C_{S_n}(\sigma)| = n!/n_\alpha$ . Now a permutation  $\tau \in \alpha \cap R$  restricts to a permutation of the numbers in the  $i^{\text{th}}$  row of  $t_\lambda^\circ$ . If this restriction involves, say,  $\alpha_{ij}$   $j$ -cycles, then the  $\alpha_{ij}$  satisfy

$$\left. \begin{aligned} \alpha_{i1} + 2\alpha_{i2} + \dots + n\alpha_{in} &= \lambda_i & (1 \leq i \leq m) \\ \alpha_{1j} + \alpha_{2j} + \dots + \alpha_{mj} &= \lambda_j & (1 \leq j \leq n) \end{aligned} \right\} (*)$$

The number of permutations in  $R$  of this type is

$$\left( \frac{\lambda_1!}{1^{\alpha_{11}} \dots n^{\alpha_{1n}} \alpha_{11}! \dots \alpha_{1n}!} \right) \left( \frac{\lambda_2!}{1^{\alpha_{21}} \dots n^{\alpha_{2n}} \alpha_{21}! \dots \alpha_{2n}!} \right) \cdots \left( \frac{\lambda_m!}{1^{\alpha_{m1}} \dots n^{\alpha_{mn}} \alpha_{m1}! \dots \alpha_{mn}!} \right)$$

and so

$$\chi(\alpha) = \sum \left[ \left( \frac{\alpha_1!}{1^{\alpha_{11}} \dots n^{\alpha_{1n}} \alpha_{11}! \dots \alpha_{1n}!} \right) \cdots \left( \frac{\alpha_m!}{1^{\alpha_{m1}} \dots n^{\alpha_{mn}} \alpha_{m1}! \dots \alpha_{mn}!} \right) \right] \quad (\dagger)$$

where the sum runs over all  $\alpha_{ij}$  satisfying (\*). By the multinomial theorem,

$$s_1^{\alpha_1} \dots s_n^{\alpha_n} = \sum \left[ \left( \frac{\alpha_1! x_1^{\alpha_{11}} \dots x_m^{\alpha_{1m}}}{1^{\alpha_{11}} \dots n^{\alpha_{1n}} \alpha_{11}! \dots \alpha_{1n}!} \right) \cdots \left( \frac{\alpha_m! x_1^{\alpha_{m1}} \dots x_m^{\alpha_{mm}}}{1^{\alpha_{m1}} \dots n^{\alpha_{mn}} \alpha_{m1}! \dots \alpha_{mn}!} \right) \right]$$

where the sum runs over all  $\alpha_{ij} \in \mathbb{N}$  satisfying

$$\alpha_{1j} + \alpha_{2j} + \cdots + \alpha_{mj} = \alpha_j$$

for all  $1 \leq j \leq n$ . So  $\psi_\lambda(\alpha)$ , which is the coefficient of  $x_1^{\lambda_1} \dots x_m^{\lambda_m}$ , is equal to the right-hand side of ( $\dagger$ ), and hence it equals  $\chi(\alpha)$ .  $\square$

**(4.12) Lemma** Let  $\lambda, \mu \vdash n$  with  $\mu \leq \lambda$ . The irreducible module  $\mathbb{C}S_n h_\mu$  is isomorphic to a submodule of  $\mathbb{C}S_n r_\lambda$  if and only if  $\lambda = \mu$ .

**Proof** With  $\mu < \lambda$  and  $\sigma \in S_n$ , by **(2.6)** there exist two integers in the same row of  $t_\lambda^\circ$  and the same column of  $\sigma^{-1} t_\mu^\circ$ , so if  $\tau$  is their transposition then  $\sigma \tau \sigma^{-1} \in C_{t_\mu^\circ}$ . And

$$h_\mu \sigma r_\lambda = h_\mu \sigma \tau \sigma^{-1} \sigma \tau r_\lambda = -h_\mu \sigma r_\lambda \quad \Rightarrow \quad h_\mu \sigma r_\lambda = 0$$

Thus  $0 = h_\mu \mathbb{C}S_n r_\lambda \cong \text{Hom}_{\mathbb{C}S_n}(\mathbb{C}S_n h_\mu, \mathbb{C}S_n r_\lambda)$  by **(1.12)**.

Conversely suppose  $\mu = \lambda$ . Then

$$h_\lambda r_\lambda \sum_{\sigma \in C_{t_\lambda^\circ}} \varepsilon_\sigma \sigma = h_\lambda^2 \neq 0$$

so  $0 \neq h_\lambda \mathbb{C}S_n r_\lambda \cong \text{Hom}_{\mathbb{C}S_n}(\mathbb{C}S_n h_\lambda, \mathbb{C}S_n r_\lambda)$ .  $\square$

Note that, in general,  $\mathbb{C}S_n h_\lambda$  is not a submodule of  $\mathbb{C}S_n r_\lambda$ .

**(4.13) Lemma** If  $\lambda \in \Lambda^+(n, m)$  then  $\omega_\lambda = \chi^\lambda$ .

**Proof** We'll take this in steps.

**Step 1**  $\omega_\lambda$  is a  $\mathbb{Z}$ -linear combination of  $\psi_\nu$  with  $\nu \geq \lambda$  and with coefficient of  $\psi_\lambda$  equal to 1.

If  $\pi \in S_n$  and  $\mu_\pi$  is the partition with parts

$$\ell_{\pi(1)} + 1 - m, \dots, \ell_{\pi(m)}$$

i.e. with parts  $\lambda_i + \pi^{-1}(i) - 1$ . Since  $\psi_\lambda(\alpha)$  is symmetric in the  $\lambda_i$ , we can write

$$\omega_\lambda = \sum_{\pi \in S_n} \varepsilon_\pi \psi_{\mu_\pi}$$

If  $\pi = 1$  then  $\mu_\pi = \lambda$ , so we're done.

If  $\pi \neq 1$ , then  $\lambda_1 + \pi^{-1}(1) - 1 \geq \lambda$  (with equality if and only if  $\pi^{-1}(1) = 1$ ; and then  $\lambda_2 + \pi^{-1}(2) - 2 \geq \lambda_2$  with equality if and only if  $\pi^{-1}(2) = 2$ ; and so on. Thus  $\mu_\pi > \lambda$ .  $\triangleleft$

**Step 2**  $\omega_\lambda = \sum_{\nu \vdash n} k_{\lambda\nu} \chi^\nu$  with  $k_{\lambda\nu} \in \mathbb{Z}$ ,  $k_{\lambda\lambda} > 0$  and  $k_{\lambda\nu} = 0$  if  $\nu < \lambda$ .

By **(4.11)** and **(4.12)**,  $\psi_\lambda$  is an  $\mathbb{N}$ -linear combination of  $\chi^\mu$ 's with  $\mu \geq \lambda$  and with nonzero coefficient of  $\chi^\lambda$ . Thus  $\omega_\lambda$  is a  $\mathbb{Z}$ -linear combination of  $\chi^\nu$ 's with  $\nu \geq \lambda$  and with positive coefficient of  $\chi^\lambda$ .  $\triangleleft$

**Step 3** The result follows.

We know by (4.10) that

$$\sum_{\text{ccls } \alpha} n_\alpha \omega_\lambda(\alpha) \omega_\mu(\alpha) = \begin{cases} n! & \text{if } \lambda = \mu \\ 0 & \text{if } \lambda \neq \mu \end{cases}$$

In the case  $\lambda = \mu$ , orthogonality of  $\chi^\lambda$  implies  $\sum_{\nu \vdash n} k_{\lambda\nu}^2 = 1$ , and thus  $k_{\lambda\nu} = 0$  if  $\lambda \neq \mu$  and  $k_{\lambda\lambda} = 1$ .  $\triangleleft$

So we're done.  $\square$

The  $k_{\lambda\nu}$ s in the above proof are sometimes referred to as **Kostka numbers** in the literature.

At long last, take  $m \in \mathbb{N}$ ,  $x_1, \dots, x_m \in \mathbb{C}$  arbitrary,  $\ell_i = \lambda_i + m - i$  for  $\lambda \vdash n$ , and  $s_i = x_1^i + \dots + x_m^i$ .

**(4.14) Theorem**  $s_1^{\alpha_1} \dots s_n^{\alpha_n} |x^{m-1}, \dots, 1| = \sum_{\lambda \in \Lambda^+(m, n)} \chi^\lambda(\alpha) |x^{\ell_1}, \dots, x^{\ell_n}|$

**Proof** (4.9) and (4.13).  $\square$

**Remarks**

- (1) With  $m \geq n$  we can ensure that the right-hand side of the equation in (4.14) involves all partitions of  $n$ .
- (2) If  $\lambda \in \Lambda^+(m, n)$  then  $\chi^\lambda(\alpha)$  is the coefficient of the monomial  $x_1^{\ell_1} \dots x_m^{\ell_m}$  in the expansion of  $s_1^{\alpha_1} \dots s_n^{\alpha_n}$ .

## 5 The hook length formula

We already know by (3.8) that the dimension of an irreducible  $\mathbb{C}S_n$ -module is the number of standard tableaux. We give some results which allow for easy calculation of this dimension.

(5.1) **Theorem** (*Young–Frobenius formula*) If  $\lambda \in \Lambda^+(n, m)$  then

$$f_\lambda = \deg \chi^\lambda = n! \cdot \frac{\prod_{1 \leq i < j \leq n} (\ell_i - \ell_j)}{\prod_{i=1}^n \ell_i!}$$

**Proof**  $\deg \chi^\lambda = \chi^\lambda(1)$  is the coefficient of  $x_1^{\ell_1} \dots x_m^{\ell_m}$  in the expansion of

$$(x_1 + \dots + x_m)^n |x^{m-1}, \dots, 1| = \sum_{\tau \in S_n} (x_1 + \dots + x_m)^n \varepsilon_\tau x_1^{\tau(1)-1} \dots x_m^{\tau(m)-m}$$

By the multinomial theorem, this coefficient equals

$$\begin{aligned} & \sum_{\tau \in S_n} \frac{n!}{(\ell_1 + 1 - \tau(1))! \dots (\ell_m + 1 - \tau(m))!} \\ &= n! \left| \frac{1}{(\ell - m + 1)!}, \dots, \frac{1}{(\ell - 1)!}, \frac{1}{\ell!} \right| \\ &= n! \cdot \frac{1}{\prod_{j=1}^m \ell_j!} | \dots, \ell(\ell - 1), \ell, 1 | \\ &= n! \cdot \frac{1}{\prod_{j=1}^m \ell_j!} | \ell^{m-1}, \dots, \ell^2, \ell, 1 | \end{aligned}$$

This determinant is a Vandermonde determinant, so applying (4.3) gives the result.  $\square$

### (5.2) Definitions

(a) Let  $\lambda \vdash n$ . The  $(i, j)$ -**hook** of  $\lambda$  is the intersection of an infinite  $\Gamma$ -shape having  $(i, j)$  as its corner with  $[\lambda]$ . For example, the  $(2, 2)$ -hook of  $(4^2, 3)$  is shown as stars ( $\star$ ) in the following tableau:

$$\begin{array}{cccc} \bullet & \bullet & \bullet & \bullet \\ \bullet & \star & \star & \star \\ \bullet & \star & \bullet & \bullet \end{array}$$

(b) The  $(i, j)$ -hook of  $[\mu]$  comprises the  $(i, j)$ -**node** along with the  $(\mu_i - j)$  nodes to the right of it (the **arm**) and the  $(\mu'_j - i)$  nodes below it (the **leg**). [Here,  $[\mu]$  has column lengths  $\mu'_1, \mu'_2, \dots$ ] The **length** of the  $(i, j)$ -hook is  $h_{ij} = \mu_i + \mu'_j + 1 - i - j$ .

(c) Replacing the  $(i, j)$ -node of  $[\mu]$  by  $h_{ij}$  for each node gives the **hook graph**. For example, the hook graph of  $(4^2, 3)$  is

$$\begin{array}{cccc} 6 & 5 & 4 & 2 \\ 5 & 4 & 3 & 1 \\ 3 & 2 & 1 & \end{array}$$

(d) A **skew hook** is a connected part of the **rim** of  $[\mu]$  which can be removed to leave a proper diagram. For example, the skew 4-hooks of  $(4^2, 3)$  are

$$\begin{array}{cccc} \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \star & \star \\ \bullet & \star & \star & \end{array} \quad \text{and} \quad \begin{array}{cccc} \bullet & \bullet & \bullet & \star \\ \bullet & \bullet & \star & \star \\ \bullet & \bullet & \star & \end{array}$$

There is a natural one-to-one correspondence between hooks and skew hooks in a given tableau. For each node  $(i, j)$  there is a unique skew hook starting in the  $i^{\text{th}}$  row and ending in the  $j^{\text{th}}$  column, and it corresponds with the  $(i, j)$ -hook.

**Theorem (5.3)** (*hook length formula*) [Frame, Robinson & Thrall, 1954]

$$f_\lambda = \frac{n!}{\prod_{(i,j) \in [\lambda]} h_{ij}}$$

**Proof** Suppose  $\lambda$  has  $m$  parts. By (5.1) we have to show that

$$\prod_{k=i+1}^m (\ell_i - \ell_k) \prod_{j=1}^{\lambda_i} h_{ij} = \ell_i! \quad \text{for each } i$$

The (combined) product on the left-hand side is a product of  $\lambda_i + m - 1 = \ell_i$  terms, so it suffices to show that they are precisely  $1, 2, \dots, \ell_i$  in some order. Now

$$\ell_i - \ell_m > \ell_i - \ell_{m-1} > \dots > \ell_i - \ell_{i+1}$$

$$h_{i1} > h_{i2} > \dots > h_{i\lambda_i}$$

Since  $\lambda$  has  $m$  parts and  $\lambda'_1$  is the length of the first column,  $\lambda'_1 = m$ , and  $h_{i1} = \lambda_i$ . So each term is  $\leq \ell_i$ . Thus it is enough to show that  $h_{ij} \neq \ell_i - \ell_k$  for any  $j, k$ .

However if  $r = \lambda'_j$  then  $\lambda_r \geq j$  and  $\lambda_{r+1} < j$ , so

$$h_{ij} - \ell_i + \ell_{r+1} = (\lambda_i + r - 1 - j + 1) - (\lambda_i + m - i) + (\lambda_r + m - r) = \lambda_r + 1 - j > 0$$

$$h_{ij} - \ell_i + \ell_{r+1} = (\lambda_i + r - 1 - j + 1) - (\lambda_i + m - i) + (\lambda_{r+1} + m - r - 1) = \lambda_{r+1} - j < 0$$

So  $\ell_i - \ell_r < h_{ij} < \ell_i - \ell_{r+1}$ . □

This theorem is often referred to as the FRT theorem in the literature.

**Example** When  $n = 11$  and  $\lambda = (6, 3, 2)$ , the hook graph is given by

$$\begin{array}{cccccc} 8 & 7 & 6 & 5 & 3 & 2 & 1 \\ 4 & 3 & 1 & & & & \\ 2 & 1 & & & & & \end{array}$$

and so

$$f_\lambda = \frac{11!}{8 \cdot 7 \cdot 5 \cdot 4 \cdot 3^2 \cdot 2^2 \cdot 1^3} = 990$$

### Remarks

(1) The determinantal form of the **Young–Frobenius formula** is given by

$$f_\lambda = n! \left| \frac{1}{(\lambda_i - i + j)!} \right|$$

where  $\lambda \in \Lambda^+(n, m)$  and the determinant on the right is of an  $m \times m$  matrix and we adopt the convention  $\frac{1}{m!} = 0$  if  $m < 0$ . For a proof see e.g. Sagan or James §19.

(2) The equation  $\sum_{\lambda \vdash n} f_\lambda^2 = n!$  can be proved as a purely combinatorial statement without reference to representations, using the so-called ‘RSK algorithm’ (for Robinson–Schensted–Knuth).

(3) The **Murnaghan–Nakayama rule** (e.g. example sheet 1, question 9) states that if  $\pi\rho \in S_n$  with  $\rho$  an  $r$ -cycle and  $\pi$  a permutation of the remaining  $n - r$  numbers then

$$\chi^\lambda(\pi\rho) = \sum_{\nu} (-1)^i \chi^\nu(\pi)$$

where  $\nu$  runs over partitions for which  $[\lambda] - [\nu]$  is a skew  $r$ -hook of leg length  $i$ . (Note: leg length refers to the leg of the hook with which the skew hook corresponds.)

For example,

$$\begin{aligned}
 \chi^{(5,4^2)}(\overbrace{(5, 4, 3, 1)}^{\text{conj. class}}) &= -\chi^{(5,3)} + \chi^{(3^2,2)} && \text{on } (4, 3, 1) \\
 &= \underbrace{\chi^{(2^2)}}_{\text{from } (5,3)} - \underbrace{(\chi^{(3,1)} + \chi^{(2,1^2)})}_{\text{from } (3^2,2)} && \text{on } (3, 1) \\
 &= -\chi^{(1)} + 0 + 0 && \text{on } (1) \\
 &= -1
 \end{aligned}$$

# Chapter II: Representation theory of general linear groups

## 6 Multilinear algebra and algebraic geometry

Let  $V$  and  $W$  be finite-dimensional  $\mathbb{C}G$ -modules, where  $G$  is any (possibly infinite) group. Recall the following:

- **Tensor products:**  $V \otimes_{\mathbb{C}} W$  is a  $\mathbb{C}G$ -module with the diagonal action  $g(v \otimes w) = gv \otimes gw$ .

Basic properties:

- $V \otimes \mathbb{C} \cong \mathbb{C}$
- $V \otimes W \cong W \otimes V$
- $(V \otimes W) \otimes Z \cong V \otimes (W \otimes Z)$
- If  $\theta : V \rightarrow V'$  and  $\varphi : W \rightarrow W'$  are  $\mathbb{C}G$ -maps then there is a  $\mathbb{C}G$ -map defined by

$$\begin{aligned} \theta \otimes \varphi : V \otimes W &\rightarrow V' \otimes W' \\ v \otimes w &\mapsto \theta(v) \otimes \varphi(w) \end{aligned}$$

- **Hom spaces:**  $\text{Hom}_{\mathbb{C}}(V, W)$  is a  $\mathbb{C}G$ -module via

$$\left( \sum_i \alpha_i g_i \right) (f)(v) = \sum_i \alpha_i g_i (f(g_i^{-1}v))$$

- **Dual space:**  $V^* = \text{Hom}_{\mathbb{C}}(V, \mathbb{C})$ .

Basic properties:

- If  $V$  is 1-dimensional then  $V^* \otimes V \cong \mathbb{C}$ .
- If  $\theta : V \rightarrow W$  is a  $\mathbb{C}G$ -map then the dual  $\theta^* : W^* \rightarrow V^*$  is too.
- The map

$$\begin{aligned} V^* \otimes W &\rightarrow \text{Hom}_{\mathbb{C}}(V, W) \\ f \otimes w &\mapsto (v \mapsto f(v)w) \end{aligned}$$

is a  $\mathbb{C}G$ -isomorphism.

- **Tensor powers:** The  $n^{\text{th}}$  tensor power of  $V$  is defined by

$$T^n V = V^{\otimes n} = \underbrace{V \otimes \cdots \otimes V}_{n \text{ copies}}, \quad T^0 V = \mathbb{C}$$

Basic properties:

- If  $V$  has basis  $\{e_i : 1 \leq i \leq m\}$  then  $V^{\otimes n}$  has basis

$$\{e_{i_1} \otimes \cdots \otimes e_{i_n} : 1 \leq i_1, \dots, i_n \leq m\}$$

and hence  $\dim(V^{\otimes n}) = m^n$ .

- $V^{\otimes n}$  is naturally a left  $\mathbb{C}S_n$ -module via

$$\sigma(v_1 \otimes \cdots \otimes v_n) = v_{\sigma^{-1}(1)} \otimes \cdots \otimes v_{\sigma^{-1}(n)}$$

- The actions of  $\mathbb{C}S_n$  and  $\mathbb{C}G$  commute, i.e.

$$\sigma g x = g \sigma x \quad \text{for all } g \in G, \sigma \in S_n, x \in V^{\otimes n}$$

**(6.1) Definition** The  $n^{\text{th}}$  exterior power of  $V$  is  $\Lambda^n V = V^{\otimes n} / X$ , where

$$X = \text{span}_{\mathbb{C}} \{x - \varepsilon_{\sigma} \sigma x : x \in V^{\otimes n}, \sigma \in S_n\}$$

The image of  $v_1 \otimes \cdots \otimes v_n$  in  $\Lambda^n V$  under the quotient map will be denoted by  $v_1 \wedge \cdots \wedge v_n$ .

Define the **antisymmetric tensors** by

$$T^n V_{\text{anti}} = \{x \in V^{\otimes n} : \sigma x = \varepsilon_{\sigma} x \text{ for all } \sigma \in S_n\}$$

**(6.2) Lemma**



(i)  $v_1 \wedge \cdots \wedge v_n = \varepsilon_\sigma v_{\sigma^{-1}(1)} \wedge \cdots \wedge v_{\sigma^{-1}(n)}$  for all  $\sigma \in S_n$ .

This tells us, by considering transpositions, that  $v_1 \wedge \cdots \wedge v_n = 0$  whenever  $v_i = v_j$  for some  $i \neq j$ .

(ii)  $T^n V_{\text{anti}}$  and  $\Lambda^n V$  are  $\mathbb{C}G$ -modules.

(iii)  $\Lambda^n V$  has basis  $\{e_{i_1} \wedge \cdots \wedge e_{i_n} : 1 \leq i_1 < \cdots < i_n \leq n\}$ , and so  $\dim \Lambda^n V = \binom{n}{n}$ .

In particular,  $\Lambda^m V$  is one-dimensional and  $\Lambda^{m+1} V = \Lambda^{m+2} V = \cdots = 0$ .

**Proof** An easy exercise. □

**Remark** If  $V$  is  $m$ -dimensional over a field  $k$  then usually we define  $\Lambda^n V = V^{\otimes n}/X$ , where

$$X = \text{span}_{\mathbb{C}}\{v_1 \otimes \cdots \otimes v_n : v_i = v_j \text{ for some } i \neq j\}$$

If  $\text{char } k \neq 2$  then this definition reduces to **(6.2)**.

**(6.3) Lemma**  $T^n V_{\text{anti}} = aV^{\otimes n}$ , where  $a = \sum_{\sigma \in S_n} \varepsilon_\sigma \sigma$  is the alternizer [cf. **(2.4)**], and the natural map  $T^n V_{\text{anti}} \rightarrow \Lambda^n V$  is an isomorphism of  $\mathbb{C}G$ -modules.

**Proof** If  $x$  is antisymmetric then

$$ax = \sum_{\sigma \in S_n} \varepsilon_\sigma \sigma x = \sum_{\sigma \in S_n} \varepsilon_\sigma (\varepsilon_\sigma x) = \sum_{\sigma \in S_n} x = n! x$$

and so  $T^n V_{\text{anti}} \subseteq aV^{\otimes n}$ .

Conversely, since  $\sigma a = \varepsilon_\sigma a$  for all  $\sigma \in S_n$ , any element of  $aV^{\otimes n}$  must be antisymmetric.

We have a map

$$aV^{\otimes n} \hookrightarrow V^{\otimes n} \rightarrow \Lambda^n V$$

which is a  $\mathbb{C}G$ -homomorphism and whose kernel is  $X \cap aV^{\otimes n} \subseteq aX$ , since  $x = \frac{1}{n!} ax$  for  $x$  antisymmetric. But for  $y \in V^{\otimes n}$ ,

$$a(y - \varepsilon_\sigma \sigma y) = ay - \varepsilon_\sigma (\varepsilon_\sigma a)y = ay - ay = 0$$

so  $ax = 0$  (since  $x \in X$ ). Hence the map is injective. And it is surjective: any  $x \in \Lambda^n V$  is the image of some  $y \in V^{\otimes n}$ , but then  $x$  is the image of  $\frac{1}{n!} ay \in aV^{\otimes n}$ . So the map is an isomorphism. □

**(6.4) Lemma**  $\Lambda^n(V^*) \cong (\Lambda^n V)^*$ .

**Proof** The quotient map  $V^{\otimes n} \rightarrow \Lambda^n V$  is surjective, so induces an inclusion

$$(\Lambda^n V)^* \hookrightarrow (T^n V)^* = T^n(V^*)$$

Now by the universal property of  $\Lambda^n V$  (i.e. any multilinear map  $\underbrace{V \times \cdots \times V}_{n \text{ copies}} \rightarrow \mathbb{C}$  factors through  $\Lambda^n V$ ), the image of the inclusion is  $T^n(V^*)_{\text{anti}}$ , which is isomorphic to  $\Lambda^n(V^*)$ . □

Now prepare for some déjà vu in **(6.5)**–**(6.8)**...

**(6.5) Definition** The  $n^{\text{th}}$  **symmetric power** of  $V$  is  $S^n V = V^{\otimes n}/Y$ , where

$$Y = \text{span}_{\mathbb{C}}\{x - \sigma x : x \in V^{\otimes n}, \sigma \in S_n\}$$

The image of  $v_1 \otimes \cdots \otimes v_n$  in  $S^n V$  under the quotient map will be denoted by  $v_1 \vee \cdots \vee v_n$ .

Define the **symmetric tensors** by

$$T^n V_{\text{sym}} = \{x \in V^{\otimes n} : \sigma x = x \text{ for all } \sigma \in S_n\}$$

**(6.6) Lemma**

(i)  $v_1 \vee \cdots \vee v_n = v_{\sigma^{-1}(1)} \vee \cdots \vee v_{\sigma^{-1}(n)}$  for all  $\sigma \in S_n$ .

(ii)  $T^n V_{\text{sym}}$  and  $S^n V$  are  $\mathbb{C}G$ -modules.

(iii)  $S^n V$  has basis  $\{e_{i_1} \vee \cdots \vee e_{i_n} : 1 \leq i_1 \leq \cdots \leq i_n \leq n\}$ , and so  $\dim S^n V = \binom{m+n-1}{n}$ .

**Proof** An easy exercise. □

**(6.7) Lemma**  $T^n V_{\text{sym}} = sV^{\otimes n}$ , where  $s = \sum_{\sigma \in S_n} \sigma$  is the symmetrizer [cf. (2.4)], and the natural map  $T^n V_{\text{sym}} \rightarrow S^n V$  is an isomorphism of  $\mathbb{C}G$ -modules.

**Proof** If  $x$  is symmetric then

$$sx = \sum_{\sigma \in S_n} \sigma x = \sum_{\sigma \in S_n} x = n!x$$

and so  $T^n V_{\text{sym}} \subseteq sV^{\otimes n}$ .

Conversely, since  $\sigma s = s$  for all  $\sigma \in S_n$ , any element of  $sV^{\otimes n}$  must be symmetric.

We have a map

$$sV^{\otimes n} \hookrightarrow V^{\otimes n} \rightarrow S^n V$$

which is a  $\mathbb{C}G$ -homomorphism and whose kernel is  $Y \cap aV^{\otimes n} \leq sY$ , since  $x = \frac{1}{n!}sx$  for  $x$  symmetric. But for  $y \in V^{\otimes n}$ ,

$$s(y - \sigma y) = sy - s\sigma y = 0$$

so  $sx = 0$  (since  $x \in Y$ ). Hence the map is injective. And it is surjective: any  $x \in S^n V$  is the image of some  $y \in V^{\otimes n}$ , but then  $x$  is the image of  $\frac{1}{n!}sy \in sV^{\otimes n}$ . So the map is an isomorphism. □

**(6.8) Lemma**  $S^n(V^*) \cong (S^n V)^*$ .

**Proof** The quotient map  $V^{\otimes n} \twoheadrightarrow S^n V$  is surjective, so induces an inclusion

$$(S^n V)^* \hookrightarrow (T^n V)^* = T^n(V^*)$$

Now by the universal property of  $S^n V$  (i.e. any multilinear map  $\underbrace{V \times \cdots \times V}_{n \text{ copies}} \rightarrow \mathbb{C}$  factors through  $S^n V$ ), the image of the inclusion is  $T^n(V^*)_{\text{sym}}$ , which is isomorphic to  $S^n(V^*)$ . □

## Polynomial maps between vector spaces

**(6.9) Definition** Let  $V$  and  $W$  be finite-dimensional  $\mathbb{C}$ -spaces with bases  $\{e_i : 1 \leq i \leq m\}$  and  $\{f_r : 1 \leq r \leq h\}$ , respectively. A function  $\varphi : V \rightarrow W$  is a **polynomial map** (resp.  **$n$ -homogeneous map**) if, for all  $X_1, \dots, X_m \in \mathbb{C}$ ,

$$\varphi(X_1 e_1 + \cdots + X_m e_m) = \varphi_1(\vec{X})f_1 + \cdots + \varphi_h(\vec{X})f_h$$

for some polynomials (resp. homogeneous polynomials of degree  $n$ )  $\varphi_1, \dots, \varphi_h$ . [Here  $\vec{X}$  abbreviates the  $m$ -tuple  $(X_1, \dots, X_m)$ .]

**(6.10) Lemma** The definitions in (6.9) do not depend on the choice of bases. That is, if there exist such functions  $\varphi_i$  with respect to some bases  $\{e_i\}, \{f_r\}$ , then there exist such functions with respect to any bases  $\{e'_i\}, \{f'_r\}$ .

**Proof** Write

$$e'_i = \sum_{j=1}^m p_{ji} e_j \quad \text{and} \quad f'_r = \sum_{s=1}^h q_{sr} f'_s$$

Then

$$\begin{aligned}\varphi\left(\sum_{i=1}^m X_i e'_i\right) &= \varphi\left(\sum_{i,j=1}^m X_i p_{ji} e_j\right) \\ &= \sum_{r=1}^h \varphi_r\left(\sum_{i_1=1}^m X_{i_1} p_{1i_1}, \dots, \sum_{i_m=1}^m X_{i_m} p_{mi_m}\right) f_r \\ &= \sum_{r,s=1}^h \varphi_r\left(\sum_{i_1=1}^m X_{i_1} p_{1i_1}, \dots, \sum_{i_m=1}^m X_{i_m} p_{mi_m}\right) q_{sr} f'_s\end{aligned}$$

and the  $\sum_{r=1}^h \varphi_r(\dots) q_{sr}$  are polynomials (resp.  $n$ -homogeneous polynomials) like the  $\varphi_r$ .  $\square$

Let  $P_{\mathbb{C}}(V, W)$  and  $H_{\mathbb{C},n}(V, W)$  denote the spaces of polynomial maps and  $n$ -homogeneous maps, respectively, from  $V$  to  $W$ . They are  $\mathbb{C}$ -spaces, as can be easily verified.

**(6.11) Lemma** The composite of polynomial maps  $X \rightarrow W \rightarrow Z$  is a polynomial map  $X \rightarrow Z$ . Likewise, the composite of an  $n$ -homogeneous map  $X \rightarrow W$  and a  $n'$ -homogeneous map  $W \rightarrow Z$  is an  $nn'$ -homogeneous map  $X \rightarrow Z$ .

**Proof** An easy exercise.  $\square$

### Basic examples

- (1)  $H_{\mathbb{C},0}(V, W) \cong W$
- (2)  $H_{\mathbb{C},1}(V, W) = \text{Hom}_{\mathbb{C}}(V, W)$
- (3)  $\Delta \in H_{\mathbb{C},n}(V, S^n V)$ , where  $\Delta : v \mapsto v \vee \dots \vee v$ . For

$$\Delta\left(\sum_i X_i e_i\right) = \sum_{\vec{i}} X_{i_1} \dots X_{i_n} e_{i_1} \vee \dots \vee e_{i_n} = \sum_{i_1 \leq \dots \leq i_n} \lambda_{\vec{i}} X_{i_1} \dots X_{i_n} e_{i_1} \vee \dots \vee e_{i_n}$$

for suitable  $\lambda_{\vec{i}} \in \mathbb{C}$ . [Here  $\vec{i}$  abbreviates the  $n$ -tuple  $(i_1, \dots, i_n)$ .]

**(6.12) Theorem** For  $\mathbb{C}$ -spaces  $V$  and  $W$ , the map  $\psi \mapsto \psi \circ \Delta$  induces an isomorphism

$$\text{Hom}_{\mathbb{C}}(S^n V, W) \xrightarrow{\cong} H_{\mathbb{C},n}(V, W)$$

called **restitution**.

**Proof** It's injective: suppose  $\psi \circ \Delta = 0$ . We use descending induction on  $i$  to show that  $\psi(v_1 \vee \dots \vee v_n) = 0$  whenever  $i$  of the terms are equal. When  $i = n$  this is precisely our assumption. Suppose it's true for  $i + 1$ , then for any  $\alpha \in \mathbb{C}$  we have

$$\begin{aligned}0 &= \psi\left(\underbrace{(x + \alpha y) \vee \dots \vee (x + \alpha y)}_{i+1} \vee v_{i+2} \vee \dots \vee v_n\right) \\ &= \sum_{j=0}^{i+1} \alpha^j \binom{i+1}{j} \psi\left(\underbrace{x \vee \dots \vee x}_{i+1-j} \vee \underbrace{y \vee \dots \vee y}_j \vee v_{i+2} \vee \dots \vee v_n\right)\end{aligned}$$

Since this holds true for any  $\alpha \in \mathbb{C}$ , each term must be zero. In particular

$$\binom{i+1}{1} \psi\left(\underbrace{x \vee \dots \vee x}_i \vee y \vee v_{i+2} \vee \dots \vee v_n\right) = 0$$

If  $\dim V = m$  and  $\dim W = h$ , say, then

$$\dim \text{Hom}_{\mathbb{C}}(S^n V, W) = h \binom{m+n-1}{n} = \dim H_{\mathbb{C},n}(V, W)$$

So the map is an isomorphism.  $\square$

**(6.13) Corollary**  $S^n V = \text{span}_{\mathbb{C}}\{v \vee \cdots \vee v : v \in V\}$

**Proof** Take  $W = \mathbb{C}$  in **(6.12)**. If the elements  $v \vee \cdots \vee v$  do not span then there exists a nonzero map  $S^n V \rightarrow \mathbb{C}$  whose composition with  $\Delta$  is zero, contradicting **(6.12)**.  $\square$

**Remark** We can construct the inverse explicitly. Let  $\varphi \in H_{\mathbb{C},n}(V, W)$ , so

$$\varphi(X_1 e_1 + \cdots + X_m e_m) = \varphi_1(X_1, \dots, X_m) f_1 + \cdots + \varphi_k(X_1, \dots, X_m) f_h$$

with  $\varphi_i$  homogeneous. The **total polarisation**  $P\varphi \in \text{Hom}_{\mathbb{C}}(S^n V, W)$  of  $\varphi$  is

$$P\varphi(e_{i_1} \vee \cdots \vee e_{i_n}) = \sum_{j=1}^h \underbrace{\frac{\partial^n \varphi_j}{\partial X_{i_1} \cdots \partial X_{i_n}}}_{\in \mathbb{C}} f_j$$

(The partial derivative is formal, is symmetric in the  $X_{i_k}$ , and lies in  $\mathbb{C}$  since  $\varphi_j$  has degree  $N$ .) Now

$$\begin{aligned} (P\varphi)(\Delta(X_1 e_1 + \cdots + X_m e_m)) &= \sum_{i_1, \dots, i_n} X_{i_1} \cdots X_{i_n} (P\varphi)(e_{i_1} \vee \cdots \vee e_{i_n}) \\ &= \sum_j \sum_{i_1, \dots, i_n} X_{i_1} \cdots X_{i_n} \frac{\partial^n \varphi_j}{\partial X_{i_1} \cdots \partial X_{i_n}} f_j \\ &= \sum_j n! \varphi_j(X_1, \dots, X_m) f_j \\ &= n! \varphi(X_1 e_1 + \cdots + X_m e_m) \end{aligned}$$

So  $P\varphi \circ \Delta = n! \varphi$ . The penultimate equality follows from **Euler's theorem**, which states that if  $F$  is  $r$ -homogeneous then  $\sum_i \frac{\partial F}{\partial X_i} X_i = rF$ .

**Example** Let  $\varphi : V \rightarrow \mathbb{C}$  be a quadratic form:

$$\varphi \left( \sum_{i=1}^m X_i e_i \right) = \sum_{i,j} a_{ij} X_i X_j, \quad a_{ij} = a_{ji}$$

Then  $(P\varphi) \left[ \left( \sum_i X_i e_i \right) \vee \left( \sum_j Y_j e_j \right) \right] = 2 \sum_{i,j} a_{ij} X_i Y_j$  is twice the corresponding symmetric bilinear form.

## Interlude: some reminders about affine varieties

Let  $K$  be an infinite field. Then  $R = K[X_1, \dots, X_n]$  a Noetherian ring by Hilbert's basis theorem.

Define  $\mathbb{A}^n K = K^n$ , the  $n$ -dimensional **affine space** over  $K$ .

If  $f \in R$  and  $p = (a_1, \dots, a_n) \in \mathbb{A}^n$  then the element  $f(p) = f(a_1, \dots, a_n) \in K$  is the **evaluation** of  $f$  at  $p$ .

Define a map

$$\begin{array}{ccc} \{\text{ideals } I \text{ of } R\} & \longleftrightarrow & \{\text{subsets of } \mathbb{A}^n\} \\ I & \longmapsto & V(I) \end{array}$$

where  $V(I) = \{p \in \mathbb{A}^n : f(p) = 0 \text{ for all } f \in I\}$ .

A subset  $X \subseteq \mathbb{A}^n$  is an **algebraic set** if  $X = V(I)$  for some ideal  $I$ . But  $I$  is finitely generated, say  $I = (f_1, \dots, f_m)$ , so

$$V(I) = \{p \in \mathbb{A}^n : f_j(p) = 0 \text{ for each } 1 \leq j \leq m\}$$

is a locus of points satisfying a finite number of polynomial equations.

Algebraic subsets of  $\mathbb{A}^n$  form the closed sets of a topology on  $\mathbb{A}^n$ , called the **Zariski topology**. The Zariski topology on  $\mathbb{A}^n$  induces a topology on any algebraic set  $X \subseteq \mathbb{A}^n$ : the closed subsets of  $X$  are the algebraic subsets of  $X$ .

**Example** Zariski-closed subsets of  $\mathbb{A}^1$  are  $\mathbb{A}^1$  and finite subsets of  $\mathbb{A}^1$ ; that is, the Zariski topology on  $\mathbb{A}^1$  is the **cofinite topology**.

Returning to our correspondence, we have a map in the other direction

$$\begin{array}{ccc} \{\text{ideals } I \text{ of } R\} & \longleftrightarrow & \{\text{subsets of } \mathbb{A}^n\} \\ \mathcal{I}(X) & \longleftarrow & X \end{array}$$

where  $\mathcal{I}(X) = \{f \in R : f(p) = 0 \text{ for all } p \in X\}$ .

An algebraic set  $X$  is **irreducible** if there does not exist a decomposition  $X = X_1 \cup X_2$  with  $X_1, X_2 \subsetneq X$  proper algebraic subsets. Note

$$X \text{ is irreducible} \Leftrightarrow \mathcal{I}(X) \text{ is a prime ideal}$$

If  $I \trianglelefteq R$  then the **radical**  $\sqrt{I}$  of  $I$  is given by

$$\sqrt{I} = \{f \in R : f^m \in I \text{ for some } m\}$$

and  $I$  is a **radical ideal** if  $I = \sqrt{I}$ . Note that prime ideals are automatically radical.

**Theorem** (*Nullstellensatz*) Let  $K$  be algebraically closed. Then

(a) Maximal ideals of  $R$  are of the form

$$\mathfrak{m}_j = (X_1 - a_1, \dots, X_n - a_n)$$

for some  $p = (a_1, \dots, a_n) \in \mathbb{A}^n$ .

(b) If  $(1) \neq I \trianglelefteq R$  then  $V(I) \neq \emptyset$ .

(c)  $\mathcal{I}(V(I)) = \sqrt{I}$  for all ideals  $I$ .

**Proof** Omitted. □

Let  $W$  a finite-dimensional  $K$ -space. A map  $f : W \rightarrow K$  is **regular** (or **polynomial**) if it is defined by a polynomial in the coordinates with respect to a given basis of  $W$ . (**Check**: it doesn't matter which basis we pick.)

Define the **coordinate ring** (or **ring of regular functions**) of  $W$ , denoted  $K[W]$ , to be the  $K$ -algebra of polynomial functions on  $W$ . If  $e_1, \dots, e_m$  is a basis of  $W$  and  $x_1, \dots, x_m$  is the corresponding dual basis of  $W^*$  then

$$K[W] = K[x_1, \dots, x_m]$$

We say  $f \in K[W]$  is  **$d$ -homogeneous** if  $f(tw) = t^d f(w)$  for all  $d \in \mathbb{C}$  and  $w \in W$ . Write  $K[W]_d$  for the subspace of  $K[W]$  of all  $d$ -homogeneous polynomials. Then

$$K[W] = \bigoplus_{d \geq 0} K[W]_d$$

is a graded  $K$ -algebra, i.e. if  $f, g$  are homogeneous of degree  $d_f, d_g$ , respectively, then  $fg$  is homogeneous of degree  $d_f + d_g$ .

The monomials  $x_1^{d_1} \cdots x_m^{d_m}$  with  $d_1 + \cdots + d_m = d$  form a basis of  $K[W]_d$ . In particular,  $K[W]_1 = W^*$ . This extends to a canonical identification of  $K[W]_d$  with  $S^d(W^*)$ , so that

$$K = \bigoplus_{d \geq 0} K[W]_d = \bigoplus_{d \geq 0} S^d(W^*) = S(W^*)$$

We call  $S(W^*)$  the **symmetric algebra** of  $W^*$ .

### Zariski-dense subsets

A subset  $X \subseteq W$ , with  $W$  finite-dimensional, is **Zariski-dense** if every function  $f \in K[W]$  vanishing on  $X$  is the zero function. So every polynomial function  $f \in K[W]$  is completely determined by its restriction  $f|_X$  to a Zariski-dense subset  $X \subseteq W$ .

Now let  $X$  be an arbitrary subset of  $W$ . As above, the ideal of  $X$

$$\mathcal{I}(X) = \{f \in K[W] : f(a) = 0 \text{ for all } a \in X\} = \bigcap_{a \in X} \mathfrak{m}_a$$

where  $\mathfrak{m}_a = \mathcal{I}(\{a\})$  is the maximal ideal of functions vanishing at  $a$ , i.e.

$$\mathfrak{m}_a = \ker \begin{pmatrix} \text{ev}_a & : & K[W] & \rightarrow & \mathbb{C} \\ & & f & \mapsto & f(a) \end{pmatrix}$$

We say  $X \subseteq Y$  is **Zariski-dense in  $Y$**  if  $\mathcal{I}(X) = \mathcal{I}(Y)$ .

**Further reading** Chapter 4 of Reid's book.

## 7 Schur–Weyl duality

Let  $V$  be an  $m$ -dimensional  $\mathbb{C}$ -space and let  $n \in \mathbb{N}$ .

$V^{\otimes n}$  is a right  $\mathbb{C}S_n$ -module via the action<sup>1</sup>

$$(v_1 \otimes \cdots \otimes v_n)\sigma = v_{\sigma(1)} \otimes \cdots \otimes v_{\sigma(n)}$$

So there is a map

$$\begin{aligned} \mathbb{C}S_n &\rightarrow \text{End}_{\mathbb{C}} V^{\otimes n} \\ \sigma &\mapsto (v \mapsto v\sigma) \end{aligned} \quad \text{---(1)}$$

Regarding  $V$  as a representation of  $GL(V)$  in the natural way,  $V^{\otimes n}$  is a (left)  $\mathbb{C}GL(V)$ -module via the action

$$g(v_1 \otimes \cdots \otimes v_n) = gv_1 \otimes \cdots \otimes gv_n$$

So there is a map

$$\begin{aligned} \mathbb{C}GL(V) &\rightarrow \text{End}_{\mathbb{C}} V^{\otimes n} \\ g &\mapsto (v \mapsto gv) \end{aligned} \quad \text{---(2)}$$

The actions (1) and (2) commute, so by abusing notation slightly, (1) induces a map

$$\varphi : \mathbb{C}S_n \rightarrow \text{End}_{\mathbb{C}GL(V)}(V^{\otimes n})$$

and (2) induces a map

$$\psi : \mathbb{C}GL(V) \rightarrow \text{End}_{\mathbb{C}S_n}(V^{\otimes n})$$

Schur–Weyl duality asserts that the images of  $\mathbb{C}S_n$  and  $\mathbb{C}GL(V)$  in  $\text{End}_{\mathbb{C}}(V^{\otimes n})$  are each other's centralizers.

**(7.1) Theorem** (*Schur–Weyl duality*) [Schur, 1927]

$$\psi(\mathbb{C}GL(V)) = \text{End}_{\mathbb{C}S_n}(V^{\otimes n}) \quad \text{and} \quad \varphi(\mathbb{C}S_n) = \text{End}_{\mathbb{C}GL(V)}(V^{\otimes n})$$

Such an assertion looks innocuous, but it is fundamental in explaining why the symmetric group and the general linear group are so closely related.

**(7.2) Definition** The  $\mathbb{C}$ -algebra  $S_{\mathbb{C}}(m, n)$  is the subalgebra of  $\text{End}_{\mathbb{C}}(V^{\otimes n})$  consisting of endomorphisms which commute with the image of  $\mathbb{C}S_n$ , i.e.

$$S_{\mathbb{C}}(m, n) = \text{End}_{\mathbb{C}S_n}(V^{\otimes n})$$

It is called the **Schur algebra**. [For a definition over arbitrary fields, see J.A. Green's book *Polynomial representations of  $GL_n$* , SLN 830.]

$\mathbb{C}S_n$  is semisimple and  $V^{\otimes n}$  is a finite-dimensional  $\mathbb{C}S_n$ -module, so by **(1.9)**,  $S_{\mathbb{C}}(m, n)$  is a semisimple  $\mathbb{C}$ -algebra.

Put  $W = \text{End}_{\mathbb{C}}(V)$ . This is a  $\mathbb{C}GL(V)$ -module under the conjugation action (check this).

**(7.3) Lemma** There is a map  $\alpha : W^{\otimes n} \rightarrow \text{End}_{\mathbb{C}}(V^{\otimes n})$  given by

$$f_1 \otimes \cdots \otimes f_n \mapsto \left( v_1 \otimes \cdots \otimes v_n \mapsto f_1(v_1) \otimes \cdots \otimes f_n(v_n) \right)$$

which is an isomorphism both of  $\mathbb{C}S_n$ -modules and of  $\mathbb{C}GL(V)$ -modules.

<sup>1</sup>Note that, because this is a right-action,  $\sigma_1\sigma_2$  denotes the effect of first applying  $\sigma_1$  and then applying  $\sigma_2$ , which is 'backwards' compared to normal.

**Proof**

$$\begin{aligned}
W^{\otimes n} &= W \otimes \cdots \otimes W \cong (V \otimes V^*) \otimes \cdots \otimes (V \otimes V^*) \\
&\cong (V \otimes \cdots \otimes V) \otimes (V^* \otimes \cdots \otimes V^*) \\
&\cong V^{\otimes n} \otimes (V^{\otimes n})^* \\
&\cong \text{End}_{\mathbb{C}}(V^{\otimes n})
\end{aligned}$$

It is left as an exercise to check that this isomorphism is the map we think it is, that  $W^{\otimes n}$  has the natural structure of a  $\text{CS}_n$ -module and  $\text{End}_{\mathbb{C}}(V^{\otimes n})$  inherits structure from  $V^{\otimes n}$  via  $\theta \cdot \sigma = \varphi(\sigma)\theta\varphi(\sigma^{-1})$ , and finally that  $\alpha$  is a  $\text{CS}_n$ -map.  $\square$

**(7.4) Lemma**  $S_{\mathbb{C}}(m, n) = \alpha(T^n W_{\text{sym}})$

**Proof**  $S_{\mathbb{C}}(m, n)$  is the set of all  $x \in \text{End}_{\mathbb{C}}(V^{\otimes n})$  fixed under the action of  $S_n$ . By **(6.5)**,  $T^n W_{\text{sym}}$  is the set of all  $y \in W^{\otimes n}$  fixed under the action of  $S_n$  (which is induced by  $\alpha$ , i.e.  $y \cdot \sigma \in W^{\otimes n}$  corresponds with  $\varphi(\sigma)\alpha(y)\varphi(\sigma^{-1}) \in \text{End}_{\mathbb{C}}(V^{\otimes n})$ ).  $\square$

Recall affine  $n$ -space  $\mathbb{A}^n$  from §6.

**(7.5) Lemma**  $\mathbb{A}^n$  is irreducible; i.e. if  $\mathbb{A}^n = X \cup Y$  with  $X, Y$  Zariski-closed then  $X = \mathbb{A}^n$  or  $Y = \mathbb{A}^n$ .

**Proof** The ring of regular functions on  $\mathbb{A}^n$  is  $R = \mathbb{C}[X_1, \dots, X_n]$ . If  $X$  and  $Y$  are zero-sets of ideals  $I, J \trianglelefteq R$  then, by assumption, any maximal ideal contains either  $I$  or  $J$ . If  $I, J$  are both nonzero then pick  $0 \neq f \in I$  and  $0 \neq g \in J$ . Any maximal ideal contains  $fg$ , so

$$fg(a_1, \dots, a_n) = 0 \quad \text{for all } a_1, \dots, a_n \in \mathbb{C}$$

By Nullstellensatz,  $fg \in \sqrt{\{0\}} = \{0\}$ , contradicting the fact that  $R$  is an integral domain.  $\square$

**(7.6) Lemma** Let  $Y \leq \mathbb{C}^d$  be a subspace. Then  $Y$  is Zariski-closed, where  $\mathbb{C}^d$  is identified with  $\mathbb{A}^d$  in the canonical way.

**Proof** Chose a basis  $\varphi_1, \dots, \varphi_h$  of  $\text{Hom}_{\mathbb{C}}(\mathbb{C}^d/Y, \mathbb{C})$  and view them as maps  $\mathbb{C}^d \rightarrow \mathbb{C}$ . Then  $Y$  is the zero set of the  $\varphi_i$ .  $\square$

**(7.7) Lemma**  $T^n W_{\text{sym}} = \text{span}_{\mathbb{C}}(\{\overbrace{\varphi \otimes \cdots \otimes \varphi}^n : \varphi \in \text{GL}(V)\})$

**Proof** Define a subspace  $X$  of  $T^n W_{\text{sym}}$  by

$$X = \text{span}_{\mathbb{C}}(\{\overbrace{\varphi \otimes \cdots \otimes \varphi}^n : \varphi \in \text{GL}(V)\})$$

There is a map  $\alpha : W \rightarrow W^{\otimes n}$  given by  $\alpha(\varphi) = \overbrace{\varphi \otimes \cdots \otimes \varphi}^n$ , which is a regular map of the affine spaces  $\mathbb{A}^{m^2} \cong W$  and  $\mathbb{A}^{m^{2n}} \cong W^{\otimes n}$ .

Now  $X$  is a subspace, so is Zariski-closed by **(7.6)**, and hence  $\alpha^{-1}(X)$  is Zariski-closed. Thus,

$$W = \alpha^{-1}(X) \cup \{\text{endomorphisms with zero determinant}\}$$

is a union of Zariski-closed subsets.

Since  $\mathbb{A}^{m^2}$  is irreducible (by **(7.5)**),  $\alpha^{-1}(X) = W$ . Thus  $X$  contains all maps of the form  $\varphi \otimes \cdots \otimes \varphi$  for  $\varphi \in W$ . But they span  $T^n W_{\text{sym}}$  since the images  $\varphi \vee \cdots \vee \varphi$  span  $S^n W$  by **(6.13)**.  $\square$

In other words...

**(7.8) Theorem**  $S_{\mathbb{C}}(m, n) = \text{span}_{\mathbb{C}}(\{\overbrace{\varphi \otimes \cdots \otimes \varphi}^n : \varphi \in \text{GL}(V)\})$   $\square$

**Proof of (7.1)** The assertion that the image of  $\text{CGL}(V)$  is the centralizer of  $\text{CS}_n$  is a reformulation of the assertion that  $S_{\mathbb{C}}(m, n) = \text{span}_{\mathbb{C}}(\{\overbrace{\varphi \otimes \cdots \otimes \varphi}^n : \varphi \in \text{GL}(V)\})$ , which is **(7.8)**.



Conversely,  $S_{\mathbb{C}}(m, n)$  is a semisimple  $\mathbb{C}$ -algebra. By **(1.11)**,  $\mathbb{C}S_n$  maps onto  $\text{End}_{S_{\mathbb{C}}(m, n)}(V^{\otimes n})$ , and since the image of  $\text{GL}(V)$  spans  $S_{\mathbb{C}}(m, n)$ , we must have

$$\text{End}_{S_{\mathbb{C}}(m, n)}(V^{\otimes n}) = \text{End}_{\mathbb{C}\text{GL}(V)}(V^{\otimes n})$$

Thus  $\mathbb{C}S_n$  maps onto  $\text{End}_{\mathbb{C}\text{GL}(V)}(V^{\otimes n})$ , i.e. the image of  $\mathbb{C}S_n$  in  $\text{End}_{\mathbb{C}}(V^{\otimes n})$  is the centralizer of the image of  $\mathbb{C}\text{GL}(V)$ .  $\square$

## 8 Tensor decomposition

Let  $V$  be an  $m$ -dimensional  $\mathbb{C}$ -space. We know from (3.9) that

$$V^{\otimes n} = \bigoplus h(t_\lambda)V^{\otimes n} \quad (1)$$

where the direct sum runs over standard tableaux  $t_\lambda$  with  $\lambda \vdash n$ .

**Examples** If  $\lambda = (1^n)$  then  $h_\lambda V^{\otimes n} \cong T^n V_{\text{anti}} \cong \Lambda^n V$ .

If  $\lambda = (n)$  then  $h_\lambda V^{\otimes n} \cong T^n V_{\text{Sym}} \cong S^n V$ .

In particular, when  $n = 2$ , this tells us that

$$V \otimes V \cong T^2 V_{\text{anti}} \oplus T^2 V_{\text{Sym}} \cong \Lambda^2 V \oplus S^2 V$$

Now the actions of  $S_n$  and  $\text{GL}(V)$  on  $V^{\otimes n}$  commute. So if  $\lambda \vdash n$  and  $t_\lambda$  is a  $\lambda$ -tableau, then  $h(t_\lambda)V^{\otimes n}$  is a  $\text{CGL}(V)$ -submodule of  $V^{\otimes n}$ . Observe that

$$h(t_\lambda)V^{\otimes n} \cong h_\lambda V^{\otimes n} \quad \text{as } \text{CGL}(V)\text{-modules}$$

since  $\sigma h(t_\lambda)\sigma^{-1} = h_\lambda$  for some  $\sigma \in S_n$ . So premultiplication by  $\sigma$  induces an isomorphism

$$h(t_\lambda)V^{\otimes n} \xrightarrow{\cong} h_\lambda V^{\otimes n}$$

**(8.1) Lemma** The nonzero  $h_\lambda V^{\otimes n}$  with  $\lambda \vdash n$  are nonisomorphic irreducible  $\text{CGL}(V)$ -modules. Moreover, if  $M$  is an  $S_{\mathbb{C}}(m, n)$ -module and  $M$  is viewed as a  $\text{CGL}(V)$ -module by restriction via the natural map  $\text{CGL}(V) \rightarrow S_{\mathbb{C}}(m, n)$  then  $M$  is isomorphic to a direct sum of copies of the  $h_\lambda V^{\otimes n}$ .

**Proof** Recall that  $S_{\mathbb{C}}(m, n) = \text{End}_{\mathbb{C}S_n}(V^{\otimes n})$  and

$$h_\lambda V^{\otimes n} \stackrel{(1.12)}{\cong} \text{Hom}_{\mathbb{C}S_n}(\mathbb{C}S_n h_\lambda, V^{\otimes n})$$

By (1.9) and (1.10)(Artin–Wedderburn), the nonzero spaces  $h_\lambda V^{\otimes n}$  are a complete set of nonisomorphic irreducible  $S_{\mathbb{C}}(m, n)$ -modules.

Now  $S_{\mathbb{C}}(m, n)$  is semisimple, so the result follows from (2) and (3) below, both of which derive from the fact (at the end of §7) that the map  $\text{CGL}(V) \rightarrow S_{\mathbb{C}}(m, n)$  is surjective.

(2) If  $M$  is an  $S_{\mathbb{C}}(m, n)$ -module and  $N$  is a  $\text{CGL}(V)$ -module of  $M$  then  $N$  is an  $S_{\mathbb{C}}(m, n)$ -submodule of  $M$ .

(3) If  $M$  and  $N$  are  $S_{\mathbb{C}}(m, n)$ -modules and  $\theta : M \rightarrow N$  is a  $\text{CGL}(V)$ -module map then it is an  $S_{\mathbb{C}}(m, n)$ -map.  $\square$

This implies that (1) is a decomposition of  $V^{\otimes n}$  into  $\text{CGL}(V)$ -submodules which are either zero or irreducible. Which of these submodules are nonzero? We'll prove:

**(8.2) Theorem** Let  $\lambda \vdash n$  and  $m = \dim_{\mathbb{C}} V$ . Then

$$\dim_{\mathbb{C}} h_\lambda V^{\otimes n} = \begin{cases} 0 & \text{if } \lambda_{m+1} \neq 0 \\ 1 & \text{if } \lambda_{m+1} = 0 \text{ and } \lambda_1 = \dots = \lambda_m \\ \geq 2 & \text{otherwise} \end{cases}$$

First we have a slightly technical result. Take a partition  $\lambda \vdash n$  such that  $[\lambda]$  is partitioned into two nonempty parts, say of sizes  $i$  and  $j = n - i$ , by a vertical wall. For example, if  $\lambda = (5, 3, 2, 1) \vdash 11$  we could have

$$i = 7 \longrightarrow \begin{array}{c} \bullet \bullet \bullet \bullet \bullet \bullet \bullet \\ \bullet \bullet \bullet \bullet \bullet \bullet \bullet \\ \bullet \bullet \bullet \bullet \bullet \bullet \bullet \\ \bullet \bullet \bullet \bullet \bullet \bullet \bullet \end{array} \left| \begin{array}{c} \bullet \bullet \bullet \\ \bullet \\ \bullet \end{array} \right. \longleftarrow j = 4$$

For  $\lambda \vdash n$ , let  $t_\lambda$  be a tableau whose entries in the left-hand part are  $\{1, \dots, i\}$ . Let  $\mu \vdash i$  be the corresponding partition, so that  $t_\mu = t_\lambda \downarrow_{[\mu]}$ . Let  $\nu \vdash j$  correspond to the right-hand part, with tableau  $t_\nu$ . This is a map

$$t_\nu : [\nu] \rightarrow \{1', \dots, j'\} \quad \text{where } k' = k + i \text{ for } 1 \leq k \leq j$$

**(8.3) Lemma** There exists a surjective  $\mathbb{C}GL(V)$ -module map

$$((h(t_\mu)V^{\otimes i}) \otimes (h(t_\nu)V^{\otimes j})) \rightarrow h(t_\lambda)V^{\otimes n} \quad \text{---} (*)$$

**Proof** Let  $S_i = \text{Aut}(\{1, \dots, i\})$  and  $S_j = \text{Aut}(\{1', \dots, j'\})$ .

$S_i$  and  $S_j$  are embedded in  $S_n$  and are disjoint, so we regard  $\mathbb{C}S_i$  and  $\mathbb{C}S_j$  as subsets of  $\mathbb{C}S_n$  which commute. Also

$$C_{t_\lambda} = C_{t_\mu} \times C_{t_\nu} \quad \text{and} \quad H = R_{t_\mu} \times R_{t_\nu} \leq R_{t_\lambda}$$

and  $H$  permutes each side of the wall. Take a transversal  $R_{t_\lambda} = \bigcup_i r_i H$ . Then

$$\begin{aligned} h(t_\lambda) &\stackrel{(2.4)}{=} \sum_{r \in R_{t_\lambda}} \sum_{c \in C_{t_\lambda}} \varepsilon_c r c \\ &= \sum_i \sum_{r' \in R_{t_\mu}} \sum_{r'' \in R_{t_\nu}} \sum_{c' \in C_{t_\mu}} \sum_{c'' \in C_{t_\nu}} \varepsilon_{c'} \varepsilon_{c''} r_i r' r'' c' c'' \\ &= \sum_i r_i h(t_\mu) h(t_\nu) \end{aligned}$$

So

$$h(t_\lambda) h(t_\mu) h(t_\nu) = \sum_i r_i h(t_\mu)^2 h(t_\nu)^2 \stackrel{(2.10)}{=} k h(t_\lambda)$$

where  $k = \frac{i!j!}{f_\mu f_\nu}$ . We thus have a  $\mathbb{C}GL(V)$ -map

$$V^{\otimes i} \otimes V^{\otimes j} \rightarrow h(t_\lambda)V^{\otimes n}$$

given by premultiplication by  $h(t_\lambda)$ . Restriction of this map to the left-hand side of  $(*)$  is surjective, since

$$h(t_\lambda)(x \otimes y) = h(t_\lambda) \cdot \frac{1}{k} (h(t_\mu)x \otimes h(t_\nu)y) \quad \square$$

**(8.4) Lemma**

- (1) If  $\lambda_{m+1} = 0$  then  $h_\lambda V^{\otimes n} \neq 0$
- (2) If  $n > 0$  and  $\lambda_m = 0$  then  $\dim_{\mathbb{C}} h_\lambda V^{\otimes n} \geq 2$

**Proof**

- (1) Let  $i_j$  be the row in which  $j$  occurs in  $t_\lambda^\circ$  and let  $x = e_{i_1} \otimes \dots \otimes e_{i_n}$ . For  $\sigma \in S_n$

$$\sigma x = x \quad \Leftrightarrow \quad i_j = i_{\sigma^{-1}(j)} \quad \forall j \quad \Leftrightarrow \quad j, \sigma^{-1}(j) \text{ are in the same row} \quad \Leftrightarrow \quad \sigma \in R_{t_\lambda^\circ}$$

So the coefficient of  $x$  in the decomposition of  $h_\lambda x$  with respect to the standard basis of  $V^{\otimes n}$  is  $|R_{t_\lambda^\circ}| \neq 0$ . So  $h_\lambda x \neq 0$ .

- (2) Let  $y = e_{1+i_1} \otimes \dots \otimes e_{1+i_n}$  and adapt the argument above, to see that  $h_\lambda x$  and  $h_\lambda y$  are linearly independent. □

**(8.5) Lemma** If  $\lambda_{m+1} = 0$  and  $\lambda_m > 0$  then

$$h_\lambda V^{\otimes n} \cong \Lambda^m V \otimes h_{(\lambda_1-1, \dots, \lambda_m-1)} V^{\otimes(n-m)}$$

**Proof** Put a wall in  $[\lambda]$  between the first column and the rest. Let  $t_\lambda$  be a tableau whose first column consists of  $\{1, \dots, m\}$ . By (8.3) there exists a surjection

$$\Lambda^m V \otimes h(t_\nu) V^{\otimes(n-m)} \twoheadrightarrow h(t_\lambda) V^{\otimes n}$$

where  $\nu = (\lambda_1 - 1, \dots, \lambda_m - 1)$ . Hence, with the usual identifications, there exists a map

$$\Lambda^m V \otimes h_\nu V^{\otimes(n-m)} \twoheadrightarrow h_\lambda V^{\otimes n}$$

Both  $h_\nu V^{\otimes(n-m)}$  and  $h_\lambda V^{\otimes n}$  are nonzero, hence they are irreducible  $\text{CGL}(V)$ -modules by (8.1). Since  $\Lambda^m V$  is one-dimensional, both sides are irreducible and the map is an isomorphism.  $\square$

**Proof of (8.2)** If  $\lambda_{m+1} \neq 0$  then  $[\lambda]$  has  $i > m$  rows and as in (8.5) there exists a surjection

$$\Lambda^i V \otimes h_\nu V^{\otimes(n-i)} \twoheadrightarrow h_\lambda V^{\otimes n}$$

but  $\Lambda^i V = 0$ , so  $h_\lambda V^{\otimes n} = 0$ .

If  $\lambda_{m+1} = 0$  then iterating (8.5) we have

$$\begin{aligned} \dim_{\mathbb{C}} h_\lambda V^{\otimes n} &= \dim_{\mathbb{C}} h_{(\lambda_1 - \lambda_m, \dots, \lambda_{m-1} - \lambda_m)} V^{\otimes(n-m\lambda_m)} \\ &= \begin{cases} 1 & \text{if } \lambda_1 = \dots = \lambda_m \\ \geq 2 & \text{if not, by (8.4)} \end{cases} \end{aligned}$$

$\square$

## 9 Polynomial and rational representations of $\mathrm{GL}(V)$

Let  $V$  be an  $m$ -dimensional  $\mathbb{C}$ -space with basis  $e_1, \dots, e_m$ .

**(9.1) Definition** A finite-dimensional  $\mathbb{C}\mathrm{GL}(V)$ -module  $M$ , with basis  $w_1, \dots, w_n$ , is **rational** (resp. **polynomial**,  **$n$ -homogeneous**) if there exist rational (resp. polynomial,  $n$ -homogeneous) functions  $f_{ij}(X_{rs})$  for  $1 \leq i, j \leq h$  in  $m^2$  variables  $X_{rs}$  for  $1 \leq r, s \leq m$  such that the map

$$\mathrm{GL}_m(\mathbb{C}) \xrightarrow{\text{basis } e_i} \mathrm{GL}(V) \xrightarrow{\text{representation}} \mathrm{End}_{\mathbb{C}}(M) \xrightarrow{\text{basis } w_j} M_h(\mathbb{C})$$

sends  $(A_{rs})_{1 \leq r, s \leq m} \mapsto (f_{ij}(A_{rs}))_{1 \leq i, j \leq h}$ .

**Exercise** Check that the definitions in **(9.1)** are independent of the choice of bases  $e_i$  and  $w_j$  of  $V$  and  $M$ .

### Examples

(0) The natural representation of  $\mathrm{GL}(V)$  on  $V^{\otimes n}$  is polynomial. In fact...

- (1)  $V^{\otimes n}$  is  $n$ -homogeneous; but...
- (2)  $\mathbb{C} \oplus V$  is polynomial but not homogeneous; and...
- (3)  $V^*$  is rational but not polynomial (or homogeneous).

### (9.2) Lemma

- (a) Submodules, quotients and direct sums of rational (resp. polynomial,  $n$ -homogeneous) modules are rational (resp. polynomial,  $n$ -homogeneous).
- (b) If  $M$  is rational then so is  $M^*$ .
- (c) If  $M$  and  $N$  are rational (resp. polynomial,  $n$ - and  $n'$ -homogeneous) then  $M \otimes N$  is rational (resp. polynomial,  $(n + n')$ -homogeneous).
- (d) If  $n \neq n'$  and  $M$  is both  $n$ - and  $n'$ -homogeneous then  $M = 0$ .
- (e) if  $M$  is rational (resp. polynomial) then  $\Lambda^n M$  and  $S^n M$  are rational (resp. polynomial).

**Proof** Easy exercise. □

**(9.3) Definition** For  $\lambda_1 \geq \dots \geq \lambda_m \geq 0$  with  $\sum_i \lambda_i = n$ , set

$$D_{\lambda_1, \dots, \lambda_m}(V) = h_{(\lambda_1, \dots, \lambda_m)}(V^{\otimes n})$$

This is sometimes referred to in the literature as the **Weyl module** or **Schur module**.

**(9.4) Theorem** Every  $n$ -homogeneous  $\mathbb{C}\mathrm{GL}(V)$ -module is a direct sum of irreducible submodules. Moreover, the modules of **(9.3)** form a complete set of nonisomorphic irreducible  $n$ -homogeneous  $\mathbb{C}\mathrm{GL}(V)$ -modules.

**Proof** By **(8.1)** it suffices to show that any  $n$ -homogeneous  $\mathbb{C}\mathrm{GL}(V)$ -module is obtained from some  $S(m, n)$ -module (by restriction).

Let  $M$  be an  $n$ -homogeneous  $\mathbb{C}\mathrm{GL}(V)$ -module.  $M$  corresponds to a map

$$\rho_0 : \mathrm{GL}(V) \rightarrow \mathrm{End}_{\mathbb{C}}(M)$$

Consider the following diagram

$$\begin{array}{ccccccccc}
\mathrm{GL}(V) & \xrightarrow{\hookrightarrow} & \mathrm{End}_{\mathbb{C}}(V) & \longrightarrow & S^n(\mathrm{End}_{\mathbb{C}} V) & \xrightarrow{\cong} & T^n(\mathrm{End}_{\mathbb{C}} V)_{\mathrm{sym}} & \xrightarrow{\cong} & S(m, n) \\
\downarrow \rho_0 & & \downarrow \rho_1 & & \downarrow \rho_2 & & \downarrow \rho_3 & & \downarrow \rho_4 \\
\mathrm{End}_{\mathbb{C}}(M) & \longleftarrow & \mathrm{End}_{\mathbb{C}}(M) & \longleftarrow & \mathrm{End}_{\mathbb{C}}(M) & \longleftarrow & \mathrm{End}_{\mathbb{C}}(M) & \longleftarrow & \mathrm{End}_{\mathbb{C}}(M)
\end{array}$$

In the top row all the maps are natural, and the composite  $\gamma : \mathrm{GL}(V) \rightarrow S(m, n)$  is the natural map we use for restricting  $S(m, n)$ -modules to  $\mathbb{C}\mathrm{GL}(V)$ -modules.

**Claim** There exist  $\rho_1, \rho_2, \rho_3, \rho_4$  as above making the diagram commute.

Since  $M$  is  $n$ -homogeneous, we can extend the domain of definition of  $\rho_0$  to obtain an  $n$ -homogeneous map  $\rho_1$ . For  $\rho_2$ , use the universal property of symmetric powers. Then  $\rho_3, \rho_4$  are transported by the isomorphisms.

We check that  $\rho_4$  is a map of  $\mathbb{C}$ -algebras. Well

$$\rho_4(1) = \rho_4(\gamma(1)) = \rho_0(1) = 1$$

and

$$\rho_4(\gamma(gg')) = \rho_0(gg') = \rho_0(g)\rho_0(g') = \rho_4(\gamma(g))\rho_4(\gamma(g'))$$

for  $g, g' \in \mathrm{GL}(V)$ . But  $\gamma(\mathrm{GL}(V))$  spans  $S(m, n)$  by (7.8).

So  $M$  is a  $\mathbb{C}S(m, n)$ -module and the restriction to  $\mathrm{GL}(V)$  is the module we started with.  $\square$

**(9.5) Lemma** Every polynomial module for  $\mathrm{GL}(\mathbb{C}) = \mathbb{C}^\times$  decomposes as a direct sum of submodules on which  $g \in \mathbb{C}^\times$  acts as multiplication by  $g^r$  for some  $r$ .

**Proof** If  $\rho : \mathbb{C}^\times \rightarrow \mathrm{GL}(M) \cong \mathrm{GL}_h(\mathbb{C})$  is a polynomial representation then each  $\rho(g)_{ij}$  is polynomial in  $g$ . Choose  $N \in \mathbb{N}$  such that each  $\rho(g)_{ij}$  has degree  $< N$ . Then  $M$  is a  $\mathbb{C}G$ -module by restriction, where

$$G = \{e^{2\pi \frac{ij}{N}} : 0 \leq j \leq N\} \subseteq \mathbb{C}^\times$$

is a cyclic group of order  $N$ . Now

$$M = M_1 \oplus \cdots \oplus M_h$$

as a  $\mathbb{C}G$ -module with each  $M_j$  one-dimensional, and  $g \in G$  acts as multiplication by  $g^{n_j}$  on  $M_j$  for  $0 \leq j < N$ . (These are the possible irreducible  $\mathbb{C}G$ -modules.)

Choosing nonzero elements of the  $M_j$ s gives a basis for  $M$ , and if  $(\rho(g)_{ij})$  is the matrix of  $\rho(g)$  with respect to this basis then

$$\rho(g)_{ij} = \begin{cases} g^{n_i} & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases}$$

for  $g \in e^{2\pi \frac{ij}{N}}$  ( $0 \leq j < N$ ), and hence for all  $g \in \mathbb{C}^\times$  since the  $\rho(g)_{ij}$  are polynomials of degree  $< N$  in  $g$ .  $\square$

**(9.6) Lemma** Every polynomial decomposes as a direct sum of  $n$ -homogeneous modules.

**Proof** Take a representation  $\rho : \mathrm{GL}(V) \rightarrow \mathrm{GL}(U)$  be a representation of  $\mathrm{GL}(V)$  on a  $\mathbb{C}$ -space  $U$ . We have an inclusion  $\mathbb{C}^\times \hookrightarrow \mathrm{GL}(V)$ , so that one can regard  $U$  as a polynomial representation of  $\mathbb{C}^\times$ , so by (9.5)  $U$  will split as

$$U = U_0 \oplus \cdots \oplus U_N$$

with  $\alpha 1 \in \mathrm{GL}(V)$  acting as multiplication by  $\alpha^n$  on  $U_n$ .

Let  $u \in U_n$  and let  $g \in \mathrm{GL}(V)$ . Write

$$gu = u_0 + \cdots + u_N$$

where  $u_i \in U_i$  for  $0 \leq i \leq N$ . Now  $(\alpha 1)gu = g(\alpha 1)u$  for  $\alpha \in \mathbb{C}^\times$ , so

$$u_0 + \alpha u_1 + \cdots + \alpha^N u_N = \alpha^n u_0 + \cdots + \alpha^n u_N$$

and hence  $u_i = 0$  for  $i \neq n$ .

Thus  $gu \in U_n$  and the  $U_n$  are  $\mathbb{C}\text{GL}(V)$ -submodules of  $U$ . Since  $\alpha 1$  acts as multiplication by  $\alpha^n$  on  $U_n$ , it follows that  $U_n$  is an  $n$ -homogeneous  $\mathbb{C}\text{GL}(V)$ -module.  $\square$

**(9.7) Theorem** Every polynomial  $\mathbb{C}\text{GL}(V)$ -module is a direct sum of irreducible submodules. The modules  $D_{\lambda_1, \dots, \lambda_m}(V)$  with  $\lambda_1 \geq \cdots \geq \lambda_m \geq 0$  are a complete set of nonisomorphic irreducible polynomial  $\mathbb{C}\text{GL}(V)$ -modules.  $\square$

**(9.8) Definition** If  $n \in \mathbb{Z}$  then the one-dimensional  $\mathbb{C}\text{GL}(V)$ -module corresponding to the representation

$$\begin{aligned} \text{GL}(V) &\rightarrow \mathbb{C}^\otimes \\ g &\mapsto (\det g)^n \end{aligned}$$

is denoted by  $\det^n$ . Note that

$$\det^1 \cong \Lambda^m V, \quad \det^n \cong (\det^1)^{\otimes n} \text{ if } n \geq 0, \quad \det^n \cong (\det^{-n})^* \text{ if } n \leq 0$$

**(9.9) Definition** If  $\lambda_1 \geq \cdots \geq \lambda_m$  but  $\lambda_m < 0$  then define

$$D_{\lambda_1, \dots, \lambda_m} = D_{\lambda_1 - \lambda_m, \dots, \lambda_{m-1} - \lambda_m, 0}(V) \otimes \det^{\lambda_m}$$

**Remark** If  $\lambda_1 \geq \cdots \geq \lambda_m > 0$  then by iterating **(8.5)** (as in the proof of **(8.2)**) we know that

$$D_{\lambda_1, \dots, \lambda_m}(V) \cong D_{\lambda_1 - \lambda_m, \dots, \lambda_{m-1} - \lambda_m, 0}(V) \otimes \det^{\lambda_m}$$

**(9.10) Theorem** Every rational  $\mathbb{C}\text{GL}(V)$ -module is a direct sum of irreducible submodules. The modules  $D_{\lambda_1, \dots, \lambda_m}(V)$  for  $\lambda_1 \geq \cdots \geq \lambda_m$  form a complete set of nonisomorphic irreducible (rational)  $\mathbb{C}\text{GL}(V)$ -modules.

**Proof** The rational functions  $f : \text{GL}(V) \rightarrow \mathbb{C}$  are of the form  $f = \frac{p}{\det^i}$  with  $p$  a polynomial function.

Thus if  $M$  is a rational  $\mathbb{C}\text{GL}(V)$ -module then  $M_1 = M \otimes \det^N$  is a polynomial  $\mathbb{C}\text{GL}(V)$ -module for some  $N$ . Since  $M_1$  decomposes as a direct sum of irreducibles, so does  $M$ . If  $M$  is irreducible then so is  $M_1$ , since  $\det^N$  is one-dimensional, and thus  $M_1$  is of the form

$$M_1 \cong D_{\mu_1, \dots, \mu_m}(V) \text{ for some } \mu_1 \geq \cdots \geq \mu_m$$

Finally,  $M = D_{\mu_1 - N, \dots, \mu_m - N}(V)$  by the above remark.  $\square$

**(9.11) Theorem** The one-dimensional rational  $\mathbb{C}\text{GL}(V)$ -modules are precisely the  $\det^n$  for  $n \in \mathbb{Z}$ .

**Proof** As above, pass to polynomial modules and then apply **(8.2)**.  $\square$

**Remark** The characterisation of irreducible  $\mathbb{C}\text{GL}(V)$ -modules can be summarised by:

$$\begin{array}{ll} n\text{-homogeneous} & \lambda_1 \geq \cdots \geq \lambda_m > 0 \text{ with } \sum_i \lambda_i = n & \text{(9.4)} \\ \text{polynomial} & \lambda_1 \geq \cdots \geq \lambda_m \geq 0 & \text{(9.7)} \\ \text{rational} & \lambda_1 \geq \cdots \geq \lambda_m & \text{(9.10)} \end{array}$$

## Interlude: some reminders about characters of $\mathrm{GL}_n(\mathbb{C})$

Let  $T_n \leq \mathrm{GL}_n(\mathbb{C})$  be the  $n$ -dimensional torus, i.e. the subgroup of  $\mathrm{GL}_n(\mathbb{C})$  consisting of diagonal matrices.

If  $\rho : \mathrm{GL}_n(\mathbb{C}) \rightarrow \mathrm{GL}(W)$  is a rational representation then the **character** of  $\rho$  is the function

$$\chi_\rho : (x_1, \dots, x_n) \mapsto \mathrm{tr} \rho \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix}$$

sometimes denoted by  $\chi_W$ .

### Basic properties

Let  $\rho, \rho'$  be rational representations of  $\mathrm{GL}_n(\mathbb{C})$  associated with  $W, W'$ .

- (a)  $\chi_\rho \in \mathbb{Z}[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$ , and if  $\rho$  is polynomial then  $\chi_\rho \in \mathbb{Z}[x_1, \dots, x_n]$ .
- (b)  $\chi_\rho$  is a symmetric function under the conjugation action of  $S_n$  on  $T_n$ , i.e. under permutations of the diagonal entries.
- (c)  $\rho \cong \rho' \Rightarrow \chi_\rho = \chi_{\rho'}$ ;  $\Leftarrow$  will be **(10.6)**.
- (d)  $\chi_{W \oplus W'} = \chi_W + \chi_{W'}$  and  $\chi_{W \otimes W'} = \chi_W \chi_{W'}$ .
- (e) If  $W$  is an irreducible polynomial representation of degree  $m$  then  $\chi_W$  is a homogeneous polynomial of degree  $m$ .
- (f)  $\chi_{W^*}(x_1, \dots, x_n) = \chi_W(x_1^{-1}, \dots, x_n^{-1})$ .
- (g) When  $V = \mathbb{C}^n$  we have

$$\begin{aligned} \chi_{V^{\otimes n}} &= (x_1 + \dots + x_n)^m, \\ \chi_{S^2 V} &= \sum_{i \leq j} x_i x_j, & \chi_{\Lambda^2 V} &= \sum_{i < j} x_i x_j, \\ \chi_{\det} &= x_1 \dots x_n, & \chi_{V^*} &= x_1^{-1} + \dots + x_n^{-1}, \\ \chi_{\Lambda^{n-1} V} &= \sum_{i=1}^n x_1 \dots \widehat{x}_i \dots x_n, & \chi_{S^j V} &= h_j(x_1, \dots, x_n) = \sum_{i_1 \leq \dots \leq i_j} x_{i_1} \dots x_{i_j} \end{aligned}$$

The  $h_j$  are called **complete symmetric functions**. We could (but won't) study the theory of symmetric functions and apply it here; see R. Stanley's book for more.



## 10 Weyl character formula

Let  $V$  be an  $m$ -dimensional  $\mathbb{C}$ -space. If  $\lambda = (\lambda_1, \dots, \lambda_m) \in \mathbb{Z}^m$  and  $\lambda_1 \geq \dots \geq \lambda_m$  then the character of the  $\mathbb{C}\text{GL}(V)$ -module  $D_{\lambda_1, \dots, \lambda_m}(V)$  ( $= h_\lambda V^{\otimes n}$  if  $\lambda_m \geq 0$  and  $n = \sum_i \lambda_i$ ) will be denoted by  $\phi_\lambda$ .

**(10.1) Lemma** If  $\xi \in \text{End}_{\mathbb{C}}(V)$  then  $\phi_\lambda(\xi)$  is a symmetric rational function of the eigenvalues of  $\xi$ .

**Proof** The function

$$P(x_1, \dots, x_m) = \phi_\lambda(\text{diag}(x_1, \dots, x_m))$$

is a rational function of the  $x_1, \dots, x_m$ , and it is symmetric since  $\text{diag}(x_1, \dots, x_m)$  is conjugate to  $\text{diag}(x_{\tau(1)}, \dots, x_{\tau(m)})$  for any  $\tau \in S_m$ .

Now choose a basis for  $V$  such that the matrix  $A_1$  of  $\xi$  is in Jordan normal form, and for  $t \in \mathbb{C}$  let  $A_t$  be the matrix obtained from  $A_1$  by replacing the upperdiagonal 1s by  $ts$ . Let  $\xi_t$  be the endomorphism corresponding to  $A_t$ . For  $t \neq 0$ ,  $A_t$  is conjugate to  $A_1$ , and so  $\phi_\lambda(\xi_t) = \phi_\lambda(\xi)$ . Since  $\phi_\lambda$  is a rational function it is continuous (wherever it is defined), and so

$$\phi_\lambda(\xi) = \lim_{t \rightarrow 0} \phi_\lambda(\xi_t) = \phi_\lambda(\lim_{t \rightarrow 0} \xi_t) = \phi_\lambda(\xi_0) = P(x_1, \dots, x_m)$$

which is a symmetric function of the eigenvalues of  $\xi$ .  $\square$

**(10.2) Lemma** Let  $\alpha$  be an  $S_n$ -conjugacy class with cycle type  $n^{\alpha_n}, \dots, 1^{\alpha_1}$ , and let  $\xi$  be an endomorphism of  $V$  with eigenvalues  $x_1, \dots, x_m$ . If  $s_i = x_1^i + \dots + x_m^i$  then

$$s_1^{\alpha_1} \dots s_n^{\alpha_n} = \sum_{\lambda \in \Lambda^+(m, n)} \chi^\lambda(\alpha) \phi_\lambda(\xi)$$

(Compare this with (4.14).)

**Proof** Pick  $g \in \alpha$ . Suppose  $\xi$  has matrix  $\text{diag}(x_1, \dots, x_m)$  with respect to the standard basis  $e_1, \dots, e_m$  of  $V$ . We compute the trace of the endomorphism of  $V^{\otimes n}$  sending  $x \mapsto (g\xi)x = \xi gx$  in two ways.

**Way 1**  $V^{\otimes n}$  is a  $\mathbb{C}S_n$ -module, so by (1.8),

$$V^{\otimes n} \cong \bigoplus_{\lambda \vdash n} \mathbb{C}S_n h_\lambda \otimes \text{Hom}_{\mathbb{C}S_n}(\mathbb{C}S_n h_\lambda, V^{\otimes n})$$

which, by (1.12), becomes

$$V^{\otimes n} \cong \bigoplus_{\lambda \vdash n} (\mathbb{C}S_n h_\lambda \otimes h_\lambda V^{\otimes n})$$

This is an isomorphism both of  $\mathbb{C}S_n$ - and  $\text{End}_{\mathbb{C}S_n}(V^{\otimes n})$ -modules. Since the action of  $\text{GL}(V)$  on  $V^{\otimes n}$  commutes with that of  $S_n$ , the corresponding action of  $g\xi$  on the right-hand side is given by the action of  $g$  on  $\mathbb{C}S_n h_\lambda$  and of  $\xi$  on  $h_\lambda V^{\otimes n}$ . So the trace of the action is

$$\sum_{\lambda \in \Lambda^+(n, m)} \xi^\lambda(\alpha) \phi_\lambda(\xi) \tag{1}$$

**Way 2** (direct computation)

$$g\xi(e_{i_1} \otimes \dots \otimes e_{i_n}) = x_{i_1} \dots x_{i_n} e_{i_{g^{-1}(1)}} \otimes \dots \otimes e_{i_{g^{-1}(n)}}$$

and so

$$\text{tr}(g\xi) = \sum_D x_{i_1} \dots x_{i_n}$$

where  $D = \{(i_1, \dots, i_n) : 1 \leq i_1, \dots, i_n \leq m \text{ and } i_{g^{-1}(j)} = i_j \forall j\}$ .

The condition  $i_{g^{-1}(j)} = i_j$  for all  $j$  is equivalent to requiring that the function  $j \mapsto i_j$  be constant on the cycles involved in  $g$ . Hence

$$\text{tr}(g\xi) = s_1^{\alpha_1} \dots s_n^{\alpha_n} \tag{2}$$

Equating (1) and (2) gives the result.  $\square$

**(10.3) Theorem** Let  $\lambda \in \Lambda^+(m, n)$  and let  $\xi \in \text{End}_{\mathbb{C}}(V)$  with eigenvalues  $x_1, \dots, x_m$ . If  $s_i = x_1^i + \dots + x_m^i$ , then

$$\phi_{\lambda}(\xi) = \sum_{\text{ccls } \alpha} \frac{\chi^{\lambda}(\alpha)}{\alpha_1! \dots \alpha_n!} \left(\frac{s_1}{1}\right)^{\alpha_1} \dots \left(\frac{s_n}{n}\right)^{\alpha_n}$$

**Proof** Take the formula of (10.2), multiply it by  $n_{\alpha} \chi^{\mu}(\alpha)$  and sum over  $\alpha$ ; then use orthogonality of the  $\chi$ s.  $\square$

**(10.4) Theorem** (*Weyl's character formula for  $\text{GL}_n$* ) Let  $\xi \in \text{GL}(V)$  with eigenvalues  $x_1, \dots, x_m$ . Then

$$\phi_{\lambda}(\xi) = \frac{|x^{\ell_1}, \dots, x^{\ell_m}|}{|x^{m-1}, \dots, 1|}$$

where  $\ell_i = \lambda_i + m - i$ .

**Proof**

(a) Suppose  $\lambda_i \geq 0$  for all  $i$ , so that  $\lambda \in \Lambda^+(n, m)$ . By (10.2) and the character formula for the symmetric group (4.14) we know that

$$s_1^{\alpha_1} \dots s_n^{\alpha_n} = \sum_{\lambda \in \Lambda^+(n, m)} \chi^{\lambda}(\alpha) \frac{|x^{\ell_1}, \dots, x^{\ell_m}|}{|x^{m-1}, \dots, 1|} = \sum_{\lambda \in \Lambda^+(n, m)} \chi^{\lambda}(\alpha) \phi_{\lambda}(\alpha)$$

Then the orthogonality of  $\chi^{\lambda}$ s allows us to equate coefficients.

(b) For general  $\lambda$ , since

$$D_{\lambda} \stackrel{(9.9)}{\cong} D_{\lambda_1 - \lambda_m, \dots, \lambda_m - \lambda_m}(V) \otimes \det^{\lambda_m}$$

it follows that

$$\phi_{\lambda}(\xi) = \frac{|x^{\ell_1 - \lambda_m}, \dots, x^{\ell_m - \lambda_m}|}{|x^{m-1}, \dots, 1|} (x_1 \dots x_m)^{\lambda_m} = \frac{|x^{\ell_1}, \dots, x^{\ell_m}|}{|x^{m-1}, \dots, 1|}$$

$\square$

**Remark** This short proof uses the character formula of  $S_n$  from §4. One can do this in reverse, using integration to compute the Weyl formula for the compact subgroup  $U_n$  of unitary matrices in  $\text{GL}_m(\mathbb{C})$ , and then translate that to  $\text{GL}_m(\mathbb{C})$ . [See also Telemann's notes for the 2005 Part II course.] You then use (10.2) to pass to  $S_n$ . See also Weyl's book.

**(10.5) Theorem** (*Weyl's dimension formula*)

$$\deg \phi_{\lambda} = \dim D_{\lambda_1, \dots, \lambda_m}(V) = \frac{\prod_{1 \leq i < j \leq m} (\ell_i - \ell_j)}{\prod_{1 \leq i < j \leq m} (j - i)}$$

**Proof** For  $t \in \mathbb{C}$  set  $x_m = 1, x_{m-1} = e^t, \dots, x_1 = e^{(m-1)t}$ . Then

$$|x^{\ell_1}, \dots, x^{\ell_m}| = \prod_{1 \leq i < j \leq m} (e^{\ell_i t} - e^{\ell_j t})$$

since it's the transpose of a Vandermonde matrix. The term of lowest degree is  $\prod_{i < j} ((\ell_i - \ell_j)t)$ .

Also  $|x^{m-1}, \dots, 1| = \prod_{i < j} (e^{(m-i)t} - e^{(m-j)t})$  and the term of lowest degree in  $t$  is  $\prod_{i < j} ((j - i)t)$ .

If  $\xi_t = \text{diag}(x_1, \dots, x_m)$  then by (10.4),

$$\deg \phi_{\lambda} = \phi_{\lambda}(1) = \lim_{t \rightarrow 0} \phi_{\lambda}(\xi_t) = \frac{\prod_{i < j} (\ell_i - \ell_j)}{\prod_{i < j} (j - i)} \quad \square$$

**(10.6) Theorem** If two rational  $\mathbb{C}\text{GL}(V)$ -modules have the same characters then they are isomorphic.

**Proof** As  $\lambda$  varies, the rational functions in Weyl's character formula are linearly independent elements of  $\mathbb{C}(x_1, \dots, x_m)$ .  $\square$

**(10.7) Lemma**  $D_{\lambda_1, \dots, \lambda_m}(V)^* \cong D_{-\lambda_m, \dots, -\lambda_1}(V)$

**Proof** Let  $\psi$  be the character of  $D_{\lambda_1, \dots, \lambda_m}(V)$ . Then

$$\begin{aligned} \psi(\xi) = \phi_\lambda(\xi^{-1}) &= \frac{|x^{-\ell_1}, \dots, x^{-\ell_m}|}{|x^{-(m-1)}, \dots, 1|} = \frac{|x^{-\ell_m}, \dots, x^{-\ell_1}|}{|1, \dots, x^{-(m-1)}|} \\ &= \frac{|x^{m-1-\ell_m}, \dots, x^{m-1-\ell_1}|}{|x^{m-1}, \dots, 1|} = \phi_\mu(\xi) \end{aligned}$$

where  $\mu = (-\lambda_m, \dots, -\lambda_1)$ . Hence we have the required isomorphism by **(10.6)**.  $\square$

Finally we have the famous Clebsch–Gordan formula.

**(10.8) Theorem (Clebsch–Gordan formula)** Let  $V = \mathbb{C}^2$  and  $p, q \in \mathbb{N}$ . Then

$$D_{p,0}(V) \otimes D_{q,0}(V) \cong \bigoplus_{r=0}^{\min\{p,q\}} D_{p+q-r,r}(V)$$

**Proof** Exercise; write down the characters and use **(10.6)**.  $\square$

**(10.9) Examples** of rational  $\mathbb{C}\text{GL}(V)$ -modules

- $\mathbb{C} \cong D_{0,0,\dots,0,0}(V)$
- $\det^i \cong D_{i,i,\dots,i,i}(V)$
- $V \cong D_{1,0,\dots,0,0}(V)$
- $S^n V \cong D_{n,0,\dots,0,0}(V)$
- $\Lambda^n V \cong D_{1,\dots,1,0,\dots,0}(V)$  where  $n$  '1's and  $m-n$  '0's appear, and  $n \leq m$
- $V^* \cong D_{0,0,\dots,0,-1}(V)$
- $(S^n V)^* \cong D_{0,0,\dots,0,-n}(V)$
- $(\Lambda^n V)^* \cong D_{0,\dots,0,-1,\dots,-1}(V)$  where  $m-n$  '0's and  $n$  '-1's appear, and  $n \leq m$

# Chapter III: Invariant theory

## 11 Introduction to invariant theory and first examples

Let  $W$  be a finite-dimensional  $\mathbb{C}$ -space and  $\mathbb{C}[W]$  be its coordinate ring (cf. §6).

**Facts about  $\mathbb{C}[W]$**

- $\mathbb{C}[W]$  is a commutative  $\mathbb{C}$ -algebra, which is infinite-dimensional if  $W \neq 0$ , with

$$\begin{aligned}(\lambda f)(w) &= \lambda f(w), & (f + g)(w) &= f(w) + g(w), \\ (fg)(w) &= f(w)g(w), & 1_{\mathbb{C}[W]}(w) &= 1\end{aligned}$$

for all  $\lambda \in \mathbb{C}$ ,  $f, g \in \mathbb{C}[W]$  and  $w \in W$ .

- $\mathbb{C}[W]$  is the ring of regular functions of the affine variety  $\mathbb{A}^{\dim W} \cong W$ .

- $$\mathbb{C}[W] \cong \bigoplus_{n \geq 0} \mathrm{H}_{\mathbb{C}, n}(W, \mathbb{C}) \stackrel{(6.12)}{\cong} \bigoplus_{n \geq 0} \mathrm{S}^n(W^*) = \mathrm{S}(W^*)$$

This latter isomorphism is known as **polarisation**.

- If  $W^*$  has basis  $w_1^*, \dots, w_h^*$  then the map

$$\begin{array}{ccc} \mathbb{C}[X_1, \dots, X_h] & \rightarrow & \mathbb{C}[W] \\ X_i & \mapsto & w_i^* \end{array}$$

is a  $\mathbb{C}$ -algebra homomorphism.

- If  $W$  is a  $\mathbb{C}G$ -module then  $\mathbb{C}[W]$  is a  $\mathbb{C}G$ -module via the action

$$gf(w) = f(g^{-1}w)$$

for  $g \in G$ ,  $f \in \mathbb{C}[W]$  and  $w \in W$ .

- For  $g \in G$ , the map  $\begin{array}{ccc} \mathbb{C}[W] & \rightarrow & \mathbb{C}[W] \\ f & \mapsto & gf \end{array}$  is a  $\mathbb{C}$ -algebra automorphism, since

$$g(ff')(w) = (ff')(g^{-1}w) = f(g^{-1}w)f'(g^{-1}w) = (gf)(w)(gf')(w) = ((gf)(gf'))(w)$$

and

$$g1_{\mathbb{C}[W]}(w) = 1_{\mathbb{C}[W]}(g^{-1}w) = 1 = 1_{\mathbb{C}[W]}(w)$$

**(11.1) Definition** If  $V, W$  are  $\mathbb{C}G$ -modules then  $f : W \rightarrow V$  is **concomitant** if

$$f(gw) = gf(w) \quad \text{for all } w \in W, g \in G$$

A  **$G$ -invariant** for the  $\mathbb{C}G$ -module  $W$  is a concomitant map  $f : W \rightarrow \mathbb{C}$ .

**Remarks**

(1)  $f$  is invariant if and only if it is a fixed point under the  $G$ -action, i.e.  $gf = f$  for all  $g \in G$ .

(2) linear concomitant  $\equiv \mathbb{C}G$ -homomorphism

(3) The map  $\Delta : \begin{array}{ccc} V & \rightarrow & \mathrm{S}^n W \\ w & \mapsto & w \vee \dots \vee w \end{array}$  is an  $n$ -homogeneous concomitant.

(4)  $\mathbb{C}[W]^G = \{f \in \mathbb{C}[W] : gf = f \text{ for all } g \in G\}$  is a  $\mathbb{C}$ -subalgebra of  $\mathbb{C}[W]$ , called the **polynomial invariant ring**. It is a graded  $\mathbb{C}$ -algebra:

$$\mathbb{C}[W]^G = \bigoplus_{d \geq 0} \mathbb{C}[W]^G_d$$

with grading inherited by that of  $\mathbb{C}[W]$ .

**(11.2) Problem** Compute  $\mathbb{C}[W]^G$ , describing its generators and relations.

What follows are some classical results which we will state but not prove.

- [Noether] If  $G$  is finite then  $\mathbb{C}[W]^G$  is finitely generated as a  $\mathbb{C}$ -algebra.
- [Noether, 1916] If  $\text{char } K = 0$  then for any representation  $W$  of the finite group  $G$ , the invariant ring  $K[W]^G$  is generated by the invariants of degree  $\leq |G|$ .
- [Hilbert] If  $W$  is a rational  $\mathbb{C}\text{GL}(V)$ -module then  $\mathbb{C}[W]^{\text{GL}(V)}$  and  $\mathbb{C}[W]^{\text{SL}(V)}$  are finitely-generated  $\mathbb{C}$ -algebras. In fact [Hochster–Roberts, 1974] they are also Cohen–Macaulay rings. Also,  $\mathbb{C}[W]^{\text{SL}(V)}$  is a unique factorisation domain, and hence it is a Gorenstein ring (i.e. it has finite injective dimension).
- [Popov, *Astérisque*, 1988] There is an explicit bound on the number of generators for  $\mathbb{C}[W]^{\text{SL}(V)}$ .

## Examples

**(11.3) Symmetric polynomials**  $W = \mathbb{C}^n$  with basis  $e_1, \dots, e_n$ , is naturally a  $\text{CS}_n$ -module. If  $\xi_1, \dots, \xi_n$  is the corresponding dual basis for  $W^*$ , then the isomorphism

$$\begin{array}{ccc} \mathbb{C}[X_1, \dots, X_n] & \xrightarrow{\cong} & \mathbb{C}[W] \\ X_i & \mapsto & \xi_i \end{array}$$

allows an identification  $\mathbb{C}[W] \leftrightarrow \mathbb{C}[X_1, \dots, X_n]$ . The polynomial invariant ring of the  $\text{CS}_n$ -module  $W$  is

$$\mathbb{C}[X_1, \dots, X_n]^{\text{S}_n} = \{p \in \mathbb{C}[X_1, \dots, X_n] : p \text{ symmetric in the } X_i\text{s}\}$$

We have **elementary symmetric functions**  $e_j(X_1, \dots, X_n) \in \mathbb{C}[X_1, \dots, X_n]^{\text{S}_n}$  such tha

$$(t + X_1) \cdots (t + X_n) = t^n + e_1(\vec{X})t^{n-1} + \cdots + e_n(\vec{X})$$

where  $\vec{X} = (X_1, \dots, X_n)$ . That is,

$$e_1(\vec{X}) = X_1 + \cdots + X_n, \quad e_i(\vec{X}) = \sum_{j_1 < j_2 < \cdots < j_i} X_{j_1} X_{j_2} \cdots X_{j_i}, \quad e_n(\vec{X}) = X_1 \cdots X_n$$

The **fundamental theorem of symmetric functions** computes the polynomial invariants for  $W$ ; namely, it shows that the map

$$\begin{array}{ccc} \mathbb{C}[Y_1, \dots, Y_n] & \rightarrow & \mathbb{C}[X_1, \dots, X_n]^{\text{S}_n} \\ Y_i & \mapsto & e_i(\vec{X}) \end{array}$$

is a  $\mathbb{C}$ -algebra isomorphism. The standard way to prove this is by showing that  $\mathbb{C}[X_1, \dots, X_n]^{\text{S}_n} = \mathbb{C}[e_1, \dots, e_n]$  by induction on  $n$ .

Recall for  $p(t) \in \mathbb{C}[t]$  monic of degree  $n$ , say

$$p(t) = t^n + a_1 t^{n-1} + \cdots + a_n = \prod_{i=1}^n (t + \lambda_i)$$

its **discriminant** is

$$\text{disc}(p) = \prod_{i < j} (\lambda_i - \lambda_j)^2$$

Now, since the polynomial  $|X^{n-1}, \dots, 1|^2 = \prod_{i < j} (X_i - X_j)^2$  is symmetric in the  $X_i$ s, it may be represented as a polynomial in the  $e_i$ s, say

$$\prod_{i < j} (X_i - X_j)^2 = D(e_1(\vec{X}), \dots, e_n(\vec{X}))$$

Also,  $\text{disc}(p)$  is a polynomial in the coefficients of  $p$ , for example

$$\text{disc}(t^2 + bt + c) = b^2 - 4c, \quad \text{disc}(t^3 + bt + c) = -4b^3 - 27b^2$$

#### (11.4) Alternating groups

Restriction in (11.3) enables consideration of  $W$  as a representation of  $A_n$  ( $n \geq 2$ ). The ring of invariants is  $\mathbb{C}[X_1, \dots, X_n]^{A_n}$ . Consider the Vandermonde determinant

$$|X^{n-1}, \dots, 1| = \prod_{i < j} (X_i - X_j)$$

$|X^{n-1}, \dots, 1|^2$  is  $S_n$ -invariant since it is equal to  $D(e_1, \dots, e_n)$  as above.

**Claim** The  $\mathbb{C}$ -algebra map

$$\begin{array}{ccc} \theta: \mathbb{C}[Y_1, \dots, Y_n, Z] & \rightarrow & \mathbb{C}[X_1, \dots, X_n]^{A_n} \\ Y_i & \mapsto & e_i \\ Z & \mapsto & |X^{n-1}, \dots, 1| \end{array}$$

is surjective, and  $\ker(\theta) = (Z^2 - D(Y_1, \dots, Y_n))$ .

**Proof** We prove the claim in three steps.

**Step 1**  $\theta$  is surjective. Take a transposition  $\tau \in S_n$ . Let  $f \in \mathbb{C}[X_1, \dots, X_n]^{A_n}$ . Then  $f_{\text{sym}} = f + \tau f$  is a symmetric polynomial, since  $S_n$  is generated by  $\tau$  and  $A_n$ , and

$$\tau f_{\text{sym}} = \tau f + \tau^2 f = \tau f + f = f_{\text{sym}}$$

and if  $\sigma \in A_n$  then

$$\sigma f_{\text{sym}} = \sigma f + \tau(\tau^{-1}\sigma\tau)f = f + \tau f = f_{\text{sym}}$$

Similarly,  $f_{\text{alt}} = f - \tau f$  is an alternating polynomial.

Observe that  $f_{\text{alt}}(X_1, \dots, X_n) = 0$  whenever  $X_i = X_j$  for  $i \neq j$ . Hence by Nullstellensatz

$$f_{\text{alt}} \in \sqrt{(X_i - X_j)} = (X_i - X_j)$$

and so  $(X_i - X_j) \mid f_{\text{alt}}$  for all  $i \neq j$ . In particular,

$$f_{\text{alt}} = |X^{n-1}, \dots, 1| g$$

for some polynomial  $g$ . Now  $g$  is symmetric, and hence

$$f = \frac{1}{2}(f_{\text{sym}} + f_{\text{alt}}) = \frac{1}{2}(f_{\text{sym}} + |X^{n-1}, \dots, 1| g)$$

Since  $f_{\text{sym}}$  and  $g$  lie in  $\text{im}(\theta)$ , so does  $f$ .

**Step 2**  $(Z^2 - D(\vec{Y})) \subseteq \ker(\theta)$ . This is clear since

$$\theta(Z^2 - D(\vec{Y})) = |X^{n-1}, \dots, 1|^2 - D(e_1, \dots, e_n) = D(e_1, \dots, e_n) - D(e_1, \dots, e_n) = 0$$

**Step 3**  $\ker(\theta) \subseteq (Z^2 - D(\vec{Y}))$ . Any polynomial  $P(\vec{Y}, Z)$  is of the form

$$P(\vec{Y}, Z) = \underbrace{Q(\vec{Y}, Z)}_{\text{quotient}} (Z^2 - D(\vec{Y})) + \underbrace{A(\vec{Y}) + B(\vec{Y})Z}_{\text{remainder}}$$

We show that if  $P \in \ker(\theta)$  has the form  $A(\vec{Y}) + B(\vec{Y})Z$  then  $P = 0$ .

Suppose  $a_1, \dots, a_n \in \mathbb{C}$ , and let

$$f(t) = t^n + a_1 t^{n-1} + \dots + a_n = (t + \lambda_1) \cdots (t + \lambda_n)$$

Then

$$P(a_1, \dots, a_n, |\lambda^{n-1}, \dots, 1|) = \theta(P)(\lambda_1, \dots, \lambda_n) = 0$$

since  $P \in \ker(\theta)$ . Exchanging  $\lambda_1$  and  $\lambda_2$  changes the sign of the Vandermonde determinant, so  $P(a_1, \dots, a_n, \pm\delta) = 0$ , where  $\delta = \text{disc}(t^n + a_1 t^{n-1} + \dots + a_n)^{\frac{1}{2}}$ . Using the given form for  $P$ ,

$$A(a_1, \dots, a_n) \pm B(a_1, \dots, a_n)\delta = 0$$

and hence  $A = B = 0$  on the Zariski-dense open subset

$$\{(a_1, \dots, a_n) \in \mathbb{C}^n : \text{disc}(t^n + a_1 t^{n-1} + \dots + a_n) \neq 0\}$$

Then  $A = B = 0$  everywhere on  $\mathbb{C}^n$ , so  $P = 0$ . □

### (11.5) Characteristic polynomial

Let  $V$  be an  $m$ -dimensional  $\mathbb{C}$ -space.  $\text{GL}(V)$  acts naturally on  $U = \text{End}_{\mathbb{C}}(V)$  by conjugation, i.e.

$$(g \cdot \theta)(v) = g \cdot \theta(g^{-1} \cdot v)$$

(or, in shorthand,  $g \cdot \theta = g\theta g^{-1}$ ).

Suppose  $\chi_{\theta}(t) = \det(t1_V + \theta)$  is the characteristic polynomial of  $\theta$  and  $c_n(\theta)$  is the coefficient of  $t^{m-n}$  in  $\chi_{\theta}(t)$ . Then

$$\chi_{g \cdot \theta}(t) = \det(t1_V + g\theta g^{-1}) = \det(g(t1_V + \theta)g^{-1}) = \chi_{\theta}(t)$$

so  $c_n : U \rightarrow \mathbb{C}$  is an ( $n$ -homogeneous) invariant.

If  $\theta$  has eigenvalues  $\lambda_1, \dots, \lambda_m$ , then putting  $\theta$  in Jordan normal form gives

$$\chi_{\theta}(t) = \prod_{i=1}^m (t + \lambda_i)$$

so that  $c_n(\theta) = e_n(\lambda_1, \dots, \lambda_m)$ ; in particular

$$c_1(\theta) = \lambda_1 + \dots + \lambda_m = \text{tr}(\theta) \quad \text{and} \quad c_m(\theta) = \lambda_1 \dots \lambda_m = \det(\theta)$$

**Claim** The  $\mathbb{C}$ -algebra map  $\begin{array}{ccc} \mathbb{C}[Y_1, \dots, Y_m] & \rightarrow & \mathbb{C}[U]^{\text{GL}(V)} \\ Y_i & \mapsto & c_i \end{array}$  is an isomorphism.

**Sketch proof** If  $f$  is a polynomial invariant for  $U$  then  $f(\theta)$  is a symmetric polynomial function of the eigenvalues of  $\theta$ . Mimic the proof that the characters of rational  $\mathbb{C}\text{GL}(V)$ -modules are symmetric rational functions of the eigenvalues of  $g \in \text{GL}(V)$  as done in (10.1). □

### (11.6) Discriminant of quadratic forms

Let  $V$  be an  $m$ -dimensional  $\mathbb{C}$ -space and let

$$U = \text{H}_{\mathbb{C},2}(V, \mathbb{C}) = \{\text{quadratic forms on } V\}$$

By polarisation (see end of §6) identify  $U$  with the symmetric  $m \times m$  matrices via  $f \mapsto A$ , where

$$f(x) = x^t A x$$

for  $x \in \mathbb{C}^m$  a column vector.

Define  $\text{disc}(f) = \det(A)$ .

$\text{GL}_m(\mathbb{C})$  acts on  $U$  by

$$(gf)(x) = f(g^{-1}x) = x^t (g^{-1t} A g^{-1}) x, \quad f \in U, g \in \text{GL}_m(\mathbb{C}), x \in \mathbb{C}^m$$

so

$$\text{disc}(gf) = \det(g^{-1t} A g^{-1}) = (\det g)^{-2} \text{disc}(f)$$

and therefore  $\text{disc} : U \rightarrow \mathbb{C}$  is an  $\text{SL}_m(\mathbb{C})$ -invariant (but *not* a  $\text{GL}_m(\mathbb{C})$ -invariant).

**Claim** The  $\mathbb{C}$ -algebra map  $\begin{array}{ccc} \mathbb{C}[X] & \rightarrow & \mathbb{C}[U]^{\text{SL}_m(\mathbb{C})} \\ X & \mapsto & \text{disc} \end{array}$  is an isomorphism.

**Proof** It is injective: if there is a polynomial  $P$  such that  $P(\text{disc } f) = 0$  for all  $f \in U$  then  $P(\lambda) = 0$  for all  $\lambda \in \mathbb{C}$ , since the quadratic form

$$f_\lambda(x_1, \dots, x_m) = x_1^2 + \dots + x_{m-1}^2 + \lambda x_m^2$$

has discriminant  $\lambda$ , so  $P = 0$ .

Let  $\theta : U \rightarrow \mathbb{C}$  be a polynomial  $\text{SL}_n(\mathbb{C})$ -invariant and define

$$F : \begin{array}{ccc} \mathbb{C} & \rightarrow & \mathbb{C} \\ \lambda & \mapsto & \theta(f_\lambda) \end{array}$$

This is a polynomial function since  $\theta$  is a polynomial map. We prove that  $\theta(f) = F(\text{disc } f)$ . It is enough to show this for  $f$  with  $\text{disc } f \neq 0$ . For, if this is case is known, then

$$U = \{f : \text{disc } f = 0\} \cup \{f : \theta(f) = F(\text{disc } f)\}$$

is a union of Zariski-closed subsets, but  $U$  is irreducible, so that  $U = \{f : \theta(f) = F(\text{disc } f)\}$ .

Recall that any symmetric matrix is congruent (over  $\mathbb{C}$ ) to a matrix of the form

$$\text{diag}(1, \dots, 1, 0, \dots, 0) = \begin{pmatrix} 1 & & & & & \\ & \ddots & & & & \\ & & 1 & & & \\ & & & 0 & & \\ & & & & \ddots & \\ & & & & & 0 \end{pmatrix}$$

Thus if  $f \in U$  corresponds to the matrix  $A$  and  $\lambda = \text{disc } f = \det A \neq 0$  then there exists  $B \in \text{GL}_m(\mathbb{C})$  such that  $B^t A B = I$ . Now if  $C = \text{diag}(1, \dots, 1, (\det B)^{-1})$ , then  $BC \in \text{SL}_m(\mathbb{C})$ , and

$$(BC)^t A (BC) = C^t B^t A B C = \text{diag}(1, \dots, 1, (\det B)^{-2}) = \text{diag}(1, \dots, 1, \lambda)$$

and so  $\theta(f) = \theta(f_\lambda) = F(\lambda)$ , as required.  $\square$

### (11.7) Discriminant of binary forms

Define

$$U = \text{H}_{\mathbb{C},n}(\mathbb{C}^2, \mathbb{C}) = \left\{ \begin{array}{l} \text{homogeneous polynomials of degree } n \\ \text{in two variables } X_1, X_2 \end{array} \right\}$$

Take  $f \in U$ . Then  $f$  has the form

$$\begin{aligned} f(X_1, X_2) &= a_0 X_1^n + a_1 X_1^{n-1} X_2 + \dots + a_n X_2^n \\ &= b(\lambda_1 X_1 + \mu_1 X_2)(\lambda_2 X_1 + \mu_2 X_2) \cdots (\lambda_n X_1 + \mu_n X_2) \end{aligned}$$

and define

$$\text{disc}(f) = b^{2n-2} \prod_{i < j} (\lambda_i \mu_j - \lambda_j \mu_i)^2$$

This is well-defined since it is unchanged if two terms are exchanged or if one term is enlarged and another is reduced by the same factor.

**Example** ( $n = 3$ )  $\text{disc}(f) = -27a_0^2 a_3^2 + 18a_0 a_1 a_2 a_3 - 4a_0 a_2^3 - 4a_1^3 a_3 + a_1^2 a_2^2$

**Claim**  $\text{disc} : U \rightarrow \mathbb{C}$  is a  $(2n - 2)$ -homogeneous  $\text{SL}_2(\mathbb{C})$ -invariant.

**Proof** Exercise for the daring.  $\square$

**(11.8) Definition** A **covariant** for a  $\mathbb{C}\text{SL}(V)$ -module  $U$  is a polynomial invariant of the form  $U \oplus V \rightarrow \mathbb{C}$ .

**Examples**



- Every invariant  $\theta$  for  $U$  gives rise to a covariant 
$$U \oplus V \rightarrow \mathbb{C}$$
$$(u, v) \mapsto \theta(u)$$
- If  $U = \mathrm{H}_{\mathbb{C},n}(V, \mathbb{C})$  then there is an ‘evaluation covariant’  $\mathrm{ev} : U \oplus V \rightarrow \mathbb{C}$ 
$$(f, v) \mapsto f(v).$$

### (11.9) The Hessian

If  $f$  is a function of  $X_1, \dots, X_m$  then the **Hessian** of  $f$  is

$$H(f) = \det \left( \frac{\partial^2 f}{\partial X_i \partial X_j} \right)$$

It is itself a function of  $X_1, \dots, X_m$ .

Let  $U = \mathrm{H}_{\mathbb{C},n}(\mathbb{C}^m, \mathbb{C})$ , the  $n$ -homogeneous polynomials in  $X_1, \dots, X_m$ . The Hessian defines a polynomial map

$$U \oplus \mathbb{C}^m \rightarrow \mathbb{C}$$
$$(f, v) \mapsto H(f)(v)$$

Now  $U$  is naturally a  $\mathbb{C}\mathrm{GL}_m(\mathbb{C})$ -module via the action

$$(gf)(v) = f(g^{-1}v), \quad f \in U, g \in \mathrm{GL}_m(\mathbb{C}), v \in \mathbb{C}^m$$

By the chain rule,

$$H(gf)(gv) = (\det g)^{-2} H(f)(v)$$

and so  $H$  is an  $\mathrm{SL}_2(\mathbb{C})$ -covariant.

## 12 First fundamental theorem of invariant theory

The first fundamental theorem of invariant theory gives generators for the set of polynomial invariants when the module is the direct sum of copies of  $V$  and  $V^*$ . The hope was then *in principle* to compute invariants of an arbitrary rational module, but *in practice* this proved to be impossible. We will state and prove the first fundamental theorem for  $\mathrm{GL}(V)$  and state it for  $\mathrm{SL}(V)$ .

As usual,  $V$  is an  $m$ -dimensional  $\mathbb{C}$ -space with basis  $e_1, \dots, e_m$ .

**(12.1) Theorem** (*multilinear first fundamental theorem for the general linear group*)

For  $n, r \in \mathbb{N}$ ,

- (i)  $\mathrm{Hom}_{\mathbb{C}\mathrm{GL}(V)}(T^n(V^*) \otimes T^r(V), \mathbb{C}) = 0$  if  $n \neq r$ ;
- (ii)  $\mathrm{Hom}_{\mathbb{C}\mathrm{GL}(V)}(T^n(V^*) \otimes T^n(V), \mathbb{C}) = \mathrm{span}_{\mathbb{C}}\{\mu_\sigma : \sigma \in \mathbb{S}_n\}$ , where

$$\mu_\sigma(\varphi_1 \otimes \cdots \otimes \varphi_n \otimes v_1 \otimes \cdots \otimes v_n) = \varphi_{\sigma(1)}(v_1) \cdots \varphi_{\sigma(n)}(v_n)$$

**Remark** A proof in arbitrary characteristic can be found in de Cocini and Procesi (1976).

**Proof** Let  $X, Y$  be  $\mathbb{C}G$ -modules, where  $G$  is some group. We know that

$$\mathrm{Hom}_{\mathbb{C}}(X^* \otimes Y, \mathbb{C}) = (X^* \otimes Y)^* \cong X^{**} \otimes Y^* \cong X \otimes Y^* \cong \mathrm{Hom}_{\mathbb{C}}(Y, X)$$

Taking  $G$ -fixed points, we obtain

$$\mathrm{Hom}_{\mathbb{C}G}(X^* \otimes Y, \mathbb{C}) \cong \mathrm{Hom}_{\mathbb{C}G}(Y, X)$$

With this and the isomorphism  $T^n(V^*) \cong (T^n V)^*$  we have an isomorphism

$$\pi : \begin{array}{ccc} \mathrm{Hom}_{\mathbb{C}\mathrm{GL}(V)}(T^r V, T^n V) & \xrightarrow{\cong} & \mathrm{Hom}_{\mathbb{C}\mathrm{GL}(V)}(T^n(V^*) \otimes T^r(V), \mathbb{C}) \\ f & \mapsto & \left( \varphi_1 \otimes \cdots \otimes \varphi_n \otimes \vec{v} \mapsto (\varphi_1 \otimes \cdots \otimes \varphi_n)(f(\vec{v})) \right) \end{array}$$

Now

- (i) If  $n \neq r$  then  $\mathrm{Hom}_{\mathbb{C}\mathrm{GL}(V)}(T^r V, T^n V) = 0$  because  $\mathrm{Hom}_{\mathbb{C}\mathrm{GL}(V)}(S', S) = 0$  if  $S'$  (resp.  $S$ ) is an irreducible  $r$ -homogeneous (resp.  $n$ -homogeneous)  $\mathbb{C}\mathrm{GL}(V)$ -module.
- (ii) is just a restatement of Schur–Weyl duality. To see this, recall that  $\mathrm{End}_{\mathbb{C}\mathrm{GL}(V)}(T^n V)$  is spanned by  $\lambda_\sigma$  for  $\sigma \in \mathbb{S}_n$ , where

$$\lambda_\sigma(v_1 \otimes \cdots \otimes v_n) = v_{\sigma^{-1}(1)} \otimes \cdots \otimes v_{\sigma^{-1}(n)}$$

and now  $\pi(\lambda_\sigma) = \mu_\sigma$ . □

**Notation** For  $\mathbb{C}G$ -modules  $U$  and  $W$ , let  $\mathrm{Hom}_{\mathbb{C}G, n}(U, W)$  denote the vector space of all  $n$ -homogeneous concomitants  $U \rightarrow W$  (cf. (11.1)).

Note that  $\mathrm{Hom}_{\mathbb{C}G, n}(U, W)$  is a  $\mathbb{C}G$ -module with conjugation action

$$(gf)(u) = gf(g^{-1}u), \quad g \in G, \quad u \in U, \quad f \in \mathrm{Hom}_{\mathbb{C}G, n}(U, W)$$

Note also that  $\mathrm{Hom}_{\mathbb{C}G, n}(U, W) = \mathrm{H}_{\mathbb{C}, n}(U, W)^G$ .

**(12.2) Lemma** If  $U$  is a  $\mathbb{C}G$ -module then

$$\mathbb{C}[U]^G \cong \bigoplus_{n \geq 0} \mathrm{Hom}_{\mathbb{C}G}(U, \mathbb{C})$$

**Proof** We know that

$$\mathbb{C}[U] \cong \bigoplus_{n \geq 0} \mathrm{H}_{\mathbb{C}, n}(U, \mathbb{C})$$

is an isomorphism of  $\mathbb{C}G$ -modules; now take  $G$ -fixed points.  $\square$

**(12.3) Lemma** If  $U$  and  $W$  are  $CCG$ -modules then there exist invrse isomorphisms of vector spaces

$$\begin{array}{ccc} \text{Hom}_{\mathbb{C}G}(\mathbb{S}^n U, W) & \xrightarrow{\psi} & \text{Hom}_{\mathbb{C}G, n}(U, W) & \text{and} & \text{Hom}_{\mathbb{C}G, n}(U, W) & \xrightarrow{f} & \text{Hom}_{\mathbb{C}G}(\mathbb{S}^n U, W) \\ & \mapsto & \psi \circ \Delta & & f & \mapsto & \frac{1}{n!} Pf \end{array}$$

where  $\Delta : \begin{array}{l} U \mapsto \mathbb{S}^n U \\ u \mapsto u \vee \cdots \vee u \end{array}$  and  $Pf$  is the total polarisation of  $f$  (see after **(6.13)**).

**Proof** Clearly the maps induce isomorphisms between  $\text{Hom}_{\mathbb{C}}(\mathbb{S}^n U, W)$  and  $\text{H}_{\mathbb{C}, n}(U, W)$ , so we'll prove:

- (i)  $\psi$  concomitant  $\Rightarrow \psi \circ \Delta$  concomitant;
- (ii)  $f$  concomitant  $\Rightarrow \frac{1}{n!} Pf$  concomitant.

So...

- (i) is clear since  $\Delta$  is a concomitant.
- (ii) To prove this, there are (at least) three possible approaches.

**Approach 1** Use the formula for  $Pf$  (not recommended).

**Approach 2** If  $f \in \text{Hom}_{\mathbb{C}G, n}(U, W)$  then  $f = (\frac{1}{n!} Pf) \circ \Delta$ , so

$$\begin{aligned} \left(\frac{1}{n!} Pf\right)(g(u \vee \cdots \vee u)) &= \left(\frac{1}{n!} Pf\right)(g\Delta(u)) \\ &= \left(\frac{1}{n!} Pf\right)\Delta(g(u)) \\ &= f(g(u)) \\ &= gf(u) \\ &= g\left(\frac{1}{n!} Pf\right)(u \vee \cdots \vee u) \end{aligned}$$

**Approach 3**  $\text{Hom}_{\mathbb{C}}(\mathbb{S}^n U, W)$  and  $\text{H}_{\mathbb{C}, n}(U, W)$  are  $\mathbb{C}G$ -modules, with  $G$  acting by conjugation, and  $\psi \mapsto \psi \circ \Delta$  is a  $\mathbb{C}G$ -map and an isomorphism. So its inverse  $f \mapsto \frac{1}{n!} Pf$  is also a  $\mathbb{C}G$ -map. These maps restrict to isomorphisms between sets of  $G$ -fixed points.  $\square$

**Remark**  $\Delta$  factors as  $U \xrightarrow{\delta} T^n U \xrightarrow{\text{natural}} \mathbb{S}^n U$ , where

$$\delta(u) = \underbrace{u \otimes \cdots \otimes u}_n$$

so composition with  $\delta$  induces a surjection

$$\text{Hom}_{\mathbb{C}G}(T^n U, W) \longrightarrow \text{Hom}_{\mathbb{C}G}(U, W)$$

We'll use this reformulation of **(12.3)** to prove

**(12.4) Theorem** (*first fundamental theorem for the general linear group*)

Let  $U = \underbrace{V \oplus \cdots \oplus V}_p \oplus \underbrace{V^* \oplus \cdots \oplus V^*}_q$ . Then  $\mathbb{C}[U]^{\text{GL}(V)}$  is generated as a  $\mathbb{C}$ -algebra by elements  $\rho_{ij}$  for  $1 \leq i \leq p$ ,  $1 \leq j \leq q$ , where

$$\rho_{ij}(v_1, \dots, v_p, \varphi_1, \dots, \varphi_q) = \varphi_j(v_i)$$

**Proof** Clearly the  $\rho_{ij}$  are polynomial invariants, since

$$g\varphi_j(gv_i) = \varphi_j(g^{-1}gv_i) = \varphi_j(v_i)$$

By **(12.2)** we must show that any  $n$ -homogeneous invariant  $f$  is a linear combination of products of the  $\rho_{ij}$ . By **(12.3)** there exists a surjection

$$\mathrm{Hom}_{\mathrm{CGL}(V)}(T^n U, \mathbb{C}) \longrightarrow \mathrm{Hom}_{\mathrm{CGL}(V), n}(U, \mathbb{C})$$

Put  $V_1 = \cdots = V_p = V$  and  $V_{p+1} = \cdots = V_{p+q} = V^*$ , so that  $U = \bigoplus_{i=1}^{p+q} V_i$ .

This induces a decomposition of  $T^n U$  as

$$T^n U = \bigoplus_{i_1=1}^{p+q} \bigoplus_{i_2=1}^{p+q} \cdots \bigoplus_{i_n=1}^{p+q} V_{i_1} \otimes V_{i_2} \otimes \cdots \otimes V_{i_n}$$

Thus

$$\mathrm{Hom}_{\mathrm{CGL}(V)}(T^n U, \mathbb{C}) = \bigoplus_{i_1, \dots, i_n} \mathrm{Hom}_{\mathrm{CGL}(V)}(V_{i_1} \otimes \cdots \otimes V_{i_n}, \mathbb{C})$$

Focus on one of the summands. By **(12.1)**, either  $\mathrm{Hom}_{\mathrm{CGL}(V)}(V_{i_1} \otimes \cdots \otimes V_{i_n}, \mathbb{C}) = 0$ , or  $n = 2k$ ,  $k$  of the  $i_j$  are  $\leq p$  and  $k$  of the  $i_j$  are  $> p$ .

In the latter case, say  $i_j \leq p$  for  $j = \alpha_1, \dots, \alpha_k$  and  $i_j > p$  for  $j = \beta_1, \dots, \beta_k$ . In this case,  $\mathrm{Hom}_{\mathrm{CGL}(V)}(V_{i_1} \otimes \cdots \otimes V_{i_n}, \mathbb{C})$  is spanned by the  $k!$  maps  $\tau_\sigma$ , for  $\sigma \in S_k$ , given by

$$\tau_\sigma(v_1 \otimes \cdots \otimes v_n) = \varphi_{\beta_{\sigma(1)}}(v_{\alpha_1}) \cdots \varphi_{\beta_{\sigma(k)}}(v_{\alpha_k})$$

The  $n$ -homogeneous invariant of  $U$  corresponding to  $\tau_\sigma$  is just

$$\rho_{i_{\alpha_1}, i_{\beta_{\sigma(1)}-p}} \cdots \rho_{i_{\alpha_k}, i_{\beta_{\sigma(k)}-p}}$$

and we're done.  $\square$

**(12.5) Theorem** If  $r \in \mathbb{N}$  and  $U = \underbrace{\mathrm{End}_{\mathbb{C}} V \oplus \cdots \oplus \mathrm{End}_{\mathbb{C}} V}_r$  then  $\mathbb{C}[U]^{\mathrm{GL}(V)}$  is generated as a  $\mathbb{C}$ -algebra by invariants

$$t_{i_1, \dots, i_k} : \begin{array}{ccc} U & \rightarrow & \mathbb{C} \\ (\theta_1, \dots, \theta_r) & \mapsto & \mathrm{tr}(\theta_{i_1} \cdots \theta_{i_k}) \end{array}$$

where  $k \geq 1$  and  $1 \leq i_1 < \cdots < i_k \leq r$ .

### Remarks

- (1) [Procesi, 1976] In fact,  $\mathbb{C}[U]^{\mathrm{GL}(V)}$  is generated by the  $t_{i_1, \dots, i_k}$  with  $1 \leq k \leq 2^m - 1$ .
- (2) Procesi also shows that **(12.5)** is equivalent to the first fundamental theorem. This links invariant theory to the representation theory of *bouquets*, a certain type of *quiver*.

**Proof** By **(12.2)** and **(12.3)** we must compute  $\mathrm{Hom}_{\mathrm{CGL}(V)}(T^n(\mathrm{End}_{\mathbb{C}} V, \mathbb{C}))$  which, since  $\mathrm{End}_{\mathbb{C}} V \cong V^* \otimes V$ , is isomorphic to  $\mathrm{Hom}_{\mathbb{C}}(T^n V^* \otimes T^n V, \mathbb{C})$ . By the multilinear first fundamental theorem **(12.1)**, this is spanned by the  $\mu_\sigma$  for  $\sigma \in S_n$ , where

$$\mu_\sigma(\varphi_1 \otimes \varphi_n \otimes v_1 \otimes \cdots \otimes v_n) = \varphi_{\sigma(1)}(v_1) \cdots \varphi_{\sigma(n)}(v_n)$$

We now compute the corresponding map

$$\nu_\sigma : T^n(\mathrm{End}_{\mathbb{C}} V) \longrightarrow \mathbb{C}$$

Let  $V$  have basis  $e_1, \dots, e_m$  and  $V^*$  have dual basis  $\eta_1, \dots, \eta_m$ . Let  $(A_{ij})$  be the matrix of  $\theta \in \mathrm{End}_{\mathbb{C}} V$  with respect to these bases, i.e.

$$\theta(v) = \sum_{i,j} A_{ij} \eta_j(v) e_i$$

so that the corresponding element of  $V^* \otimes V$  is  $\sum_{i,j} A_{ij} \eta_j \otimes e_i$ .

If  $\theta_k$  has matrix  $A_{ij}^k$  then  $\theta_1 \otimes \cdots \otimes \theta_n$  corresponds with

$$\sum_{a_1, \dots, a_n} \sum_{b_1, \dots, b_n} A_{a_1 b_1}^1 \cdots A_{a_n b_n}^n \eta_{b_1} \otimes \cdots \otimes \eta_{b_n} \otimes e_{a_1} \otimes \cdots \otimes e_{a_n} \in T^n V^* \otimes T^n V$$

so we have

$$\begin{aligned}\nu_\sigma(\theta_1 \otimes \cdots \otimes \theta_n) &= \sum_{\vec{a}, \vec{b}} A_{a_1 b_1}^1 \cdots A_{a_n b_n}^n \eta_{b_{\sigma(1)}}(e_{a_1}) \cdots \eta_{b_{\sigma(n)}}(e_{a_n}) \\ &= \sum_{\vec{b}} A_{b_{\sigma(1)} b_1}^1 \cdots A_{b_{\sigma(n)} b_n}^n\end{aligned}$$

[The latter equality comes from the definition of dual basis.] Writing  $\sigma = (i_1 \cdots i_k)(j_1 \cdots j_\ell) \cdots$  as a product of disjoint cycles, we reorder this to get

$$\begin{aligned}\nu_\sigma(\theta_1 \otimes \cdots \otimes \theta_n) &= \sum A_{b_{i_2} b_{i_1}}^{i_1} A_{b_{i_3} b_{i_2}}^{i_2} \cdots A_{b_{i_k} b_{i_{k-1}}}^{i_k} A_{b_{j_2} b_{j_1}}^{j_1} \cdots A_{b_{j_\ell} b_{j_{\ell-1}}}^{j_\ell} \cdots \\ &= \text{tr}(\theta_{i_k} \cdots \theta_{i_1}) \text{tr}(\theta_{j_\ell} \cdots \theta_{j_1}) \cdots\end{aligned}$$

which is what we required.  $\square$

**Theorem** (*first fundamental theorem for the special linear group*)

Let  $V$  be an  $m$ -dimensional  $\mathbb{C}$ -space with basis  $e_1, \dots, e_m$  and let  $V^*$  have dual basis  $\eta_1, \dots, \eta_m$ . If

$$U = \underbrace{V \oplus \cdots \oplus V}_p \oplus \underbrace{V^* \oplus \cdots \oplus V^*}_q$$

then  $\mathbb{C}[U]^{\text{SL}(V)}$  is generated as a  $\mathbb{C}$ -algebra by the polynomial invariants which send

- $(v_1, \dots, v_p, \varphi_1, \dots, \varphi_q) \mapsto \varphi_j(v_i)$  for  $1 \leq i \leq p, 1 \leq j \leq q$ ;
- $(v_1, \dots, v_p, \varphi_1, \dots, \varphi_q) \mapsto |v_{i_1}, \dots, v_{i_m}|$  for  $1 \leq i_1 < \cdots < i_m \leq p$
- $(v_1, \dots, v_p, \varphi_1, \dots, \varphi_q) \mapsto |\varphi_{j_1}, \dots, \varphi_{j_m}|$  for  $1 \leq j_1 < \cdots < j_m \leq q$

where  $|v|$  is the determinant of the matrix whose  $n^{\text{th}}$  column is the coordinate of the  $v_{i_n}$  with respect to  $e_1, \dots, e_m$  and  $|\varphi|$  likewise with respect to  $\eta_{j_1}, \dots, \eta_{j_m}$ .

*End of course.*

**MATHEMATICAL TRIPOS PART III, 2013**  
**REPRESENTATION THEORY**

This sheet deals with the representation theory and combinatorics of  $S_n$  and of  $GL_n$ . Work over  $\mathbb{C}$  unless told otherwise.

**1** Let  $H$  be a subgroup of  $S_n$ ; define the element  $H^- = \sum_{h \in H} \varepsilon_h h$  which lies in the group algebra  $\mathbb{C}S_n$ . Prove that

$$\pi H^- = H^- \pi = \varepsilon_\pi H^- \quad \text{for any } \pi \in H,$$

and

$$\pi H^- \pi^{-1} = (\pi H \pi^{-1})^- \quad \text{for any } \pi \in S_n.$$

**2** Let  $r$  be a natural number. Let  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  be a sequence of positive integers. We call  $\lambda$  a *composition* of  $r$  into  $\ell$  parts if  $\sum_{i=1}^{\ell} \lambda_i = r$ . The elements  $\lambda_i$  are called the *parts* of  $\lambda$ .

- (a) Find a formula for the number of compositions of  $r$ .
- (b) Find a formula for the number of compositions of  $r$  with at most  $k$  parts.

**3** Let  $r, s$  be non-negative integers. A partition of the form  $\lambda = (r, 1^s)$  is called a *hook* partition; we will write  $H(n)$  for the set of all hook partitions of  $n$ . Let  $f^\lambda$  be the number of standard tableaux of shape  $\lambda$ .

(a) Find a formula for the number of standard tableaux of shape  $\lambda = (r, 1^s)$  where  $\lambda$  is a partition of  $n$ . Justify your answer.

- (b) Prove that  $\sum_{\lambda \in H(n)} f^\lambda = 2^{n-1}$ .
- (c) Prove that  $\sum_{\lambda \in H(n)} (f^\lambda)^2 = \binom{2(n-1)}{n-1}$ .

**4** Let  $n \in \mathbb{N}$ , and let  $\lambda = (\lambda_i)_{i \in \mathbb{N}}$  and  $\mu = (\mu_j)_{j \in \mathbb{N}}$  be partitions of  $n$ . The *conjugate partition*  $\lambda'$  is defined to be the partition  $\lambda' = (\lambda'_1, \lambda'_2, \dots)$  where  $\lambda'_i = |\{j | \lambda_j \geq i\}|$ . The *dominance order* on the set of partitions of  $n$  is defined as follows:

$\lambda \trianglelefteq \mu$  if and only if for all  $i \in \mathbb{N}$ :

$$\sum_{j \leq i} \lambda_j \leq \sum_{j \leq i} \mu_j.$$

(a) Prove that  $\lambda \trianglerighteq \mu$  if and only if  $\mu' \trianglerighteq \lambda'$ .

(b) We say that a partition  $\lambda$  is *self-conjugate* if  $\lambda = \lambda'$ . Show that there are as many self-conjugate partitions of  $n$  as there are partitions of  $n$  with distinct odd parts (i.e. all  $\lambda_i$  are odd and distinct).

**5** (a) Assuming  $k \geq 3$ , prove that then  $f^{(3^k)} \geq 3k$ .

(b) Let  $\lambda = (l, \dots, l) = (l^k)$  be a partition of  $n = kl$  and  $\tilde{\lambda} = (l+1, \dots, l+1)$  be a partition of  $n+k$ . Prove (by using the Young-Frobenius formula (5.1)) that

$$\frac{f^{\tilde{\lambda}}}{f^\lambda} = \frac{(n+k)!}{n!} \cdot \frac{l!}{(l+k)!}.$$

(c) Let  $k, l \geq 3$  with  $kl = n$ . Prove that then  $f^{(l^k)} \geq n$ .

**6** Let  $C_k$  be the set of all standard tableaux of shape  $(k, k)$  whose entry in box  $(2, 1)$  is some odd number.

(a) Find  $|C_k|$ .

(b) For a partition  $\lambda = (r, s)$  with two parts, write down  $f^{(r, s)}$  (e.g. from the Young-Frobenius formula). Can you find  $\lim_{k \rightarrow \infty} |C_k|/f^{(k, k)}$ ?

**7** In this question  $\lambda = (\lambda_1 \lambda_2, \dots, \lambda_k)$  is a partition of  $n$ , and  $M^\lambda$  is the permutation module with basis the  $\lambda$ -tabloids (a  $\lambda$ -tabloid is an equivalence class of numberings of a Young diagram (with distinct numbers  $1, \dots, n$ ), two being equivalent if the corresponding rows containing the same entries.)

(a) Show that  $M^\lambda$  is isomorphic to the permutation module  $\mathbb{C}\Delta$  where  $\Delta = \cos(S_n : S_\lambda)$ , (the coset space with  $S_\lambda$  the Young subgroup).

(b) Show that

$$\dim M^\lambda = \frac{n!}{\prod_{i=1}^k \lambda_i!}.$$

(c) In the case  $\lambda = (n-2, 2)$  has two parts, show that  $M^\lambda \cong \mathbb{C}\Omega^{(2)}$  where  $\Omega^{(2)}$  is the set of 2-element subsets of  $\Omega = \{1, \dots, n\}$  (with the natural action).

**8** For a  $\lambda$ -tableau  $T$  with  $n$  boxes, define the signed column sum  $\kappa_T = \sum_{\sigma \in C_T} \varepsilon_\sigma \sigma$ . Let  $e_T = \kappa_T\{T\}$  be the  $\lambda$ -polytabloid. Let 1 denote the identity element of  $S_n$ .

(a) List the columns of  $T$  as  $C_1, C_2, \dots, C_s$ . Prove that  $\kappa_T = \kappa_{C_1} \cdots \kappa_{C_s}$ .

(b) Let  $H \leq S_n$  and let  $(a b) \in H$ . Define  $H^- = \sum_{h \in H} \varepsilon_h h$ , as in Q.1. Prove that  $H^- = s \cdot (1 - (a b))$  for some  $s \in \mathbb{C}S_n$ .

(c) Let  $\pi \in S_n$  and  $\sigma \in C_T$ . Show that

$$\kappa_{\pi T} = \pi \kappa_T \pi^{-1}, \quad \kappa_T \sigma = \sigma \kappa_T = \varepsilon_\sigma \kappa_T$$

and

$$e_{\pi T} = \pi e_T; \quad \sigma e_T = \varepsilon_\sigma e_T.$$

**9** \*[The Murnaghan-Nakayama Rule.]

Part (a) below is an optional task for enthusiasts only. You will need to read about James' method (lecture notes pp 79–83) using the Littlewood-Richardson Rule. A good account appears in Sagan's Springer GTM, p.182. This beautiful result has generalisations to the irreducible characters of exotic structures called Iwahori-Hecke algebras of type  $A_{n-1}$  due to Ram (who appealed to Schur-Weyl duality), and it appears to have been known to Young in his work on hyperoctahedral groups (the so-called Weyl groups of type  $B_n$ ).

(a) Consider the character  $\chi^\lambda(w) =: \chi_\mu^\lambda$  where  $w \in S_n$  has cycle type  $(\mu_1, \mu_2, \dots, \mu_\ell)$ . Suppose there are precisely  $t$  partitions  $\lambda(1), \dots, \lambda(t)$  which are obtained by removing a rim hook of length  $\mu_1$  from  $[\lambda]$ . Find an expression for  $\chi_\mu^\lambda$  in terms of  $\chi_{(\mu_2, \mu_3, \dots, \mu_\ell)}^{\lambda(i)}$ .

(b) Let  $n \geq 6$ . Prove that

$$\chi_{(2, 1^{n-2})}^{(n-3, 3)} = (n-3)(n-4)(n-5)/6.$$

**10** Given some  $\mathbb{C}S_n$ -module  $M$ , with action written as  $\pi \cdot m$  ( $\pi \in S_n, m \in M$ ). Define a new  $\mathbb{C}S_n$ -module  $M^\varepsilon$  as the module whose underlying vector space is  $M$  and with new action  $\pi * m := \varepsilon_\pi \pi \cdot m$  ( $\pi \in S_n, m \in M$ ).

(a) Verify that  $M^\varepsilon$  is a  $\mathbb{C}S_n$ -module.

(b) Prove that  $M$  is irreducible if and only if  $M^\varepsilon$  is irreducible.

(c) Let  $\chi$  be the character of  $M$ , and let  $\chi^{(1^n)}$  be the character of the Specht module  $S^{(1^n)}$ . Prove that the character  $\chi^\varepsilon$  of  $M^\varepsilon$  is given by  $\chi^\varepsilon(\pi) = \chi^{(1^n)}(\pi)$  for  $\pi \in S_n$ .

[Why do you now know that the trivial module  $S^{(n)}$  and the sign module  $S^{(1^n)}$  are the only 1-dimensional  $\mathbb{C}S_n$ -modules.]

**11** Let  $\rho : \text{GL}(V) \rightarrow \text{GL}_n(\mathbb{C})$  be an irreducible polynomial representation. Show that the matrix entries  $\rho_{ij} \in \mathbb{C}[\text{GL}(V)]$  are homogeneous polynomials of the same degree. More generally, show that every polynomial representation  $\rho$  is a direct sum of representations  $\rho^{(i)}$  whose matrix entries are homogeneous polynomials of degree  $i$ .

**12** Give a proof of the result proved in lectures that affine  $n$ -space  $\mathbb{A}^n$  is irreducible without appealing to the Nullstellensatz.

**13** Prove that the 1-dimensional rational representations of  $\mathbb{C}^* = \text{GL}_1(\mathbb{C})$  are power maps of the form  $u \mapsto u^r$  where  $r \in \mathbb{Z}$ .

**14** (a) Show that the set of diagonalisable matrices is Zariski dense in  $M_n(\mathbb{C})$  (hence every invariant function on  $M_n(\mathbb{C})$  is completely determined by its restriction to  $T_n$ , the diagonal matrices. [Actually this is nothing more than Jordan decomposition; if you work a bit harder you can show this is true over any field, not necessarily algebraically closed].

(b) Let  $\rho : \text{GL}_n \rightarrow \text{GL}(W)$  be a rational representation. Show that  $\rho$  is polynomial if and only if  $\rho|_{T_n}$  is polynomial. Show also that  $\rho$  is polynomial if and only if its character  $\chi_\rho$  is a polynomial.

(c) Deduce that every 1-dimensional rational representation of  $\text{GL}(V)$  is of the form  $\det^r : \text{GL}(V) \rightarrow \text{GL}_1$ ,  $r \in \mathbb{Z}$ .

**15** Consider the linear action of  $\text{GL}(V)$  on  $\text{End}(V)$  by conjugation.

(a) What are the orbits of this action? Any element  $\alpha \in \text{End}(V)$  has a characteristic polynomial of the form  $p_\alpha(t) = t^n + \sum_{i=1}^n (-1)^i e_i(\alpha) t^{n-i}$ , where  $n = \dim V$ , showing that the  $e_i$  are invariant polynomial functions on  $\text{End}(V)$ .

(b) [HARD] Find a proof of the fact that the ring of invariants for the conjugation action is generated by the  $s_i$  without using properties of symmetric functions.

(c) Show that the functions  $\text{Tr}_1 \dots, \text{Tr}_n$  generate the invariant ring  $\mathbb{C}[\text{End}(V)]^{\text{GL}(V)}$ . Here  $\text{Tr}_k : \text{End}(V) \rightarrow \mathbb{C}$  is the map  $A \mapsto \text{Tr} A^k$ , ( $k = 1, 2, \dots$ ).

SM, Lent Term 2013

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**MATHEMATICAL TRIPOS PART III, 2013**  
**REPRESENTATION THEORY**

This sheet deals mostly with polynomial invariant theory. Work over  $\mathbb{C}$ .

- 1** Let  $W$  be finite-dimensional over  $\mathbb{C}$ . Check that the coordinate functions  $x_1, \dots, x_m \in \mathbb{C}[W]$  are algebraically independent (equivalently, if  $f(a_1, \dots, a_m) = 0$  for a polynomial  $f$  and all  $a = (a_1, \dots, a_m) \in \mathbb{C}^m$  then  $f$  is the zero polynomial). Hint: use induction on the number of variables and the fact that a non-zero polynomial in one variable has only finitely many zeroes.
- 2** (a) Show that the natural representation of  $\mathrm{SL}_2(\mathbb{C})$  on  $\mathbb{C}^2$  is self-dual (i.e. equivalent to its dual representation). Hint: find a non-singular matrix  $P$  such that  $(A^{-1})^t = PAP^{-1}$ .  
(b) Show that this representation has two orbits.
- 3** Consider  $\mathrm{GL}_2$  acting on  $\mathbb{C}[X, Y]$  (induced by the natural representation of  $\mathrm{GL}_2$  on  $\mathbb{C}^2$ ).  
(a) What is the image of  $X$  and  $Y$  under the action of any  $g \in \mathrm{GL}_2$ ?  
(b) Calculate  $\mathbb{C}[X, Y]^{\mathrm{GL}_2}$  and  $\mathbb{C}[X, Y]^{\mathrm{SL}_2}$ .  
(c) Calculate  $\mathbb{C}[X, Y]^U$  where  $U$  is the subgroup of upper triangular unipotent matrices.
- 4** Consider the representation of  $\mathrm{SL}_n(\mathbb{C})$  in its action on  $M_n(\mathbb{C})$ , as discussed in lectures. Prove that the invariant ring is generated by the determinant. You may need the fact that  $\mathrm{GL}_n$  is Zariski-dense in  $M_n$ .
- 5** Determine the invariant rings of  $\mathbb{C}[M_2(\mathbb{C})]^U$  and  $\mathbb{C}[M_2(\mathbb{C})]^T$  under left multiplication by the subgroup  $U$  of upper triangular unipotent matrices and the 2-torus  $T = \mathrm{diag}(t, t^{-1})$  of diagonal matrices of  $\mathrm{SL}_2$ .
- 6** Let  $T_n$  be the subgroup of  $\mathrm{GL}_n$  of non-singular diagonal matrices. Choose the standard basis in  $W = \mathbb{C}^n$  and the dual basis in  $W^*$  and thus identify the coordinate ring  $\mathbb{C}[W \oplus W^*]$  with  $\mathbb{C}[x_1, \dots, x_n, \zeta_1, \dots, \zeta_n]$ . Show that

$$\mathbb{C}[W \oplus W^*]^{T_n} = \mathbb{C}[x_1\zeta_1, \dots, x_n\zeta_n].$$

What happens if you replace  $T_n$  by the subgroup  $T'_n$  of diagonal matrices with determinant 1?

**7** Recall that for each  $j \geq 1$  the symmetric functions  $n_j(\mathbf{x}) = x_1^j + x_2^j + \cdots + x_n^j$  are known as *power sums* (or *Newton functions*). As before the  $e_j$  are the elementary symmetric functions.

(a) Show that

$$(-1)^{j+1} j e_j = n_j - e_1 n_{j-1} + e_2 n_{j-2} - \cdots + (-1)^{j-1} e_{j-1} n_1$$

for all  $j = 1 \dots, n$ . This is known as the Newton-Girard identity. [Hint: let  $j = n$ , consider  $f(t) = \prod_i (t - x_i)$  and calculate  $\sum_i f(x_i)$ . For  $j < n$  what can you say about the right-hand side?]

(b) Here is Weyl's original proof<sup>1</sup> of the identity in (a). Define the polynomial

$$\psi(t) = \prod_{i=1}^n (1 - tx_i) = 1 - e_1 t + e_2 t^2 - \cdots + (-1)^n e_n t^n,$$

where the  $e_i$  are the elementary symmetric functions. Determine its logarithmic derivative  $-\frac{\psi'(t)}{\psi(t)}$  as a formal power series. Deduce (a).

(c) Show that (in characteristic 0) the power sums generate the symmetric functions.

**8** (a) Let  $A$  be a commutative algebra and let  $G$  be a group algebra of automorphisms of  $A$ . Assume the representation of  $G$  on  $A$  is completely reducible. Show that the subalgebra  $A^G$  of invariants has a canonical  $G$ -stable complement and the corresponding  $G$ -equivariant projection  $\pi : A \rightarrow A^G$  satisfies  $\pi(hf) = h\pi(f)$  for  $h \in A^G, f \in A$ . This projection is sometimes called the *Reynolds operator*.

(b) Let  $A = \bigoplus_{j \geq 0} A_j$  be a graded  $K$ -algebra (meaning  $A_i A_j \subset A_{i+j}$ ). Assume the ideal  $A^+ = \bigoplus_{j > 0} A_j$  is finitely-generated. Show that  $A$  is finitely-generated as an algebra over  $A_0$ . (In fact if the ideal  $A^+$  is generated by the homogeneous elements  $a_1, \dots, a_n$ , then  $A = A_0[a_1, \dots, a_n]$ .)

(c) Deduce Hilbert's theorem: if  $W$  is a  $G$ -module and the representation of  $G$  on  $K[W]$  is completely reducible then the invariant ring  $K[W]^G$  is finitely-generated. [Hint: you will need to use Hilbert's Basis Theorem.] This shows also that  $K[W]^G$  is noetherian.

(d) Hilbert's Theorem is applicable to finite groups provided Maschke's Theorem holds (i.e we require that  $|G|$  is invertible in  $K$ ). In the special case of characteristic 0, show that for any representation  $W$  of  $G$ , the ring of invariants  $K[W]^G$  is generated by invariants of degree less than or equal to  $|G|$  (Noether's Theorem).

Schmidt introduced a numerical invariant  $\beta(G)$  for every finite group  $G$ . It is defined to be the minimal number  $m$  such that for every representation  $W$  of  $G$ , the invariant ring  $K[W]^G$  is generated by the invariants of degree  $\leq m$ . With this definition, Noether's Theorem asserts that  $\beta(G) \leq |G|$ . In fact Schmidt showed that  $\beta(G) = |G|$  if and only if  $G$  is cyclic<sup>2</sup>. It is also a fact that if  $G$  is actually abelian then  $\beta(G)$  coincides with the so-called Davenport constant.

In the next few questions we'll write  $V = \mathbb{C}^2$ ,  $G = \text{SL}(V) = \text{SL}_2(\mathbb{C})$ , and

$$C_n = H_{\mathbb{C},n}(V, \mathbb{C}) \cong (S^n V)^* \cong S^n(V^*),$$

which can be identified with the set of homogeneous polynomials of degree  $n$  in variables  $X_1, X_2$ . Note that  $\{C_n : n \in \mathbb{N}\}$  are the non-isomorphic simple  $\mathbb{C}G$ -modules. For a fixed  $n$ , recall that a *covariant* for  $C_n$  is a polynomial  $\text{CSL}(V)$ -invariant  $C_n \otimes V \rightarrow \mathbb{C}$ . The following questions sketch a classification of the generators for  $\mathbb{C}[C_n \otimes V]^G$ .

<sup>1</sup>Weyl, The Classical Groups, II.A.3

<sup>2</sup>see *Finite groups and invariant theory* in Séminaire d'Algèbre Paul Dubreil et M.-P. Malliavin (Springer Lecture Notes 1478, Springer 1991)

9 Suppose  $f, g$  are functions of  $X_1, X_2$  and  $r \in \mathbb{N}$ . Define the  $r$ th *transvectant* of  $f, g$  as

$$\begin{aligned} \tau_r(f, g) &= \sum_{j=0}^r \frac{(-1)^j}{j!(r-j)!} \frac{\partial^r f}{\partial X_1^{r-j} \partial X_2^j} \frac{\partial^r g}{\partial X_1^j \partial X_2^{r-j}} \\ &= \frac{1}{r!} \left( \frac{\partial}{\partial X_1} \frac{\partial}{\partial Y_2} - \frac{\partial}{\partial X_2} \frac{\partial}{\partial Y_1} \right)^r (f(X_1, X_2)g(Y_1, Y_2) |_{Y_1=X_1, Y_2=X_2}). \end{aligned}$$

You should observe  $\tau_0(f, g) = fg$ ,  $\tau_1(f, g)$  is the Jacobian of  $f, g$  and  $\tau_2(f, f)$  is the Hessian of  $f$ . Show that

(a) if  $f, g$  are homogeneous polynomials of degrees  $p, q$  then  $\tau_r(f, g) = 0$  unless  $r \leq \min\{p, q\}$ , in which case it is a homogeneous polynomial of degree  $p + q - 2r$ ;

(b) if  $r \leq \min\{p, q\}$  then the map  $\tau_r : C_p \otimes C_q \rightarrow C_{p+q-2r}$  sending  $f \otimes g \mapsto \tau_r(f, g)$  is a non-zero map of  $\mathbb{C}G$ -modules;

(c) any  $\mathbb{C}G$ -module map  $C_p \otimes C_q \rightarrow C_{p+q-2r}$  is a multiple of  $\tau_r$ . [Hint: use Clebsch-Gordan];

(d) the  $\tau_r$  give an isomorphism of  $\mathbb{C}G$ -modules

$$C_p \otimes C_q \rightarrow \bigoplus_{r=0}^{\min\{p, q\}} C_{p+q-2r}.$$

10 Let  $p, q, n, r \in \mathbb{N}$  and  $r \leq \min\{q, n\}$ . Set  $N = \max\{0, r-p\}$ . Show that there are scalars  $\lambda_N, \dots, \lambda_r \in \mathbb{C}$ , with  $\lambda_r \neq 0$ , such that

$$f\tau_r(g, h) = \sum_{j=N}^r \lambda_j \tau_j(\tau_{r-j}(f, g), h),$$

for all  $f \in C_p, g \in C_q, h \in C_n$ . [HINT: Schur's Lemma.] Thus the  $\tau_j$  are trying hard to be associative.

11 Fix  $n \in \mathbb{N}$ . Let  $R = \mathbb{C}[C_n \oplus V]$ , so that  $R^G$  is the set of covariants of  $C_n$ . If  $\varphi \in R$  and  $f \in C_n$ , define  $\varphi(f) \in \mathbb{C}[V]$  by  $\varphi(f)(x) = \varphi(f, x)$ . If  $r \in \mathbb{N}$  and  $\phi, \psi \in R$  define  $\tau_r(\phi, \psi)$  as the map  $C_n \oplus V \rightarrow \mathbb{C}$  by  $(f, x) \mapsto \tau_r(\phi(f), \psi(f))(x)$ . Finally if  $d, i \in \mathbb{N}$ , let  $R_{di}$  be the set of all  $\phi \in R$  which are homogeneous, of degree  $d$  in  $C_n$  and degree  $i$  in  $V$ . Show that

(a)  $R_{di}$  are  $\mathbb{C}G$ -submodules of  $R$ , and  $R$  is graded,  $R = \bigoplus_{d,i=0}^{\infty} R_{di}$ , hence so too is  $R^G$ ;

(b)  $R_{di}R_{ej} \subseteq R_{d+e, i+j}$  and  $\tau_r(R_{di}, R_{ej}) \subseteq R_{d+e, i+j-2r}$  for  $r \leq \min\{i, j\}$ ;

(c) the assignment  $\phi \mapsto (f \mapsto \phi(f))$  induces an isomorphism

$$R_{di}^G \cong \text{hom}_{\mathbb{C}G, d}(C_n, C_i),$$

and deduce that

(d) any covariant  $\theta \in R_{di}^G$  ( $d \geq 1$ ) can be expressed as a linear combination

$$\theta = \sum_{r=0}^{\min\{n, i\}} \tau_{n-r}(\phi_r, E)$$

with  $\phi_r \in R_{d-1, n+i-2r}^G$  and  $E$  is the evaluation map  $E(f, v) = f(v)$  [you should note that (c) says that  $R_{1,i}^G = \mathbb{C}.E$  when  $i = n$  and 0 if  $i \neq n$ .]

**12** [Gordan's Theorem<sup>3</sup> - a weak form] If  $S$  is a  $\mathbb{C}$ -subalgebra of  $R^G$  with the property that  $\tau_r(\phi, E) \in S$  whenever  $r \in \mathbb{N}$  and  $\phi \in S$ , then  $S = R^G$ . [Remark: after the last question the proof should reduce to a two-line argument.]

**13** [REALLY HARD] This uses Gordan's Theorem to find a set of generators of  $R^G$  in the case  $n = 3$ . Given  $n = 3$ , show that  $R^G$  is generated by the covariants

$$E \in R_{13}^G;$$

$$H = \tau_2(E, E), \text{ the Hessian, } \in R_{22}^G;$$

$$t = \tau_1(H, E) \in R_{33}^G;$$

$$D = \tau_3(t, E), \text{ the discriminant } (\times 48), \in R_{40}^G$$

**14** [REALLY REALLY HARD<sup>4</sup>] Take  $n = 4$  and repeat the last question to generate  $R^G$  using quartic forms.

Classically, the (still unsolved) problem of computing all covariants of binary forms of degree  $n$  was tackled by something called the symbolic method (using polarisation to reduce it to the FFT). Associated to the symbolic method is the symbolic notation, designed to make the calculations easier, but still non-trivial. You can consult any old text on Invariant Theory, such as Grace and Young if interested.

SM, Lent Term 2013

Comments on and corrections to this sheet may be emailed to [sm@dpmms.cam.ac.uk](mailto:sm@dpmms.cam.ac.uk)

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<sup>3</sup>Paul Gordan, dubbed the 'King of Invariant Theory' is perhaps better known for being Emmy Noether's thesis advisor. The result appeared in 1868 in Crelle's Journal as *Beweis, dass jede Covariante und Invariante einer binären Form eine ganze Funktion mit numerischen Coeffizienten einer endlichen Anzahl solcher Formen ist*.

<sup>4</sup>J.J. Sylvester's collected works (four volumes, edited by H.F. Baker) include tables with details about higher degree forms. However his published tables for forms of degree larger than 6 appear to be totally wrong. Corrections appear in von Gall (1888) and Dixmier/Lazard (1986), Shioda (1967) and Brouwer/Popoviciu (2010).