

## ELLIPTIC CURVES

Elliptic curves are algebraic curves that carry a group law. As such, they are objects of algebraic geometry, but they are also of huge significance in number theory; for example, Wiles' proof of the Shimura-Taniyama conjecture for semi-stable elliptic curves over  $\mathbb{Q}$  has Fermat's Last Theorem as a consequence. The aim of this course is to introduce some of the basic ideas in this area, and to prove some of the basic theorems.

Tentative list of contents:

Smooth curves in  $\mathbb{A}^2$  and  $\mathbb{P}^2$ . Cubic curves  $E$  and the group law (via Riemann-Roch).

Over  $\mathbb{C}$ ,  $E = \mathbb{C}/\Lambda$ ; comparison of group laws. Abel-Jacobi map.

Morphisms and isogenies.

Elliptic curves over finite fields. The Weil conjectures.

Elliptic curves over number fields. Heights and the Mordell-Weil theorem.

The  $j$ -invariant.

The Weil pairing on torsion points. Tate modules and the action of Galois.

Good and bad reduction. The Néron model, and Grothendieck's theorem on semi-stable reduction.

### Reference

J. Silverman, Arithmetic of Elliptic Curves.

## Elliptic Curves

1.

Start with  $\mathbb{C}$ . In general, elliptic curves are algebraic varieties, and as such may be defined over a field, e.g.  $\mathbb{C}, \mathbb{Q}, \mathbb{F}_p$ , or over something more general, e.g.  $\mathbb{Z}$ . Even to study things over  $\mathbb{Q}$ , need an understanding of things over  $\mathbb{C}, \overline{\mathbb{F}_p}$ .

In  $\mathbb{C}$ , take a lattice  $\Lambda$ , i.e.  $\Lambda \cong \mathbb{Z}^2$  and  $\Lambda$  is discrete. So  $\Lambda \cap U$  is finite & compact  $U \subset \mathbb{C}$



$\{\lambda_1, \lambda_2\}$  is a basis of  $\Lambda$ .

$\mathbb{C}/\Lambda$  is a torus, topologically compact.  $\Lambda$  acts on  $\mathbb{C}$  by translation, as a group of holomorphic transformations. So  $\mathbb{C}/\Lambda$  is a Riemann surface.

$\mathbb{C}/\Lambda$  also inherits an additive group law from  $\mathbb{C}$ .  $\mathbb{C} \times \mathbb{C} \rightarrow \mathbb{C}$  descends to  $\mathbb{C}/\Lambda \times \mathbb{C}/\Lambda \rightarrow \mathbb{C}/\Lambda$ ,  $(z, w) \mapsto z + w$ , also holomorphic.

So,  $\mathbb{C}/\Lambda$  is a compact Riemann surface with a commutative group law, and the group law is holomorphic.

Aim: find polynomial equations describing  $\mathbb{C}/\Lambda$ . I.e., have  $f(x, y) = 0$ , f a polynomial, with X, Y meromorphic functions in  $\mathbb{C}/\Lambda$ , and  $f = 0$  should be a relation satisfied by X, Y identically.

So, we want meromorphic functions on  $\mathbb{C}/\Lambda$ , i.e., meromorphic functions in  $\mathbb{C}$ , invariant under  $z \mapsto z + \lambda$ .

So, define  $g(z) = \frac{1}{z^2} + \sum_{\lambda \neq 0} \left( \frac{1}{(z+\lambda)^2} - \frac{1}{\lambda^2} \right)$

Remarks: (i) We must allow poles to get something non-constant (Liouville).  
 (ii) Must have  $\geq 2$  poles (Residue Theorem).

This series converges in the sense that for any compact  $U \subset \mathbb{C}$ , we can write  $g(z) = (\text{finitely many terms}) + (\text{something absolutely convergent})$ . So  $g$  is a meromorphic function in  $\mathbb{C}$ , and can be differentiated term by term.

We get  $g'(z) = -2 \sum_{\lambda} (z+\lambda)^{-3}$ , obviously  $\Lambda$ -invariant.

Lemma:  $g$  is  $\Lambda$ -invariant.

Proof: Fix  $\lambda \in \Lambda$ . Let  $g(z) = g(z+\lambda) - g(z)$ . So,  $g'(z) = g'(z+\lambda) - g'(z) = 0$ , so  $g$  is constant. Now,  $g(-z) = g(z)$ , so  $g(-\frac{1}{2}\lambda) = g(\frac{1}{2}\lambda) - g(-\frac{1}{2}\lambda) = 0$ , so  $g(z) = 0$ .

So  $g$  is a meromorphic function on  $\mathbb{C}/\Lambda$ , as is  $g'$ .

In  $\mathbb{C}$ , the only poles of  $g$  are at  $\lambda \in \Lambda$ . So in  $\mathbb{C}/\Lambda$ , the only pole of  $g$  is at 0, and it is a double pole.

Tautologically,  $g$  defines a holomorphic map  $\mathbb{C}/\Lambda \rightarrow \mathbb{P}_{\mathbb{C}}^1 (= \mathbb{C} \cup \{\infty\} = \widehat{\mathbb{C}} = \mathbb{C}_{\infty})$ , i.e., the Riemann sphere, with  $0 \mapsto \infty$ . The double pole implies  $g^{-1}(\infty) = 2 \cdot 0$ .

Recall: If  $X, Y$  are compact Riemann surfaces and  $f: X \rightarrow Y$  is holomorphic, non-constant, then  $\exists n \in \mathbb{N}$ , the degree of  $f$ , such that  $\forall y \in Y$ ,  $f^{-1}(y)$  consists of  $n$  points, counted with multiplicity, and  $f$  is surjective. For  $\mathbb{C}(X) :=$  field of meromorphic functions in  $X$ , then  $\deg f = [\mathbb{C}(X) : \mathbb{C}(Y)]$ .

Take  $X = \mathbb{C}/\Lambda$ ,  $Y = \mathbb{P}_{\mathbb{C}}^1$ .  $\mathbb{C}(Y) = \mathbb{C}(g)$ , the field of rational functions of  $g$ . So,  $[\mathbb{C}(X) : \mathbb{C}(g)] = 2$ .

$g$  is even as a function of  $z$ , so  $g'$  is odd, so  $g' \notin \mathbb{C}(g)$ . So  $\mathbb{C}(X) = \mathbb{C}(g, g')$  and  $g'^2 \in \mathbb{C}(g)$ .

In  $X$ ,  $g$  has a double pole at  $0$  and no others, so  $g'$  has a triple pole at  $0$  and no others. We have  $g'^2 = \frac{F(g)}{G(g)} = \frac{\pi(g(z) - a_1)}{\pi(g(z) - b_1)}$ . If  $z_0 \in \mathbb{C}$  such that  $g(z_0) = b_1$ , then  $g'$  has a pole at  $z_0$ , so  $z_0 = 0$ .  $\#$ , as  $b_1 \in \mathbb{C}$  and  $g(0) = \infty$ . So  $G=1$ , and  $g'^2 = F(g) = \sum_{n=0}^{\infty} A_n g^n$ . This has a pole of order  $2N$ .

But LHS has a pole of order 6, so  $N=3$ . So  $g'^2$  is a cubic in  $g$ .

To find this cubic explicitly, take the Laurent expansion of  $g$ .

First, define  $G_{2k} = \sum_{\lambda \neq 0} \lambda^{-2k}$ , for  $k \geq 2$ , integer. This is absolutely convergent. (This is an Eisenstein series).

$$\begin{aligned} \text{Then, } g(z) &= z^{-2} + \sum_{\lambda \neq 0} ((z+\lambda)^{-2} - \lambda^{-2}), \text{ where } \sum' = \sum_{\lambda \in \Lambda, \lambda \neq 0}. \\ &= z^{-2} + \sum' \lambda^{-2} [(1+z/\lambda)^{-2} - 1] = z^{-2} + \sum' \lambda^{-2} \sum_{n \geq 1} (n+1)(-1)^n z^n \lambda^{-n}. \\ &= z^{-2} + \sum_{n \geq 1} (-1)^n (n+1) z^{-n} \sum' \lambda^{-n-2} = z^{-2} + \sum_{m \geq 1} (2m+1) z^{2m} \cdot G_{2m+2} \quad (n=2m). \end{aligned}$$

So,  $g(z) = z^{-2} + 3G_4 z^2 + 5G_6 z^4 + \dots$ , so  $g'(z) = -2z^{-3} + 6G_4 z + 20G_6 z^3 + \dots$

So,  $g^3$  has constant term  $= 15G_6$ ; and  $g'^2$  has constant term  $= 2 \cdot (-2) \cdot 20G_6 = -80G_6$ .

$$g'^2 = 4z^{-6} + \dots, \quad g^3 = z^{-6} + \dots, \quad \text{so } g'^2 = 4g^3 + \text{lower order terms.}$$

In fact,  $g'^2 = 4g^3 - g_2 g - g_3$ , where  $g_2 = 60G_4$ ,  $g_3 = 140G_6$ .

Proof: Recall that the Laurent series for  $g$  is:  $g(z) = z^{-2} + \sum_{m \geq 1} (2m+1) z^{2m} G_{2m+2}$ .

$$\text{So } g(z) = z^{-2} + 3G_4 z^2 + 5G_6 z^4 + \dots, \text{ and } g'(z) = -2z^{-3} + 6G_4 z + 20G_6 z^3 + \dots$$

$$\text{So, } g(z)^3 = z^{-6} + 9G_4 z^{-2} + 15G_6 + \dots, \text{ and } g'(z)^2 = 4z^{-6} - 24G_4 z^{-2} - 80G_6 + \dots$$

$$\Rightarrow g'^2 - 4g^3 = -60G_4 z^{-2} - 140G_6 + \dots \text{ - and this is at most cubic in } g.$$

Consider most negative powers of  $z$ . Since RHS is a polynomial in  $g$ , it must be linear in  $g$ . Considering coefficients of  $z^{-2}$  and the constant term, we get  $g'^2 - 4g^3 = -60G_4 g - 140G_6$ .

Put  $X = g$ ,  $Y = g'$ :  $Y^2 = 4X^3 - g_2 X - g_3$  is the Weierstrass Normal Form of  $\mathbb{C}/\Lambda$ .

Lemma: The cubic, call it  $f$ , has no repeated roots.

Proof: Suppose  $f = r^2 s$ . Then  $(Y/r)^2 = s$ ,  $r, s$  linear in  $X$ . Let  $E = \mathbb{C}/\Lambda$ .

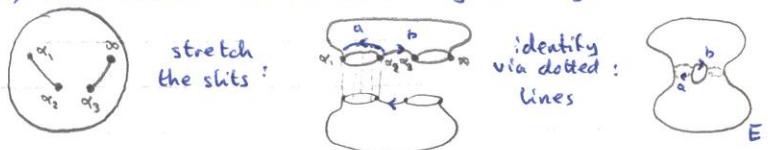
Then,  $\mathbb{C}(E) = \mathbb{C}(X, Y) = \mathbb{C}(s, Y) = \mathbb{C}(s) = \mathbb{C}(\mathbb{P}_{\mathbb{C}}^1)$ .

Basic fact about Riemann surfaces and smooth algebraic projective curves.

Given two such, say  $A, B$ ,  $A \cong B \Leftrightarrow \mathbb{C}(A) = \mathbb{C}(B)$ . But  $E$  is a torus and  $\mathbb{P}_{\mathbb{C}}^1$  is a sphere.  $\left[ \text{disc } (X^3 - \frac{1}{4}g_2 X - \frac{1}{4}g_3) = -4\left(\frac{-g_2}{4}\right)^3 - 27\left(\frac{-g_3}{4}\right)^2 = \frac{1}{16}(g_2^3 - 27g_3^2) \neq 0 \right]$

Conversely: start with  $y^2 = f(x)$ ,  $f$  cubic, no repeated roots. Put  $w = \frac{dx}{y} = \frac{dx}{\sqrt{f}}$ .  
 Put  $z = \int_0^u \frac{dx}{y} = z(u)$ . Jacobi: invert this equation:  $u = u(z)$ .  $\frac{dz}{du} = \frac{1}{y(u)}$ .  
 So,  $\frac{du}{dz} = y(u) = \sqrt{f(u)}$ , so  $u^2 = f(u)$ .

Construct a Riemann Surface of  $y$ . Ie, a Riemann Surface where  $y$  is single-valued.  
 Say zeroes of  $f$  are  $\alpha_1, \alpha_2, \alpha_3$ .



$E =$  Riemann Surface of  $y$ , by construction,  $C(E) = C(\mathbb{P}_C^1)(y = \sqrt{f(x)}) = C(x, y)$ .

Aim: prove  $E = \mathbb{C}/\Lambda$ , some  $\Lambda$ .

$w$  is a single-valued 1-form on  $E$ . A priori  $w$  is meromorphic. But local calculations  $\Rightarrow w$  is holomorphic everywhere in  $E$ , including at  $\infty$ . (Exercise).  
 Define  $\lambda_1 = \int_a^b w$ ,  $\lambda_2 = \int_b^a w$ . (In fact,  $\lambda_1 = 2 \int_{\alpha_2}^{\alpha_1} \frac{dx}{y}$ ,  $\lambda_2 = 2 \int_{\alpha_2}^{\alpha_3} \frac{dx}{y}$ ).  
 Set  $\Lambda = \mathbb{Z}\lambda_1 + \mathbb{Z}\lambda_2 \subseteq \mathbb{C}$ .

Lemma:  $\Lambda$  is a lattice, ie,  $\lambda_1, \lambda_2$  are linearly independent over the reals.

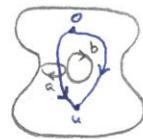
Proof: Postponed.

Define  $\alpha: E \rightarrow \mathbb{C}/\Lambda$ ,  $u \mapsto \int_0^u w$ . (Fix base point  $0 \in E$ ).

Any two paths from  $0$  to  $u$  differ by something homologous to  $m\lambda_1 + n\lambda_2$ , with  $m, n \in \mathbb{Z}$ . So  $\int_0^u w$  is defined modulo  $m \int_a^b w + n \int_b^a w \in \Lambda$ . So  $\alpha$  is defined, and is holomorphic.

Claim: have  $\beta: \mathbb{C}/\Lambda \rightarrow E$ ,  $z \mapsto (g(z), g'(z))$ .

We will prove later that  $\alpha$  is an isomorphism.



Focus now on cubic equation,  $y^2 = 4x^3 - g_2x - g_3$ , where  $\text{disc}(\text{RHS}) \neq 0$ .

This defines a curve in  $\mathbb{C}^2$ . More generally, if  $g_2, g_3 \in \text{Field } k$ , then we get a curve in  $k^2 = \mathbb{A}_k^2$ .

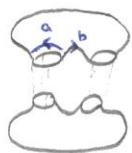
Introduce  $\mathbb{P}_k^2$ : This is an object with 3 coordinates, ie a point  $p = (x, y, z)$ , where  $(x, y, z) = (\lambda x, \lambda y, \lambda z)$  for any  $\lambda \in k^* = k - \{0\}$ , and  $x, y, z$  are not all zero. Ie,  $\mathbb{P}_k^2 = (k^3 - \{0\})/k^*$ .

$\mathbb{A}^2 \hookrightarrow \mathbb{P}^2: (x, y) \mapsto (x, y, 1) = \{(x, y, z): z \neq 0\}$ .

Also,  $\mathbb{P}^2 - \{\alpha x + \beta y + \gamma z = 0\} \cong \mathbb{A}^2$ , with  $\alpha, \beta, \gamma \in k$ , not all zero. Ie, given any homogeneous polynomial  $F(x, y, z)$ , the equation  $F=0$  makes sense in  $\mathbb{P}^2$  and defines a curve there.

$\mathbb{P}^1 - (\text{point}) \cong \mathbb{A}^1$ ,  $\mathbb{P}^2 - \mathbb{P}^1 \cong \mathbb{A}^2$ .

Recall that if  $E$  is the Riemann Surface of  $y^2 = f(x)$ ,  $\deg f = 3$ , distinct roots, then  $E$  is a torus:



$$w = \frac{dx}{y}, \text{ a holomorphic 1-form on } E \text{ (no poles).}$$

$$\lambda_1 = \int_a w, \quad \lambda_2 = \int_b w, \quad \in \mathbb{C}.$$

Need to prove:  $\lambda_1, \lambda_2$  linearly independent over  $\mathbb{R}$ , so  $\Lambda = \mathbb{Z}\lambda_1 \oplus \mathbb{Z}\lambda_2$  is a lattice in  $\mathbb{C}$ .

Proof:  $E$  is topologically a torus; cut it open along  $a, b$  to get  $E^*$ , which is simply connected, so  $\exists$  a holomorphic function  $f$  in  $E^*$ .



$$w = df. \quad (f(p) = \int_p^P w).$$

$$\lambda_2 = \int_b w = \int_b df = \int_{\tilde{b}} df, \quad \lambda_1 = \int_a w = \int_a df = \int_{\tilde{a}} df.$$

$$\text{Let } \gamma = \partial E^* = b+a-\tilde{b}-\tilde{a}.$$

Compute  $\int_{\gamma} \bar{f} w = \int_a - \int_{\tilde{a}} + \int_b - \int_{\tilde{b}}$ . Parametrize  $a, \tilde{a}$  simultaneously by  $t$ ,  $a$  by  $s = s(t)$ ,  $\tilde{a}$  by  $\tilde{s} = \tilde{s}(t)$ .

Then  $f(s(t)) - f(\tilde{s}(t))$  is constant, so  $= f(a) - f(p) = \lambda_2$ .

$$\text{So, } \int_a \bar{f} w - \int_{\tilde{a}} \bar{f} w = \bar{\lambda}_2 \int_a w = \bar{\lambda}_2 \lambda_1. \quad \text{Similarly, } \int_b \bar{f} w - \int_{\tilde{b}} \bar{f} w = -\bar{\lambda}_1 \lambda_2.$$

$$\text{So, } \int_{\gamma} \bar{f} w = \bar{\lambda}_2 \lambda_1 - \bar{\lambda}_1 \lambda_2 = 2i \operatorname{Im}(\lambda_1 \bar{\lambda}_2).$$

Compute LHS: say  $f = u+iv$ , so  $w = du+idv$ . Then  $\bar{f} w = \frac{du^2+v^2}{2} + i(udv-vdu)$ .

$$\text{Green's Theorem: } \int_{\gamma} \bar{f} w = 0 + i \int_{E^*} (udv-vdu) = i \int_{E^*} du dv.$$

Now suppose  $z$  is a local complex coordinate at a point in  $E^*$ , say  $z=x+iy$ .

Then,  $du dv = |\frac{df}{dz}| dx dy$ . So  $\int_{E^*} du dv > 0$ . So  $\operatorname{Im}(\lambda_1 \bar{\lambda}_2) \neq 0$ , i.e.,  $\lambda_1, \lambda_2$  are linearly independent over  $\mathbb{R}$ . So  $\Lambda$  is a lattice.

We then defined  $\Phi: E \rightarrow \mathbb{C}/\Lambda$  as follows: fix a base point  $p_0 \in E$ , and then  $\Phi(p) = \int_{p_0}^p w$ .

Proposition:  $\Phi$  is an isomorphism.

Proof:  $d\Phi = w$ . Claim:  $w$  is holomorphic and has no zeroes (Proof: exercise).

So  $d\Phi$  is never 0. Note that  $E, \mathbb{C}/\Lambda$  are 2-dimensional manifolds, and are compact. So  $d\Phi$  is an isomorphism at every point.

$E$  is a torus, as is  $\mathbb{C}/\Lambda$ . So  $H_1(E, \mathbb{Z}) \cong H_1(E) \cong \mathbb{Z}a \oplus \mathbb{Z}b$ .

$H_1(\mathbb{C}/\Lambda) = \mathbb{Z}\lambda_1 \oplus \mathbb{Z}\lambda_2$ .  $\Phi$  induces  $\Phi_*: H_1(E) \rightarrow H_1(\mathbb{C}/\Lambda)$ ,  $a \mapsto \lambda_1, b \mapsto \lambda_2$ , by construction. So  $\Phi_*$  is an isomorphism.

Apply result from topology: If  $\Phi: M \rightarrow N$  is a morphism of compact manifolds (respectively Riemann surfaces, smooth projective curves) with  $d\Phi$  everywhere an isomorphism, and  $\Phi_*: H_1(M) \rightarrow H_1(N)$  an isomorphism, then  $\Phi$  is an isomorphism. (Consequence of the theory of covering spaces).

Apply to  $\Phi: E \rightarrow \mathbb{C}/\Lambda$  - this is an isomorphism.

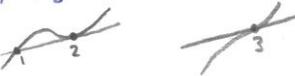


Return to  $\mathbb{P}^2$ .  $K$  a field,  $\mathbb{P}_K^2 = \{(a, b, c) : a, b, c \text{ not all zero}\} / (a, b, c) \sim \lambda(a, b, c)$ .

$a, b, c \in$  some extension field of  $K$ , usually allow  $a, b, c \in \bar{K}$ , an algebraic closure of  $K$ .

Then, homogeneous polynomials, eg  $y^2z = 4x^3 - g_2xz^2 - g_3z^3$ , define interesting subsets of  $\mathbb{P}_K^2$ . Have  $A^2 \subset \mathbb{P}^2$ , eg  $A^2 = \{Z \neq 0\}$ . Here,  $X, Y, Z$  are homogeneous coordinates on  $\mathbb{P}_K^2$ .  $X, Y, Z$  are not functions on  $\mathbb{P}^2$ . Only defined up to simultaneous rescaling. But, eg,  $x/y$  is a function; it is a rational function. Also,  $\frac{x^2+yz}{y^2+x^2}$  is a rational function.

Bézout's Theorem: If  $f, g$  are homogeneous polynomials in  $X, Y, Z$ , of degrees  $d, e$  respectively, then the curves  $(f=0)$ ,  $(g=0)$  intersect in  $de$  points, counted with multiplicity. Eg,  $d=3, e=1$ :



Example: (i)  $F = y^2z - 4x^3 + g_2xz^2 + g_3z^3$ ,  $G = z$ . Then  $F=G=0$  is given by  $Z=0 = X^3$ . So line  $G$  has triple contact with  $F$  at the point  $(0:1:0)$ .  
(ii)  $F = y^2z - 4x^3 + g_2xz^2$ ,  $G = X$ . Then  $F=G=0$  is given by  $X=Y^2Z=0$ . So this is  $(0:1:0)$  with multiplicity 1, and  $(0:0:1)$  with multiplicity 2.

Smoothness: Intuitively, a curve  $C \subset \mathbb{P}^2$ , given by  $F=0$ , is smooth if, at every point  $p \in C$  (with coefficients in  $K$ ), there is a tangent line.

This turns out to be equivalent to the condition that  $F$  and  $\frac{\partial F}{\partial X}, \frac{\partial F}{\partial Y}, \frac{\partial F}{\partial Z}$  are never simultaneously zero. If  $\text{char } K \neq \deg F$ , this is equivalent to saying that  $\frac{\partial F}{\partial X}, \frac{\partial F}{\partial Y}, \frac{\partial F}{\partial Z}$  are never simultaneously zero.

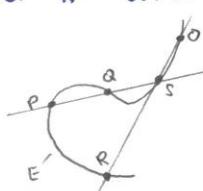
Definition: Given  $K$ , an elliptic curve over  $K$  is a smooth <sup>cubic</sup> curve  $E$  in  $\mathbb{P}_K^2$ , with a fixed point  $O \in E$ , such that the coordinates of  $O$  lie in  $E$ . Implicitly, we demand that the equation defining  $E$  should have coefficients in  $K$ .

Example:  $\text{char } K \neq 2, 3$ ,  $F = y^2z - 4x^3 + g_2xz^2 + g_3z^3$ ,  $g_2, g_3 \in K$ ,  $\text{discr.}(4x^3 - g_2x - g_3) \neq 0$ . This is an elliptic curve, with  $O=(0,1,0)$ .

Our definition turns out to be equivalent to:

- (i)  $E$  is a smooth projective curve over  $K$  with group law defined over  $K$ .
- (ii)  $E$  is a smooth projective curve over  $K$  with a point defined over  $K$ , and  $g(E)=1$ , ie, the  $K$ -vector space of global 1-forms is 1-dimensional.

Group law on a smooth cubic curve  $E$  in  $\mathbb{P}_K^2$ , where  $E$  has a point  $O$ , defined over  $K$  will be  $\oplus$ , with  $O$  as origin.  $P \oplus Q = R$ , defined as follows:



Draw  $S$  = third point of intersection of  $E$  with line  $PQ$ . Then  $R$  = third point of intersection of  $E$  with line  $OR$ . (If  $P=Q$ , for example, take tangent line to  $E$  at  $P$ ).

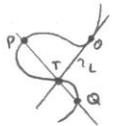
This is the chord and tangent construction.

Claim: (i)  $\oplus$  is commutative.

(ii)  $O$  is an identity. Say  $\overline{PO} \cap E = \{P, O, S\}$ . So  $\overline{OS} \cap E = \{O, S, P\}$ , so third point is  $P$ , which we started with.

(iii) Each  $P$  has an additive inverse. Take tangent line to  $E$  at  $O$ , say  $L$ . Then  $L \cap E = O$  (twice) +  $T$ , say. Then  $-P$  is the third point of  $\overline{PT} \cap E$ .

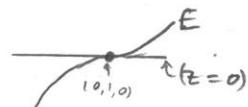
Claim:  $P \oplus Q = O$ . By construction,  $T$  is third point of  $\overline{PQ} \cap E$ , and  $\overline{OT}$  meets  $O$  twice. So  $O$  is the third point of  $\overline{OT} \cap E$ , so  $O = P \oplus Q$ .



Natural way of proving associativity is via the Riemann-Roch Theorem.

First, give a direct proof, only valid over  $\mathbb{C}$ , for  $E: y^2 z = F(x, z)$ , where  $F$  is a homogeneous cubic polynomial in  $z$  indeterminates. Note that  $E$  is the obvious projective model of the curve.  $F \in \mathbb{P}^2$ .  $y^2 = f(x)$  in  $\mathbb{A}^2$ , where  $y = y/z$ ,  $x = x/z$ ,  $f = F/z^3$ .

$E$  = curve  $y^2 = f(x)$  with 1 point added, namely  $(0, 1, 0) = P$ . The line  $z=0$  meets  $E$  at  $P$ , counted 3 times. ( $P$  is a 'flex')



$\mathbb{R} = \mathbb{C}$ :  $E$  is then the Riemann Surface of  $y^2 = f(x)$ .

So  $E \cong \mathbb{C}/\Lambda$ .

Theorem: Take  $O \in E$  to be  $O \in \mathbb{C}/\Lambda$ . Then  $\oplus$  in  $E$  is the same as  $+$  in  $\mathbb{C}/\Lambda$ .

Proof: Key point turns out to be:

Proposition: Given  $P_1, \dots, P_n, Q_1, \dots, Q_n \in \mathbb{C}/\Lambda$ , we have  $\sum P_i = \sum Q_i \Leftrightarrow \exists$  meromorphic function  $f$  in  $\mathbb{C}/\Lambda$  such that  $f$  has zeroes precisely at the  $P_i$  and poles precisely at the  $Q_i$ . (Assume  $P_i \neq Q_j$ )

Proof: Recall  $g(z) = z^{-2} + \sum' ((z+\lambda)^{-2} - \lambda^{-2})$  - this is meromorphic and even, so has no residue. So can construct  $\mathfrak{S}(z) = - \int g(u) du = z^{-1} - \int_z^\infty \sum' ((z+\lambda)^{-2} - \lambda^{-2}) dz$ . This is a single-valued function (meromorphic) in  $\mathbb{C}$ , and  $\mathfrak{S}' = -g$ .

Can integrate:  $\mathfrak{S}(z) = z^{-1} + \sum' [(z+\lambda)^{-1} + \lambda^{-2} z - \lambda^{-1}]$

Now,  $g(z+\lambda) = g(z) \forall z \in \mathbb{C}$ . Fix basis  $\{\lambda_1, \lambda_2\}$  of  $\Lambda$ . So,  $\mathfrak{S}(z+\lambda_\alpha) = \mathfrak{S}(z) + \gamma_\alpha$ , some constant  $\gamma_\alpha$  ( $\alpha = 1, 2$ ). So the set of poles is invariant under  $\Lambda$ .

So  $\mathfrak{S}$  has poles just at points in  $\Lambda$ . At  $0$ ,  $\mathfrak{S}$  has a simple pole, res =  $+1$ , so the same is true for all points of  $\Lambda$ .

So,  $\int \mathfrak{S}(z) dz$  is multi-valued; its value changes by  $\pm 2\pi i$  if path of  $\int$  moves across a pole. So,  $\sigma(z) := \exp \int \mathfrak{S}(u) du$  is single-valued.

$$\begin{aligned} \text{So } \sigma(z) &= \exp \left( \log z + \int_0^z (\mathfrak{S}(u) - u') du \right) = z \exp \left( \sum' (\log(z+\lambda) + \frac{1}{2}\lambda^{-2}z^2 - \lambda^{-1}z - \log \lambda) \right) \\ &= z \Pi' \left( \frac{(z+\lambda)}{\lambda} \cdot \exp \left( \frac{z^2}{2\lambda^2} - \frac{z}{\lambda} \right) \right). \end{aligned}$$

Visibly,  $\sigma$  is holomorphic at  $0$ , and has a zero there. Also,  $\Pi'$  is even, so  $\sigma(-z) = -\sigma(z)$ . Certainly,  $\sigma$  is holomorphic away from points in  $\Lambda$ , since  $\mathfrak{S}$  is holomorphic away from  $\Lambda$ .

From  $\sigma = \exp(\int \mathfrak{S})$  and  $\mathfrak{S}(z+\lambda_\alpha) = \mathfrak{S}(z) + \gamma_\alpha$ , we get  $\sigma(z+\lambda_\alpha) = c \cdot \exp(\gamma_\alpha z) \cdot \sigma(z)$ ,  $c$  a constant of integration.

Let  $z = \frac{1}{2}\lambda_\alpha$ .  $\sigma\left(\frac{1}{2}\lambda_\alpha\right) = -c \cdot \exp\left(\frac{\eta_\alpha \lambda_\alpha}{2}\right) \sigma\left(\frac{1}{2}\lambda_\alpha\right)$ , so  $c = -\exp\left(-\frac{\eta_\alpha \lambda_\alpha}{2}\right)$ . So,  $\sigma(z + \lambda_\alpha) = -\exp\left(\eta_\alpha\left(z - \frac{\lambda_\alpha}{2}\right)\right) \cdot \sigma(z)$ , so  $\sigma$  has a simple zero at each point in  $\Lambda$ . On  $\mathbb{C}/\Lambda$ ,  $\sigma = \exp(\text{holo})$ , so  $\not\equiv 0$ . So  $\sigma$  is holomorphic in  $\mathbb{C}$ . We can now return to the proposition.

$(\Rightarrow)$ : By abuse of notation, write  $P_i, Q_i \in \mathbb{C}$ , with  $\sum P_i - \sum Q_i = \lambda \in \Lambda$ .

Replace  $P_n$  by  $P_n - \lambda$ , so  $\sum P_i = \sum Q_i$  in  $\mathbb{C}$ . Then define  $f(z) = \prod_i \frac{\sigma(z-P_i)}{\sigma(z-Q_i)}$ , a meromorphic function on  $\mathbb{C}$ , with zeroes the  $P_i$ , poles the  $Q_i$ .

$$f(z+\lambda_\alpha) = \prod_i \left( -\exp\left(\eta_\alpha(z-P_i - \lambda_\alpha/2)\right) \cdot \sigma(z-P_i) \right) / \prod_i \left( -\exp\left(\eta_\alpha(z-Q_i - \lambda_\alpha/2)\right) \cdot \sigma(z-Q_i) \right).$$

$$= \frac{(-1)^n \exp[n\eta_\alpha(z - \lambda_\alpha/2)] \exp[-\eta_\alpha \sum P_i] \cdot \prod \sigma(z-P_i)}{(-1)^n \exp[n\eta_\alpha(z - \lambda_\alpha/2)] \cdot \exp[-\eta_\alpha \sum Q_i] \cdot \prod \sigma(z-Q_i)} = f(z).$$

Compare: On  $\mathbb{P}^1$ , every rational function is  $\frac{\pi(z-\alpha_i)}{\pi(z-\beta_i)}$ . The Riemann sphere is simpler than a torus- here, we need  $\sigma$ -functions, which are examples of a theta-function.

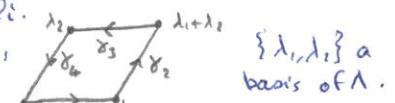
So far, we have proved that if  $\sum P_i = \sum Q_i$  on  $\mathbb{C}/\Lambda$ , then  $\exists$  a meromorphic function  $f$  on  $\mathbb{C}/\Lambda$ .  $\{f\} = \sum P_i - \sum Q_i$ , where  $\{f\} = \underline{\text{divisor of } f} = (\text{locus of zeroes of } f) - (\text{locus of poles of } f)$ .

On any Riemann Surface  $X$ , the points generate a free abelian group,  $\underline{\text{Div}}(X)$ , so  $\{f\} = \sum n_p P - \sum n_q Q$ . Beware confusion of 2 notations of addition on  $\mathbb{C}/\Lambda$ , or on any elliptic curve.

So, must now prove: If  $\{f\} = \sum P_i - \sum Q_i$ , then  $\sum_{\text{this is in } \text{Div}(\mathbb{C}/\Lambda)} P_i = \sum_{\text{this is in } \mathbb{C}/\Lambda} Q_i$  in  $\mathbb{C}/\Lambda$ .

Proof: If  $\gamma$  is a simple closed paths in  $\mathbb{C}$ , and  $f$  is meromorphic within and on  $\gamma$ , and there the zeroes of  $f$  are at  $P_i$ , the poles at  $Q_i$ :

$$\int z \cdot \frac{f'(z)}{f(z)} dz = 2\pi i (\sum P_i - \sum Q_i). \quad \text{Take } \gamma = \text{parallelogram:}$$



$$\text{On } \gamma_3, \text{ substitute } u = z - \lambda_2, du = dz. \text{ So, } \int_{\gamma_3} \frac{f'(z)}{f(z)} dz = \int_{z=\lambda_1+lambda_2}^{lambda_2} \frac{f'(u+\lambda_2)}{f(u+\lambda_2)} du = \int_{u=\lambda_1}^{lambda_1+lambda_2} \frac{f'(u-\lambda_1)}{f(u-\lambda_1)} du = -\lambda_1 [\log f(u)]_{\lambda_1}^{lambda_1+lambda_2} = -\lambda_1 [2\pi i m], m \in \mathbb{Z}.$$

$$\text{So } \lambda_1 + \lambda_3 = 2\pi i m \lambda_1. \text{ Similarly, } \lambda_2 + \lambda_4 = 2\pi i m \lambda_2. \text{ So } \frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z)} dz \in \Lambda.$$

$$\text{So } \sum P_i - \sum Q_i \in \Lambda.$$

Meromorphic Functions take us from complex analysis to algebraic geometry.

Recall: If  $C \subset \mathbb{P}^2_R$ , ( $R = \bar{\mathbb{R}}$ ), is a smooth curve of degree  $d$ , and suppose  $Q_1, \dots, Q_d \in C$  are collinear, and suppose  $P_1, \dots, P_d \in C$ . Then, the  $P_i$  are collinear  $\Leftrightarrow \exists$  rational function  $f$  in  $C$ :  $\{f\}_o = \sum Q_i$  and  $\{f\}_{\infty} = \sum P_i$  ( $\sum Q_i$  is linearly equivalent to  $\sum P_i$ ,  $\sum Q_i \sim \sum P_i$ ).

Proof of  $(\Leftarrow)$ : Suppose  $\sum Q_i = C \cap (L=0)$ ,  $\sum P_i = C \cap (M=0)$ , where  $L, M$  are homogeneous linear polynomials. Then  $f = (L/M)|_C$ .

of  $(\Rightarrow)$ : More generally, a similar statement is true for curves  $C$  embedded in  $\mathbb{P}^n$  by a

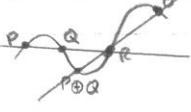
complete very ample linear system. Any  $C \subset \mathbb{P}^2$  is embedded on a complete linear system.

Prove that  $\oplus$  on a smooth cubic  $C \subset \mathbb{P}^2_{\mathbb{C}}$  is associative, at least when equation of  $C$  is  $y^2z = f(x,z)$ ,  $\deg f = 3$ . In this case,  $\pi: C \xrightarrow{\cong} \mathbb{C}/\Lambda$ ,  $p \mapsto \int_0^p dw$ ,  $w = \frac{dx}{y}$ ,  $x = \frac{w}{z}$ ,  $y = \frac{z}{w}$ .

Lemma: Suppose  $P, Q \in \mathbb{C}/\Lambda$ ,  $P \neq Q$ . Then  $\#$  meromorphic function  $f$  on  $\mathbb{C}/\Lambda$  with  $(f)_o = P$ ,  $(f)_{\infty} = Q$ .

Proof: Any non-constant meromorphic  $f$  defines  $\mathbb{C}/\Lambda \xrightarrow{f} \mathbb{P}_{\mathbb{C}}^1$ . If  $(f)_o = P$ , then  $f^{-1}(o) = P$ , with multiplicity 1, so  $f$  has deg 1. Then  $f$  is an isomorphism  $\Rightarrow$ , e.g. for topological reasons.

On  $C$ , have a fixed point  $O$ ,  $\pi(O) = O$ . Claim:  $\pi(P \oplus Q) = \pi(P) + \pi(Q)$ .

Proof:   $\exists$  a rational function  $f$  on  $C$ , with zeroes at  $P, Q, R$  and poles at  $O, R, P \oplus Q$ . I.e.,  $(f)_o = P, Q$ ,  $(f)_\infty = O, P \oplus Q$ .  
So,  $P + Q = O + (P \oplus Q)$  in  $\mathbb{C}/\Lambda$ , by Residue Theorem result.  
I.e.,  $\pi(P) + \pi(Q) = \pi(O) + \pi(P \oplus Q) = \pi(P \oplus Q)$ .

So  $\oplus$  on  $C$  is the same as  $+$  on  $\mathbb{C}/\Lambda$ , and so  $\oplus$  is a group law (in particular, associative).

To prove that  $\oplus$  is associative over any  $\mathbb{K}$ , it is enough to do it over  $\bar{\mathbb{K}}$ .

Riemann-Roch for an elliptic curve  $C$  over  $\bar{\mathbb{K}}$ , says: If  $D = \sum n_P P \in \text{Div}(C)$ ,  $\deg D = \sum n_P = d$ , then  $\dim L(D) = d$ , where  $L(D) = \{ \text{rational functions } f \text{ in } C : (f) \geq D \} / \{0\}$ , a vector space over  $\mathbb{K}$ .

The Picard Group of  $C$ ,  $\text{Pic}(C) := \text{Div}(C)/\sim$ , where  $\sim$  is linear equivalence.

Have a map  $\Phi: C \rightarrow \text{Pic}^0(C)$ ,  $p \mapsto [P-O]$ , where  $[D] = D \bmod \sim$ ,  $D \sim E \Leftrightarrow D-E \in L(f)$ .

Claim:  $\Phi(P \oplus Q) = \Phi(P) + \Phi(Q)$ .

Proof:  $(P \oplus Q) + R + O \sim P + Q + R$  [as in above diagram]. So  $(P \oplus Q) + O \sim P + Q$ .  
So,  $(P \oplus Q) - O \sim (P-O) + (Q-O)$ . So  $\Phi(P \oplus Q) = \Phi(P) + \Phi(Q)$ .

Claim:  $\Phi$  is 1-1 as a map of sets.

Proof: (i) Suppose  $\Phi(P) = \Phi(Q)$ . Then  $P-O \sim Q-O$ , so  $P \sim Q$ . So  $\exists f$  with  $(f) = P-Q$ .

Get  $f: C \rightarrow \mathbb{P}^1$ . As before,  $\deg f = 1$ . Basic fact (to be elaborated):  $C$  has a 1-form  $w$  with no zeroes and no poles.  $w$  is unique, modulo scalars.

But on  $\mathbb{P}^1$ ,  $\#$  such a  $w$ . So (i) holds, i.e.  $\Phi$  is injective.

(ii) Suppose  $[D] \in \text{Pic}^0(C)$ . [ $O = O_C = \text{base-point in } C = \text{origin of } \oplus$ ]. So  $\deg(D + O_C) = 1$ .

So by Riemann-Roch,  $\exists f$  with  $(f) \geq D + O_C$ . So  $D + O_C \sim E$ ,  $E = \sum n_R R$ , all  $n_R \geq 0$ .

I.e.,  $E \geq O$ .  $\deg E = \deg(D + O_C) = 1$ , so  $E = R$ . Then  $[D] = \Phi(R)$ , so  $\Phi$  is surjective.

So, via  $\Phi$ , can identify  $\oplus$  with  $+$ . So  $\oplus$  is associative.

Over  $\mathbb{C}$ , gives  $C: Y^2Z = f(X, Z)$ , get  $\oplus$  in  $C$ , group law. This result holds for any smooth cubic in  $\mathbb{P}^2$ , over any  $k$ .

Corollary: Over  $\mathbb{C}$ , the group of points of  $C$  dividing  $n$  is isomorphic to  $(\mathbb{Z}/n\mathbb{Z}) \oplus (\mathbb{Z}/n\mathbb{Z})$ .

Proof: Group law on  $C$  has been identified with  $+$  on torus  $\mathbb{C}/\Lambda \cong S^1 \times S^1$ , and on  $S^1$ , the  $n$ -torsion points form a copy of  $\mathbb{Z}/n\mathbb{Z}$ . i.e.,  $S^1 = \{z \in \mathbb{C} : |z|=1\}$  under multiplication -  $n^{\text{th}}$  roots of unity.

So, if  $E = \mathbb{C}/\Lambda$ , then the group  $\{x \in E : nx = 0\} \cong (\mathbb{Z}/n\mathbb{Z}) \oplus (\mathbb{Z}/n\mathbb{Z})$ , of order  $n^2$ .

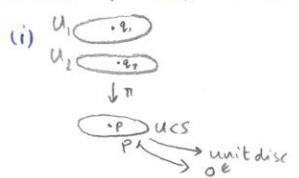
We know that  $\mathbb{C}/\Lambda \cong$  Riemann Surface of  $y^2 = f(x)$ ,  $f$  a cubic. Can make  $y^2 = f(x)$  into a homogeneous cubic,  $Y^2Z = F(X, Z)$ ,  $F(X) = F(X, 1)$ ,  $(0, 1, 0) = 0$ .

Have  $E \rightarrow \mathbb{C}/\Lambda \xrightarrow{\pi} \mathbb{P}^1$ , or  $0 \mapsto \infty$ .  $\rightarrow$  This cubic gives a curve  $C \subset \mathbb{P}_k^2$ , and  $0 \in C$ .

Lemma: If  $C \subset \mathbb{P}_k^2$  is a smooth cubic and  $0 \in C$ ,  $0$  defined over  $k$ , then (provided  $\text{char } k \neq 2, 3$ ) we can choose homogeneous coordinates in  $\mathbb{P}^2$  such that the equation of  $C$  is  $Y^2Z = F(X, Z)$ ,  $F$  a homogeneous cubic in  $X, Z$ .

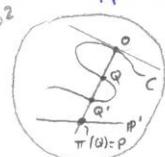
Proof: An equation  $y^2 = f(x)$  exhibits the corresponding curve as a 2-to-1 cover of  $\mathbb{P}^1$ , branched at 4 points, one being at  $\infty$ . i.e., take  $C \subset \mathbb{P}^2$  given by  $Y^2Z = F(X, Z)$ ,  $x = X/Z$ ,  $y = Y/Z$ . Have:  $\pi: C \rightarrow \mathbb{P}^1$ ,  $(X, Y, Z) \mapsto (X, Z)$ , or  $(x, y) \mapsto x$ .

Over  $\mathbb{C}$ , given  $R \xrightarrow{\pi} S$ , a holomorphic map of compact Riemann Surfaces,  $\deg \pi = 2$ . Given  $P \in S$ ,  $\exists$  2 possibilities for the structure of  $\pi$  in a neighbourhood of  $P$ .

(i)   $\exists$  neighbourhood  $U$  of  $P$  in  $S$  such that  $\pi^{-1}(U) = U_1 \cup U_2$ ,  $U_1 \cap U_2 = \emptyset$ , and  $\pi$  induces  $U_1, U_2 \xrightarrow{\cong} U$ .  
 $\Leftrightarrow \pi^{-1}(P) = \{P_1, P_2\}$ ,  $P_1 \neq P_2$

(ii)  $\pi$  is branched over  $P \Leftrightarrow \pi^{-1}(P) = \{P\}$ , one point with multiplicity 2.  
Then  $\exists$  local coordinates  $z$  on  $S$  near  $P$  and  $w$  on  $R$  near  $P_i$ , such that  $z = w^2$ .  
A local coordinate on  $S$  at  $P$  is a function  $z$ , analytic on a neighbourhood  $U$  of  $P$ , such that  $z(P) = 0$  and  $z'(P) \neq 0$ .

Now suppose  $C \subset \mathbb{P}^2$ ,  $0 \in C$ ,  $C$  smooth, defined by homogeneous cubic. Projection from  $0$  gives  $\pi: C \rightarrow \mathbb{P}^1$  [with  $\pi(0) = (T_0 C) \cap (\text{fixed } \mathbb{P}^1)$ ].  
 $\pi^{-1}(P) = \{Q, Q'\}$ . So  $\deg \pi = 2$ .



From the Algebraic Geometry course, genus of  $C = g(C) = 1$ ,  $g(\mathbb{P}^1) = 0$ . We have the Riemann-Hurwitz formula:  $2g(C) - 2 = (\deg \pi)(2g(\mathbb{P}^1) - 2) + \sum_{x \in \pi^{-1}(P)} e_x$ , where  $e_x = 1$  for such  $x$  when  $\deg \pi = 2$ .

$$\begin{aligned} 0 &= 2(-2) + \# \{x \in C : \pi \text{ is branched over } \pi(x)\} \\ &= \# \{y \in \mathbb{P}^1 : \pi \text{ is branched over } y\} \end{aligned}$$

So  $\exists$  just 4 points in  $\mathbb{P}^1$  over which  $\pi$  is branched.

Choose homogeneous coordinates  $(x, z)$  on  $\mathbb{P}^1$  such that one of these points is  $(1, 0)$ . Then in terms of  $x = \frac{y}{z}$ , this point is  $x = \infty$ . Say other three points are  $x = a, b, c$ . Then  $\pi$  exhibits  $C$  as the Riemann Surface of  $y^2 = f(x) = (x-a)(x-b)(x-c)$ .  $\deg \pi = 2$  means  $\mathbb{K}(C)$  is a quadratic extension of  $\mathbb{K}(\mathbb{P}^1) = \mathbb{K}(x)$ . So  $\mathbb{K}(C) = \mathbb{K}(x, w)$ ,  $w^2 = \varphi(x) = \frac{P(x)}{Q(x)}$ . Same as  $(wq)^2 = pq$ . Write  $y = wq$ , so  $y^2 = pq$ .

This equation shows that  $\pi: C \rightarrow \mathbb{P}^1$  is branched at  $(pq=0)$ . But we know where  $\pi$  is branched, viz.  $a, b, c, \infty$ . So  $pq = f$ .

So indeed, any smooth cubic  $C \subset \mathbb{P}^2$ , with  $o \in C$ , can be written as  $y^2 = f(x)$  in certain inhomogeneous coordinates, so as  $y^2 z = F(x, z)$  in homogeneous coordinates. Over  $\mathbb{C}$ , we started with  $y^2 = f(x)$ ,  $\deg f = 3$ , and then constructed  $\mathbb{C}/\Lambda$  by integrating  $\frac{dx}{y} = \frac{dx}{\sqrt{f}}$ . So over  $\mathbb{C}$ , any smooth cubic in  $\mathbb{P}^2$  is isomorphic to  $\mathbb{C}/\Lambda$ .

Given a smooth projective curve  $C$  over  $\mathbb{K}$ , the genus of  $C$  is  $\dim_{\mathbb{K}} H^0(\mathcal{R}_C')$ , where  $H^0(\mathcal{R}_C')$  is the  $\mathbb{K}$ -vector space of 1-forms on  $C$  with no poles, ie which are regular everywhere.

Basic fact: If  $C \subset \mathbb{P}^2$  is a smooth cubic, then  $g(C) = 1$ . Ie, up to scalars,  $\exists$  a unique 1-form  $w$  on  $C$ . In fact,  $w$  has no zeroes either.

Example: If  $C$  is given by  $y^2 z = F(x, z)$ , then  $w = \frac{dx}{y}$ , where  $x = \frac{y}{z}$ ,  $y = \frac{z}{x}$ .

If  $C$  is given by  $H(x, y, z) = 0$ ,  $\deg H = 3$ , then put  $h(x, y) = H(x, y, 1)$ .

So in affine terms,  $C$  is given by  $h(x, y) = 0$ . Then  $w = \frac{dx}{(zh_x)_{yy}}$ .

$g(\mathbb{P}_{\mathbb{K}}^1) = 0$ . Eg, have inhomogeneous coordinate  $x$ . Try  $w = dx$ . This has no zeroes or poles on  $\mathbb{P}^1 - \{\infty\}$ , but  $w$  has a double pole at  $\infty$ . (Calculate in terms of a local coordinate at  $\infty$ , eg  $y_x$ ).

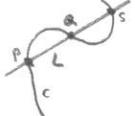
$\mathbb{K} = \mathbb{C}$ : Compact Riemann Surface, = oriented compact surface, so has 'holes'  
 $\# \text{holes} = \text{topological genus}$ .

### Families of Elliptic Curves

Aim: to provide geometric intuition underlying the arithmetic case. Eg, visualise reduction mod  $p$ , and the geometry of reduction mod  $p$ .

$y^2 z = F(x, z) = 4x^3 - g_2 x z^2 - g_3 z^3$ , giving  $C \subset \mathbb{P}_{\mathbb{K}}^2$ , with  $g_2, g_3 \in \mathbb{K}$ . Have a group law  $\oplus$  on  $C$ , with  $o = (0, 1, 0)$  as origin.  $C \times C \xrightarrow{\oplus} C$ ,  $(P, Q) \mapsto P \oplus Q$ .  $\oplus$  is a morphism of algebraic varieties. Ie, the homogeneous coordinates of  $P \oplus Q$  are polynomial functions of the homogeneous coordinates of  $P, Q$ .

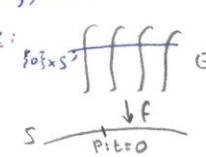
Suppose  $\xi, \eta$  are homogeneous coordinates on  $L \cong \mathbb{P}_{\mathbb{K}}^1$ . Then,  $\ln C$  is given by  $G(\xi, \eta) = 0$ , a homogeneous cubic. If  $P = (\xi = a, \eta = b)$  and  $Q = (\xi = A, \eta = B)$ . Then  $G = (b\xi - a\eta)(B\xi - A\eta)(\beta\xi - \alpha\eta)$ , so  $\alpha, \beta \in \mathbb{K}$ .



But  $S = \{x = \alpha, y = \beta\}$ . Coordinates of  $S$  are then rational, or indeed polynomial, functions of the coefficients of the equation defining  $C$ , and the homogeneous coordinates of  $P$  and  $Q$ .

Similarly, coordinates of  $P \oplus Q$  are rational functions of those of  $O$  and  $S$ , and so of  $P$  and  $Q$ .

Now suppose  $g_2 = g_2(t)$ ,  $g_3 = g_3(t)$ , either holomorphic or polynomial functions of  $t$ .  $t$  is a coordinate on  $S$ , some Riemann Surface, or on some smooth algebraic curve, e.g.,  $A^1$ .

Picture:   $E$  is something 2-dimensional. Explicitly,  $E \hookrightarrow \mathbb{P}^2 \times S$ , defined by the given equation.

$$\dim E = \dim S + \dim(\text{fibre}) = 1 + 1$$

Given  $P \in S$ , the fibre of  $f$  over  $P$  is  $f^{-1}(P)$ .

In affine coordinates, equation  $y^2 = 4x^3 - g_2 x - g_3$ , defining  $E \hookrightarrow A^2 \times S$  (Assume  $S = A^1$ , for simplicity), so  $E \hookrightarrow A^2 \times A^1 \cong A^3$ ,  $E_0 \subset E$ , open.

Notation: For each value  $t = t_0$ , let  $E_{t_0}$  be the corresponding curve, given by  $y^2 z = 4x^3 - g_2(t_0)xz^2 - g_3(t_0)z^3$ . This is also the fibre over the point  $t = t_0$  on  $S$ .

Have an origin  $O$  on each  $E_{t_0}$ . Consider  $\{O\} \times S (\cong S) \hookrightarrow \mathbb{P}^2 \times S$ .

Every point of  $\{O\} \times S$  satisfies the given equation  $\otimes$  (end of last page)

To prove this, set  $X = O = Z$  in  $\otimes$ . You get  $O = O$ , true  $\forall t$ . So  $\{O\} \times S \subset E$ .

So the origins on the fibres, the elliptic curves,  $E_{t_0}$  have been fitted together into  $\{O\} \times S$ , a copy of  $S$ .

Also, the group laws fit together. For  $C \subset \mathbb{P}_k^2$  (ie, case where  $g_2, g_3 \in k$ ),  $P \oplus Q$  is a rational function of  $P, Q$ . In the case where  $g_2, g_3$  are functions of  $t$ , have the same formula for the coordinates of  $P \oplus Q$  in terms of the coordinates of  $P, Q$ . In this formula, it doesn't matter if  $g_2, g_3$  are constant or variable.

Consider  $E \times_S E = \{(P, Q) \in E \times E : f(P) = f(Q)\} \hookrightarrow E \times E$ . Then have  $E \times_S E \xrightarrow{F} S$ ,  $(P, Q) \mapsto f(P) = f(Q)$ .  $\forall \tau \in S$ ,  $F^{-1}(\tau) = f^{-1}(\tau) \times f^{-1}(\tau)$ . We have  $E \times_S E \xrightarrow{\oplus} E \xrightarrow{F} S$ .

From  $\oplus$ , get multiplication maps  $C \xrightarrow{[m]} C$ ,  $P \mapsto mP$ ,  $m$  a fixed integer.

Suppose  $k = \mathbb{C}$ . We have seen that  $\ker[m] = (\mathbb{Z}/m\mathbb{Z}) \oplus (\mathbb{Z}/m\mathbb{Z})$ , so  $\deg[m] = m^2$ .

Also,  $[m]: C \rightarrow C$  is unramified. (Eg, via Riemann-Hurwitz,  $2g(C) - 2 = \deg[m](2g(C) - 2) + \deg R$ .  $R = 0 \Leftrightarrow [m]$  is unramified everywhere. And,  $g(C) = 1$ , so  $\deg R = 0$ , so  $R = 0$ ).

Or topologically,  $C \cong S^1 \times S^1$ . Picture of  $S^1 \xrightarrow{[m]} S^1$ ,  $m = 2$ :



$S^1$

Definition:  $f: A \rightarrow B$ , a morphism of smooth algebraic varieties, is unramified, if  $f$  induces  $T_x A \xrightarrow{\cong} T_{f(x)} B \quad \forall x \in A$ . (So then  $f$  is locally (in some sense) an isomorphism).

Now let  $\mathbb{K}$  be some algebraically closed field,  $\text{char } \mathbb{K} = 0$ . Then ("Lefschetz principle"), exactly same is true. But if  $\mathbb{K} \neq \mathbb{C}$ , then  $[m]$  is still unramified, still of degree  $m^2$ , but individual points of  $\ker[m]$  may fail to be defined over  $\mathbb{K}$ .

Now pass to our family,  $f: E \rightarrow S$ . Have  $E \xrightarrow{[m]} E$  . i.e, the multiplications  $[m]$   
 $\downarrow f \quad \downarrow f$

on each fibre fit together. Then  $\ker[m] \subset E$ , and is an unramified cover of  $S$  of degree  $m^2$ .

Recall we had:  $\begin{array}{ccc} & \mathcal{F} & E \\ & \downarrow f & \downarrow f^{-1}(P) \\ & \mathcal{F} & S \end{array}$  The group laws on each fibre  $f^{-1}(P)$  fit together as a group law on the family.

Reason: if  $R = P \oplus Q$ , then the coordinates of  $R$  are polynomial functions of the coordinates of  $P, Q$ . These functions will involve  $g_2, g_3$ .

Fix  $p \in S$ . The condition that  $f^{-1}(P)$  is smooth is that  $\Delta(h) := -27g_2^2 - 9g_3^2 \neq 0$ . If  $\Delta(p) = 0$ , then delete  $P$ , i.e., delete  $P$  if the equation giving  $f^{-1}(P)$  defines a non-smooth curve.

So now, for example,  $S = \mathbb{A}^1 - \{\text{finite set, where } \Delta=0\}$ .

We make take for granted that the formula for  $R = P \oplus Q$  does not involve terms like  $1/g_2$ .

So we do have a group law on "the whole family".

Conversely, given  $P \in S$ , recover an elliptic curve  $f^{-1}(P)$  with origin and group law.

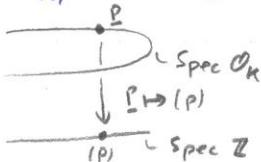
Aim: do the same sort of thing, replacing  $S$  by the ring of algebraic integers in an algebraic number field.

Suppose we have  $K/\mathbb{Q}$ . Have  $\begin{array}{ccc} \mathbb{Z} & \hookrightarrow & K \\ \downarrow f & & \downarrow j \\ \mathbb{Z} & \hookrightarrow & \mathcal{O}_K \end{array}$  we had:  $\begin{array}{c} S \\ \hookrightarrow \hookrightarrow \hookrightarrow T \end{array}$

Consider  $S = \text{Spec } \mathcal{O}_K$ ,  $T = \text{Spec } \mathbb{Z}$ . Points are the non-zero prime ideals, and  $(0)$ .

If  $P$  is a prime ideal in  $\mathcal{O}_K$ , then  $P \cap \mathbb{Z}$  is a prime ideal in  $\mathbb{Z}$ .

Say  $P \cap \mathbb{Z} = (p)$ ,  $P \mid p$ .



Ramification:  $(p) \mathcal{O}_K = P_1^{e_1} \dots P_r^{e_r}$ , unique factorisation into prime ideals.

Then  $p$  ramifies in  $\mathcal{O}_K \iff$  some  $e_i > 1$ .  $\iff$  in the local ring  $(\mathcal{O}_K)_{P_i}$ ,  $p$  generates the unique maximal ideal  $(P_i)_{P_i}$ .

$\iff$  the  $\mathbb{F}_p$ -vector space  $(P_i)/(p)^2$  generates the  $\mathbb{K}(P_i)$ -vector space  $\mathbb{K}(P_i)/\mathbb{K}(P_i)^2$ , the cotangent space to  $\text{Spec } \mathcal{O}_K$  at  $P_i$ . (Where  $\mathbb{K}(p) = \mathbb{Z}/(p) = \mathbb{F}_p$ , and  $\mathbb{K}(P_i) = \mathcal{O}_K/P_i = \mathbb{F}_{p^m}$ , some  $m$ ).

There is an obvious map,  $(P)/(p)^2 \rightarrow \underline{P_i}/\underline{P_i}^2$  induced by  $(p) \hookrightarrow \underline{P_i}$ . This induces a linear map of  $k(\underline{P_i})$ -vector spaces,  $(P)/(p)^2 \otimes_{\mathbb{F}_p} k(\underline{P_i}) \rightarrow \underline{P_i}/\underline{P_i}^2$ .

Dually, this gives:  $(\underline{P_i}/\underline{P_i}^2)^\vee \rightarrow ((P)/(p)^2)^\vee \otimes k(\underline{P_i})$ . This is: (tangent space at  $\underline{P_i}$ )  $\rightarrow$  (tangent space at  $(p)$ ).

Eg:  $k(\underline{P_i}) = \mathbb{F}_p$ . Just get  $\mathbb{F}_p$ -linear maps  $(P)/(p)^2 \rightarrow \underline{P_i}/\underline{P_i}^2$  and  $(P)/(p)^2 \leftarrow (\underline{P_i}/\underline{P_i}^2)^\vee$

This induced map in tangent spaces is an isomorphism  $\Leftrightarrow e_i = 1$ .

$\text{Spec } \mathbb{Z}$ ,  $\text{Spec } \mathcal{O}_K$  are 1-dimensional in the sense that  $\mathbb{Z}$ ,  $\mathcal{O}_K$  are 1-dimensional.  
i.e., every non-zero prime ideal is maximal.

Suppose  $E$  is an elliptic curve over  $\mathbb{Q}$ ,  $Y^2Z = F(X, Z)$ , coefficients in  $\mathbb{Q}$ .  $E$  has a point  $O$  (eg,  $(0, 1, 0)$ ), defined over  $\mathbb{Q}$ . Clearing denominators, we may assume that  $E$  has  $\mathbb{Z}$ -coefficients. Can reduce modulo  $p$ . Get a curve  $E_p$ , cubic, in  $\mathbb{P}_{\mathbb{F}_p}^2$ , defined over  $\mathbb{F}_p$ .

Fact: except for finitely many  $p$ ,  $E_p$  is smooth.  $\left. \begin{array}{l} \text{Eg: } Y^2Z = 4X^3 - g_2XZ^2 - g_3Z^3, \quad g_2, g_3 \in \mathbb{Z}. \\ \text{Suppose } \Delta(\text{this}) \neq 0. \quad \Delta = -27g_2^3 - 9g_3^2. \\ \text{Then, the bad } p \text{ are } \{2, 3, \text{primes}\} \end{array} \right\}$   
 $E_p$  contains  $O$ . So  $E_p$  has a group law.

Get  $E \hookrightarrow \mathbb{P}_{\mathbb{Z}}^2$ . Let  $S = \text{Spec } \mathbb{Z} - \{\text{bad primes}\}$ . Eg, if  $\{2, 3, 17\}$  are bad,  
 $\downarrow$   
 $f \quad \downarrow$   
 $\text{Spec } \mathbb{Z}$   
then  $S = \text{Spec } \mathbb{Z}[\frac{1}{2}, \frac{1}{3}, \frac{1}{17}]$ .

Start with  $\mathbb{K}[t]$ . Then  $A'_K = \text{Spec } \mathbb{K}[t]$ . Conversely,  $\mathbb{K}[t]$  is recovered as the ring of polynomial functions on  $A'_K$ .

Start with  $\mathbb{Z}$ . Construct  $\text{Spec } \mathbb{Z}$ : " $\mathbb{Z}$  is the ring of functions on  $\text{Spec } \mathbb{Z}$ ".

Picture of  $E \rightarrow S$ :

Have group law  $E \times E \xrightarrow{\oplus} E$ , which reduces mod every  $p$  to the group law on  $E_p$ .

Recall: we took  $\mathbb{K}$ , a number field, and  $\mathcal{O}_K \subset \mathbb{K}$  the ring of integers.

Suppose  $C = C_K \hookrightarrow \mathbb{P}_{\mathbb{R}}^2$ ,  $Y^2Z = F(X, Z)$  is an elliptic curve with coefficients in  $\mathbb{K}$ . Then we may clear denominators to get an equation with coefficients in  $\mathcal{O}_K$ . Then, for almost all prime ideals  $P$ , of  $\mathcal{O}_K$ , reducing mod  $P$  gives a smooth cubic curve over field  $\mathbb{K}(P) = \mathcal{O}_K/P$ . These are the primes of good reduction.

$\exists$  ring  $R$ ,  $\mathcal{O}_K \hookrightarrow R \hookrightarrow \mathbb{K}$ , obtained by deleting bad primes. The prime ideals of  $R$  are the good primes.  $R$  is finitely generated as an  $\mathcal{O}_K$ -algebra.

Example:  $\mathbb{K} = \mathbb{Q}$ , say 2, 3, 17 are bad primes. Then  $R = \mathbb{Z}[\frac{1}{2}, \frac{1}{3}, \frac{1}{17}]$ .

This is a little more complicated if  $\mathcal{O}_k$  is not a PID. We may at times also delete a finite set of good primes.

Get  $C_R \hookrightarrow \mathbb{P}_R^2$   
 $\downarrow f$   
 $\text{Spec } R \leftarrow \text{points are the good primes, plus } \{0\}.$

Reducing mod  $\{0\}$  means passing back to  $k$ . Have  $0$ . This  $0$  sits inside  $C_R$  as a copy of  $\text{Spec } R$ .

The group laws on  $C_R$ ,  $C_{R(P)}$  fit together into a group law on  $C_R$ .  
Fix  $m \in \mathbb{N}$ . Then the map "multiplication by  $m$ " gives a morphism  $C_R \xrightarrow{[m]} C_R$ .  
We know, over  $\bar{k}$ ,  $[m]$  is surjective, and  $\ker[m]$  has order  $m^2$ .  
 $C_R$  is projective over  $\text{Spec } R$ , i.e. it lives in  $\mathbb{P}_R^n$ , defined by many homogeneous polynomials.

\* Theorem: If  $X_R, Y_R$  are projective over  $\text{Spec } R$ , and  $g: X_R \rightarrow Y_R$  is a morphism, then the image of  $g$  ( $\subseteq Y_R$ ) is also projective over  $\text{Spec } R$ . \*

Note: False for affine varieties. For example, take  $X = (xy=1) \hookrightarrow \mathbb{A}_{\bar{k}}^2$ ,  $(x,y)$   
Then,  $\text{im } f = \mathbb{A}_{\bar{k}}^1 - \{0\}$ .  $f \downarrow$   $\mathbb{A}_{\bar{k}}^1 \downarrow x$

What does  $[m]$  do to  $C_{R(P)}$ ,  $P \neq \{0\}$ ?

Proposition:  $[m]$  is surjective on every  $C_{R(P)}$ .

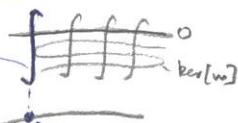
Proof: Suppose not, then  $[m]$  collapses  $C_{R(P)}$  to a point, (the only proper subvariety of a curve). Say  $D_R = \text{image of } C_R \text{ under } [m]$ . Have  $D_R \hookrightarrow C_R \xrightarrow{\downarrow} \text{Spec } R$   
 $D_R$  is closed, by Theorem. Over  $\bar{k}$ ,  $D_{R(\bar{k})}$  is a point.  
Over  $k$ ,  $D_R$  is a point or  $C_R$ . We know that over  $\bar{k}$ ,  $D_{\bar{k}} = C_{\bar{k}}$   
(Think of  $R \hookrightarrow \bar{k}$ .  $D_{\bar{k}}$  means: think of  $D_k$  as giving something defined over  $\bar{k}$ ).  
So  $D_R = C_R$ . So  $D_R \rightarrow \text{Spec } R$  has fibre  $D_R$  of dimension 1, and fibre  $D_{R(P)}$  of dimension 0. This is absurd, by the following theorem. So we're done.

\* Theorem: If we reduce something projective modulo  $P$ , then the dimension can only go up. "The upper semi-continuity of fibre dimension". \*

Next aim: Define  $\ker[m] = m^{-1}\{0\} \hookrightarrow C_R$ . Let  $S, \mathcal{O}_k \hookrightarrow R \hookrightarrow S \hookrightarrow k$ , be obtained by deleting all primes  $P$  with  $\text{char } k(P) \mid m$ . (This is a finite set).

Then there are infinitely many algebraic number field  $L_i \supseteq k$ , and a diagram.

$\mathcal{O}_{L_i} \hookrightarrow T_i \hookrightarrow L_i$  such that  $T_i$  is an  $\mathcal{O}_S$ -module and is unramified over  $S$ ,  
 $\mathcal{O}_k \hookrightarrow S \hookrightarrow k$  and  $\coprod \text{Spec } T_i = \ker[m] \cap C_S$ .

delete blue bits.   
E.g. one of these  $L_i = k$ , say  $L_0$ , and then  $\text{Spec } T_0 = 0$ .

Shall achieve this aim by showing: (i) over each good  $(P)$ ,  $\text{char } k(P) \neq m$ ,  $\ker[m] \cap C_{k(P)}$  has degree  $m^2$ , and moreover,  $\ker[m] \cap \overline{C_{k(P)}}$  consists of  $m^2$  distinct points. (ii) Deduce that over  $\text{Spec } S$ ,  $\ker[m]$  is unramified. (iii) Deduce aim by some commutative algebra.

Proof of (i): Know  $[m]: C_R \rightarrow C_R$  is surjective on each fibre. Pick prime  $P$ , and  $x \in C_{\overline{k(P)}}$ . Then  $[m]^{-1}(x) \subseteq C_{\overline{k(P)}}$  is closed (in Zariski sense, ie defined by polynomial equations), so it is either finite or the whole curve,  $C_{\overline{k(P)}}$ . Surjectivity of  $[m] \Rightarrow [m]^{-1}(x)$  is finite.

Similarly for  $x \in \overline{C_R}$ :  $[m]^{-1}(x)$  consists of  $m^2$  points.

So have  $[m]: C_R \rightarrow C_R$ , and for all "geometric points"  $x$ , ie defined over  $\bar{k}$  or over  $\overline{k(P)}$ ,  $[m]^{-1}(x)$  is finite.

\* Theorem: If  $X_R, Y_R$  are projective over  $\text{Spec } R$ , if  $f: X_R \rightarrow Y_R$  is a morphism and for every geometric point  $x$ ,  $f^{-1}(x)$  is finite, then  $f$  is finite. \*

Note: " $f$  is finite" has a strong technical meaning:

If  $Z_R \hookrightarrow Y_R$ ,  $Z_R \rightarrow \text{Spec } R$  an isomorphism, then  $f^{-1}(Z_R) = \text{Spec } A$ , where  $A$  is a ring which is fg as an  $R$ -module.

So, in our situation, we have  $\mathcal{O}_S \hookrightarrow C$   $\mathcal{O}_S$  is a "thickening" of  $\mathcal{O} \in C_R$ .  
 $\downarrow$   
 $\cong \text{Spec } .$

$[m]$  finite  $\Rightarrow [m]^{-1}(\mathcal{O}_S) = \text{Spec } T$ ,  $S \hookrightarrow T$ ,  $T$  a fg.  $S$ -module.

Also,  $[m]^{-1}(\mathcal{O}_S) \cap C_{k(P)} = \{m\text{-torsion points on } C_{k(P)}\}$  ie, the  $m$ -torsion points on the individual fibres fit together into  $\text{Spec } T$ .

By construction,  $S$  is a Dedekind domain and all  $C_{k(P)}$  are smooth curves.  
 $\Rightarrow \forall$  prime ideals  $P \neq 0$ ,  $S_P$  is a PID.

If  $X$  is a curve over a field  $k$ , then  $X$  is smooth  $\Leftrightarrow \mathcal{O}_{X,P} := \{f \in k(x) : f \text{ regular at } P\}$  is a PID.

These  $\Rightarrow$  " $C_S$  is a regular scheme".

$x \in C_S$ ,  $\mathcal{O}_{C_S,x} := \{f \in k(C_S) : f \text{ is regular at } x\}$ , where  $k(C_S) := k(C_R)$ .  
 $\hookrightarrow$  this is a regular local ring, as in commutative algebra.

This is the algebraic analogue of smoothness in geometry.

Have  $[m]: C_S \rightarrow C_S$ , finite and surjective. Because  $C_S$  is regular, it follows that for all points  $x \in C_S$ ,  $[m]^{-1}(x)$  has the same "number of points", counted with multiplicity, ie,  $[m]$  has constant degree. ie, it behaves exactly as a non-constant map of projective curves or compact Riemann Surfaces.

Also, for any  $C_O = C_{k(P)}$  or  $C_R$ ,  $\{o \in \text{Spec } S\}$ ,  $[m]: C_O \rightarrow C_O$  has same degree. We know that for  $o = \text{Spec } k$ ,  $[m]$  has degree  $m^2$ .

Corollary:  $[m]$  has degree  $m^2$  on every  $C_{k(P)}$ .

This is true even if  $\text{char } k(P) \mid m^2$ .

Also,  $\text{Spec } T$  has constant degree  $m^2$  over  $\text{Spec } S$ . So  $T$  is purely 1-dimensional.

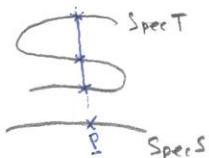
Now, assume that  $p = \text{char } k(P) \nmid m$ ,  $\forall P$ . Then  $[m]: C_{k(P)} \rightarrow C_{k(P)}$  has degree prime to  $p$ , and so it is separable. (ie,  $[m]$  induces  $k(C_{k(P)}) \hookrightarrow k(C_{k(P)})$ )  
 deg (p.i.) =  $p^2$ , so  $\nexists$  purely inseparable contributions.  
 inseparable  $\hookrightarrow$  L & separable |

Riemann-Hurwitz applies to separable morphisms.

$$g = g(C_{k(P)}) = 1 \quad \text{So, } 2g - 2 = m^2(2g - 2) + (\geq 0 \text{ for ramification}).$$

$\therefore [m]$  is unramified, ie over  $\overline{k(P)}$ .  $[m]^{-1}(0) \cap C_{\overline{k(P)}}$  consists of  $m^2$  distinct points.

Corollary:  $\text{Spec } T \rightarrow \text{Spec } S$  is unramified, of degree  $m^2$ .



$\forall P \in \text{Spec } S$ , the fibre over  $P$  in  $\text{Spec } T$  never coalesces.  
 This is unramified. So  $T = T_1 \times \dots \times T_r$ , a product of Dedekind domains, each unramified over  $S$ .

Theorem: (i) Suppose  $x \in C_K$ ,  $x$  also defined over  $k$ . Then, every point  $y$  such that  $ny = x$  is defined over the field  $L \supseteq K$ , where  $[L:K] \leq m^2$ , and  $L/K$  is unramified outside  $\{\text{primes in } K \text{ where } C_K \text{ has bad reduction}\} \cup \{\text{primes in } K \text{ that divide } m\} = \Sigma$ .

(ie,  $[L:K]$  and "ramification data" of  $L/K$  are independent of  $y$ ).

(ii)  $\exists$  finite  $L/K$  such that  $\forall x, y$  as in (i),  $y$  is defined over  $L$ .

$S$  a Dedekind domain,  $P \neq 0$  a prime ideal. Then  $S_P$  has a unique maximal ideal  $P_P$  ( $= t$ ). Suppose  $S \hookrightarrow T$ ,  $T$  another Dedekind domain. Take  $Q$  a prime of  $T$ ,  $Q \nmid P$ . Say  $Q_Q = (u)$ . Then  $S_P \hookrightarrow T_Q$ ,  $t \in (u)$ . Say  $t = u^e v$ ,  $v$  a unit in  $T_Q$ ,  $e \in \mathbb{N}$ . Ramification  $\Leftrightarrow e > 1$ .

Proof of Theorem: (i) For  $x=0$ , this is the statement that  $\text{Spec } T$  is unramified over  $\text{Spec } S$ . For arbitrary  $x$ , rerun the same discussion, replacing  $O_S$  by  $x_S \xrightarrow{\cong} \text{Spec } S$ .  $T = [m]^{-1}(x_S)$ .

Recall: We fixed  $m \geq 2$ . The bad primes include those dividing  $m$ .

Have  $[m]: C_S \rightarrow C_S$ , multiplication by  $m$ .

Proved:  $[m]^{-1}(O_S)$  is finite, of degree  $m^2$ , and unramified over  $\text{Spec } S$ .

$\therefore [m]^{-1}(O_S) = \text{Spec } T$ , where  $T = T_1 \times \dots \times T_r$ ,  $T_i$  a Dedekind domain, finite (as a module) over  $S$ .

$$\begin{array}{ccccc} O_S & \hookrightarrow & C_S & \hookrightarrow & I\!P_S^2 \\ & & f & & \downarrow \\ & & \cong & & \text{Spec } S \end{array}$$

Unramified  $\Leftrightarrow \forall P \in \text{Spec } S, P \neq 0, T/\underset{\text{II}}{PT} = (T_1/PT_1) \times \dots \times (T_m/PT_m)$   
 a product of finite fields.

Ramified over  $P \Leftrightarrow T/\underset{\text{II}}{PT}$  is non-reduced, ie  $\exists \neq 0$  nilpotent elements.  
 $T/\underset{\text{II}}{PT}$  is finite over  $S/P = k(P)$ . So  $T/\underset{\text{II}}{PT}$  is finite, so Artinian.

Also, unramified  $\Leftrightarrow T \otimes_S \overline{k(P)} \cong$  a product of  $m^2$  copies of  $k(P)$ .  
 Now return to:

Theorem (ii):  $\exists$  finite field extension  $L/K$  such that  $\forall x = x_k \in C_K$  defined over  $K$ ,  
 every point  $y \in C_L$  such that  $my = x$ , is defined over  $L$ .

Proof: The same argument as before shows that  $[m]^{-1}(x_S)$  is finite, of degree  $m^2$ ,  
 and unramified over  $\text{Spec } S$ .

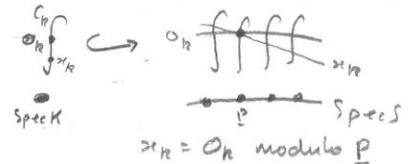
$[m]^{-1}(x_S) = \text{Spec } R, R = R_1 \times \dots \times R_S, R_i$  a Dedekind  
 domain, finite over  $S$ .

Then  $\forall y$  with  $my = x$ , the field of definition

of  $y$  ( $\text{re } K(y) = K(\frac{x}{z}, \frac{y}{z})$  if  $y = (x:y:z) \in \mathbb{P}^2$ ) is the field of fractions of some  $R_i$ .

Get from 2-dimensional picture over  $\text{Spec } S$  back to 1-d picture over

$\text{Spec } K$  by  $- \otimes_S K$ . Go in other direction by: given something on  $C_K \hookrightarrow \mathbb{P}_K^2$ ,  
 clear denominators to get the corresponding object in  $C_S \hookrightarrow \mathbb{P}_S^2$ .



Equivalently, take Zariski closure, eg:  $\text{Spec } K \hookrightarrow \text{Spec } S$ . Its Zariski closure  
 is  $\text{Spec } S$ .  $\mathbb{P}_K^2 \hookrightarrow \mathbb{P}_S^2$  Zariski closure =  $\mathbb{P}_S^2$ .  $O_K \hookrightarrow C_K \hookrightarrow \mathbb{P}_K^2$  } take Zariski closure.  
 $O_S \hookrightarrow C_S \hookrightarrow \mathbb{P}_S^2$

So  $[k(y):k] \leq m^2$ , and  $k(y)/k$  is unramified outside the fixed finite set of bad  
 primes.

Theorem (Hermite, Minkowski): Given algebraic number field  $K$ ,  $d \in \mathbb{N}$ , and given  
 a finite set  $\Sigma$  of primes in  $O_K$ ,  $\exists$  only finitely many fields  $L/K$  such  
 that  $[L:K] \leq d$  and  $L$  is unramified over  $K$  outside  $\Sigma$ .

Ie, given  $d, \Sigma$ , can bound the size of the discriminant, and apply the more  
 usual version of this theorem.

Part (ii) above follows at once.

Aim: Prove the Mordell-Weil Theorem: Given  $E$  defined over  $K$ , the group  $E(K)$   
 of points of  $E$  defined over  $K$  is finitely generated.

Theorem (Weak Mordell-Weil): Fix  $m \geq 2$ . Assume that all points of  $[m]^{-1}(0)$   
 are defined over  $K$  (via a finite extension of  $K$ ). Then  $E(K)/mE(K)$  is a  
 finite group.

Proof: We know  $\exists$  finite  $L/K$  such that  $\forall x \in E(K)$  and  $y$  with  $my = x$ ,  $y$  is  
 defined over  $L$ . Wlog  $L/K$  is Galois.  $E_m := [m]^{-1}(0)$  consists of  $m^2$  points,

all defined over  $K$ . Shall construct  $E(L)/ME(L) \hookrightarrow \text{Hom}(\text{Gal}(L/K), E_m)$ , which will prove the theorem.

Pick  $x \in E(K)$ . Let  $\sigma \in \text{Gal}(L/K)$ , and pick  $y \in E(L)$  with  $my = x$   
 $\sigma(y) \in E(L)$ , because  $\sigma$  does not change the coefficients of the polynomial defining  $E \hookrightarrow \mathbb{P}^2$ . Also,  $[m]: E \rightarrow E$  is defined over  $K$ . So  $\sigma(my) = m(\sigma(y))$ . So  $m\sigma(y) = x$  also. So  $m(\sigma(y) - y) = 0$ .

Define  $\varPhi: E(K) \rightarrow \text{Hom}(\text{Gal}(L/K), E_m)$  by  $\varPhi(x) = (\sigma \mapsto \sigma(y) - y)$ .

This is well-defined: Suppose  $m\tilde{y} = x$ ,  $\tilde{y} \in E(L)$ .  $m\tilde{y} = x = my$ , so  $m(\tilde{y} - y) = 0$ . ie,  $\tilde{y} - y \in E_m$ , so (by assumption),  $\sigma(\tilde{y} - y) = \tilde{y} - y$ .

[Have  $d: E \times E \rightarrow E$ ,  $(y, z) \mapsto y - z$  is defined over  $K$ , so commutes with  $\sigma$ , so  $\sigma(y - z) = \sigma(y) - \sigma(z)$ .]

So  $\sigma(\tilde{y} - y) = \sigma(\tilde{y}) - \sigma(y)$ , so  $\varPhi$  is well-defined.

Suppose  $\varPhi(x) = 0$ , ie given  $y$  with  $my = x$ , have  $\forall \sigma$ ,  $\sigma(y) - y = 0$ .

ie,  $y \in E(K)$  since  $L/K$  is Galois. So  $\ker \varPhi = ME(K)$ .

Conversely, if  $x = my$ ,  $y \in E(K)$ , then  $\varPhi(x) = 0$ .

So  $\varPhi$  induces  $E(K)/ME(K) \hookrightarrow \text{Hom}(\text{Gal}(L/K), E_m)$ .

Suppose we have an algebraic number field  $K$  with  $[K:\mathbb{Q}] = d$ .  $\underline{P}$  a prime ideal of  $\mathcal{O}_K$ ,  $\underline{P} \mid p$ ,  $p \in \mathbb{Z}$ . Define  $v_p: K^* \rightarrow \mathbb{Z}$ , the valuation corresponding to  $\underline{P}$ , by  $v_p(x) = m \Leftrightarrow m$  is the power of  $\underline{P}$  appearing in the prime factorisation of  $(x)$ .

Eg:  $K = \mathbb{Q}$ ,  $v_p(p) = 1$ .

Define  $\|x\|_{\underline{P}} = (N\underline{P})^{-v_{\underline{P}}(x)/d} \in \mathbb{R}_{>0}$ . If  $\sigma: K \hookrightarrow \mathbb{R}$  or  $\sigma: K \hookrightarrow \mathbb{C}$ , define  $\|x\|_{\sigma} = |\sigma(x)|^{1/d}$ . A place (or prime) of  $K$  is a prime ideal or an embedding  $\sigma: K \hookrightarrow \mathbb{R}, \mathbb{C}$ . Prime ideal = finite prime/place, embedding  $\hookrightarrow \mathbb{R}, \mathbb{C}$  = infinite prime/place.

$$\begin{aligned}\text{Exercise: } \|x_1 + \dots + x_n\|_{\underline{P}} &\leq \sup_i \|x_i\|_{\underline{P}} \\ \|x_1 + \dots + x_n\|_{\sigma} &\leq \|N\|_{\sigma} \cdot \sup_i \|x_i\|_{\sigma}.\end{aligned}$$

Write  $v$  = a place, finite or infinite.

$\underline{P}$  = a finite place.

$\sigma$  = an infinite place.

$M_K = \{\text{places of } K\}$ .

Extensions: Given  $L/K$ , fix  $v \in M_K$ . Then  $\exists$  finitely many places  $w \in M_L$  with  $w \mid v$ , and  $\prod_w \|x\|_w = \|N_{L/K}(x)\|^{1/[L:K]}$   $\forall x \in L^*$ . So for  $x \in K^*$ ,  $\prod_w \|x\|_w = \|x\|_v$ .

Product formula:  $\forall x \in K^*$ ,  $\prod_{v \in M_K} \|x\|_v = 1$ . This is in fact a finite product, almost all  $\|x\|_v = 1$ .

Heights: Suppose  $P = (x_0, \dots, x_n) \in \mathbb{P}^n$ , all  $x_i/x_j \in K$ , ie  $P$  is defined over  $K$ . Then  $H_K(P) := \prod_v \max_i \|x_i\|_v > 0$ ,  $h_K(P) := \log H_K(P)$ , the Logarithmic height.

Eg:  $P = (p, q) \in \mathbb{P}^1$ ,  $p, q$  prime in  $\mathbb{Z}$ . Then  $h_Q(P) = \max(\log p, \log q)$

1. Suppose  $\lambda \in K^*$ . Then  $\max_i \|\lambda x_i\|_v = \|\lambda\|_v \cdot \max_i \|x_i\|_v$ . Since  $\prod_v \|\lambda\|_v = 1$ , get  $H_K(\lambda x_0, \dots, \lambda x_n) = H_K(x_0, \dots, x_n)$ . So  $H_K$  and  $h_K$  are well-defined.

2. Suppose  $K \hookrightarrow L$ . Then  $H_L(P) = H_K(P)$  (easy check).

So, have  $H: \mathbb{P}^n(\bar{\mathbb{Q}}) \rightarrow \mathbb{R}_{>0}$ .  $\mathbb{P}^n(\bar{\mathbb{Q}}) = \text{set of all points in } \mathbb{P}^n \text{ defined over } \bar{\mathbb{Q}}$ .  
 $= \bigcup_{\substack{\text{number} \\ \text{fields } K}} \mathbb{P}^n(K)$ .

$$h := \log H.$$

3.  $h \geq 0$ . So need  $H \geq 1$ . Choose  $P \in \mathbb{P}^n(\mathbb{Q})$ ,  $K$  dependent on  $P$ .

$$H(P) = \prod_v \max_i \|x_i\|_v \geq \max_i \prod_v \|x_i\|_v = 1.$$

Theorem (Northcott): Fix  $C > 0$ ,  $d \in \mathbb{N}$ . Then  $\{P \in \mathbb{P}^n(\bar{\mathbb{Q}}) : P \text{ is defined over some } K \text{ with } [K:\mathbb{Q}] \leq d \text{ and } H(P) \leq C\}$  is finite.

Proof: First assume  $n=1$ .  $P = (1, \alpha) : P \text{ is defined over } K \Leftrightarrow v \in K$

$$\text{Assume } C \geq H(P) = \prod_v \max(1, \|\alpha\|_v). \text{ So } \|\alpha\|_v \leq C \quad \forall v$$

Say  $\alpha = \alpha_1, \dots, \alpha_e$  are conjugates of  $v$ . ( $e \leq d$ ).  $F(T) := \prod_i (T - \alpha_i) = T^e - s_1 T^{e-1} + \dots + (-1)^e s_e$ ,  
 $s_i \in \mathbb{Q}$ .  $e = [\mathbb{Q}(\alpha) : \mathbb{Q}]$

Define  $(d, j)_v = \max(1, \|(j)\|_v)$ .  $\|s_j\|_v \leq \begin{cases} \max_{i < e, i \neq j} \|\alpha_i, \dots, \alpha_j\|_v & (v \text{ finite}) \\ \|(j)\|_v \cdot \max \|\alpha_i, \dots, \alpha_j\|_v & (v \text{ infinite}), \end{cases}$

$$\text{since } s_j = \sum_{i < e, i \neq j} \alpha_i, \dots, \alpha_j.$$

Fix a place  $v$  of  $\mathbb{Q}$ .  $\|s_j\|_v = \prod_u \|s_j\|_{v|u}$ . The number of  $v$  with  $v|u$  is  $\leq d = [K : \mathbb{Q}]$ .

Now,  $\|\alpha_1, \dots, \alpha_j\|_v = \|\alpha_1\|_v \cdots \|\alpha_j\|_v$ . For each  $v$ , the numbers  $\|\alpha_1\|_v, \dots, \|\alpha_j\|_v$  are the same as  $\|\alpha_{v1}, \dots, \|\alpha_{vj}\|_v$ , where the  $v_i$  are the conjugates of  $v$ .

If  $u$  is the place of  $\mathbb{Q}$  with  $v|u$ , then the set of all places of  $K$  dividing  $u$ , is  $v_1, \dots, v_e$  ( $e \leq e$ ).

We deduce  $\|\alpha_i\|_v \leq C \quad \forall i, \forall v$ . So  $\|s_j\|_v \leq (d, j)_v C^j$ . Fix place  $u$  of  $\mathbb{Q}$ ,  $\exists s \in v|u$ .

So,  $\|s_j\|_u \leq \prod_{v|u} (d, j)_v (C^j)^d$ . So  $\|s_j\|_u$  is bounded independently of  $\alpha, u$ .

Say  $s_j = a_j/b_j$ ,  $a_j, b_j \in \mathbb{Z}$ , coprime. Fix  $p$ , say  $v_p(b_j) = n$ , ie  $p^n \mid b_j$ .

Then  $\|s_j\|_p = p^n$ . So  $b_j$  is bounded independently of  $\alpha$ .

Also,  $\|s_j\|_\infty$  is bounded. So the number of choices of  $s_j$  is bounded, independently of  $\alpha$ . So the number of possible  $F(T)$  is bounded, so the number of  $\alpha$ 's is bounded. So done for  $n=1$ .

General  $n$ : Say  $P = (1, \alpha_1, \dots, \alpha_n)$ . Fix  $C, d$ .  $L = \mathbb{Q}(\alpha_1, \dots, \alpha_n) \subset \mathbb{Q}(\alpha) \subseteq L$ .

By hypothesis,  $[L : \mathbb{Q}] \leq d$  and  $H(P) \leq C$ . So  $C \geq \prod_v \max_i (1, \|\alpha_i\|_v) \geq \prod_v \max_i (1, \|\alpha_i\|_v)$ .  $[\mathbb{Q}(\alpha) : \mathbb{Q}] \leq d$ , so #possible  $\alpha_1$  is finite (case  $n=1$ ). Similarly, #possible  $\alpha_2, \dots, \alpha_n$  is finite.

Corollary: Given  $C, d$ , the set  $\{P \in \mathbb{P}^n(\bar{\mathbb{Q}}) : h(P) \leq C, [\Omega(P) : \Omega] \leq d\}$  is finite.

Proof:  $h = \log H$  and  $H \geq D$ .

In fact, given any smooth projective variety  $X$  defined over  $K$  ( $[H : \Omega] < \infty$ ) and given a linear equivalence class  $[D]$  of divisors of  $X$ , shall construct a height function  $h_{[D]} : X(\bar{\mathbb{Q}}) \rightarrow \mathbb{R}$ .

Or rather, we shall construct an equivalence class of such functions, where two functions are equivalent if  $f - g$  is bounded. Write  $f = g + O(1)$ .

### Basic tautology in algebraic geometry.

$K$  a field,  $X$  a smooth projective variety over  $K$ .

A divisor in  $X$  is a formal sum  $\sum n_i D_i$ ,  $n_i \in \mathbb{Z}$ , and the  $D_i$  are irreducible subvarieties of codimension 1. ("a prime divisor").

$D, E$  divisors. They are linearly equivalent ( $D \sim E$ ) if  $\exists f \in K(X)^*$  with  $(f) = D - E$ , where  $(f) = \sum n_i A_i$ , where  $n_i$  = order of zero (or pole) of  $f$  along  $A_i$ .

$[D] =$  equivalence class of  $D$ .

$D = \sum n_i D_i$  is effective if all  $n_i \geq 0$ . Write  $D \geq 0$ .

1. Let  $|D| = \{ \text{effective divisors } E \text{ on } X : E \sim D\}$ . Can identify  $|D| = \{f \in K(X)^* : (f) + D \geq 0\} / K^*$ . (Given  $E \in |D|$ , have  $(f) = E - D$ , so  $(f) + D = E \geq 0$ ).

Note: Given  $f, g \in K(X)^*$  have  $(f) = (g) \Leftrightarrow (f/g) = 0 \Leftrightarrow \frac{f}{g}, \frac{g}{f}$  are regular everywhere in  $X$ .

Basic fact about projective varieties: every global function is in  $K^*$ , so  $f \equiv g \pmod{K^*}$ . Conversely, given  $f \in K(X)^*$  with  $(f) + D \geq 0$ , take  $E = (f) + D$ , so  $E - D = (f)$ , so  $E \sim D$ .

2. Giving a morphism  $\varphi : X \rightarrow \mathbb{P}_k^n$  is equivalent to giving a divisor  $D$  in  $X$ , and  $f_0, \dots, f_n \in K(X)^*$  such that  $(f_i) + D \geq 0$ , and writing  $(f_i) + D = E_i$ ,  $\forall x \in X$ ,  $x$  defined over  $k$ , since  $E_i$  does not contain  $x$ .

Given  $f_0, \dots, f_n$ , define  $\varphi(x) = (f_0(x), \dots, f_n(x))$ .  $\oplus$

Basic fact:  $X$  is projective, so  $\varphi(x)$  is a projective variety of  $\mathbb{P}_k^n$ .

Dropping the above hypothesis between the asterisks, means that  $\varphi$ , defined by  $\oplus$ , may fail to be defined everywhere i.e.,  $\varphi$  would be only a rational map, rather than a morphism.

Conversely, start with  $\varphi : X \rightarrow \mathbb{P}_k^n$ . Then, for any hyperplane  $H \subset \mathbb{P}^n$  with  $H \not\ni \varphi(x)$ , gives an effective divisor on  $X$ , namely  $\varphi^{-1}(\varphi(x) \cap H)$  (given multiplicities appropriately).

Since  $\forall x \in X \exists H \not\in \Phi(x)$  then  $\Phi^{-1}(\Phi(x) \cap H) \neq x$ .

Say  $H_1$  given by  $l_1=0$ ,  $H_2$  given by  $l_2=0$ ,  $l_1, l_2$  given by linear homogeneous polynomials in  $z_0, \dots, z_n$ , the homogeneous coordinates of  $P^n$ . So  $\frac{l_1}{l_2} \in k(P^n)$ .  
So  $\frac{l_1}{l_2} \circ \Phi \in k[X]$

If  $D_\alpha = \Phi^{-1}(\Phi(x) \cap H_\alpha)$ , then  $H_1 - H_2 = \left(\frac{l_1}{l_2}\right)$  and  $D_1 - D_2 = \left(\frac{l_1}{l_2} \circ \Phi\right)$   
↑ obviously effective.

Eg:  $X$  = elliptic curve over  $C$ . Given  $P \in X$ , let  $[P]$  be  $P$  considered as a divisor. So  $[P] + [Q] = [P \oplus Q] + [O]$ .

Also,  $[P] = [Q] \Leftrightarrow P = Q$ .

Consider  $D = 2[O]$ .

Define  $\Phi: X \rightarrow \mathbb{P}_C^1$ ,  $\Phi(z) = [1 : g(z)]$ . Hyperplane  $H$  = point.

$\Phi^{-1}(O, 1) = 2[O] = D$ .  $\Phi^{-1}(H) = [P] + [-P]$ , for the various points  $P$  on  $X$ .

This is true because  $g(-z) = g(z)$

We know  $\deg \Phi = 2$ , so  $\Phi^{-1}(H) \cong [P] + [-P]$  some  $P$ , and since both sides have degree 2, so  $\Phi^{-1}(H) = [P] + [-P]$ .

Conversely, given  $P \in X$ , have  $[P] + [-P] = \Phi^{-1}(1, g(P))$ . (In notation of  $\oplus$ ,  $f_0 = 1$ ,  $f_1 = g$ )

Suppose  $X$  is an elliptic curve over  $K$ . Consider  $D = 2[O]$ .

$X$  smooth, projective over  $K$ ,  $D$  a divisor, then  $\{f \in K(X)^*: (f) + D \geq 0\} \cup \{0\}$  is a  $K$ -vector space, of finite dimension.

If  $X$  is an elliptic curve, and  $\deg D > 0$ , then this dimension =  $\deg D$ . (Riemann-Roch for elliptic curves).

Now assume  $X$  is an elliptic curve,  $D = 2[O]$ . So  $\deg D = 2$ . We have a 2 dimensional vector space, with basis  $\{1, f\}$ .

Get  $\Phi: X \rightarrow \mathbb{P}^1$ ,  $\Phi(P) = (1, f(P))$ .

For any hyperplane (or point)  $H$  on  $\mathbb{P}^1$ ,  $\Phi^{-1}(H) = [P] + [-P]$ , some  $P$ .

[If  $X$  is  $y^2z = F(x, z)$ , then  $\Phi(P) = (x, z)$ .]

$X$  still elliptic,  $D = 3[O]$ . We get  $\Phi: X \rightarrow \mathbb{P}_K^2$ , say  $\Phi(P) = (z_0, z_1, z_2)$

Every line  $L$  in  $\mathbb{P}_K^2$  gives a divisor  $\Phi^{-1}(\Phi(x) \cap L) \sim 3[O]$ .

(In fact, every effective divisor  $E \sim 3[O]$  arises in this way).

So  $\Phi(O) = O$ . Then  $\exists$  line  $L$  cutting  $\Phi(X)$  precisely in  $3[O]$ : ~~O~~  $L$

Tie together heights and morphisms to  $\mathbb{P}_K^n$ .

Suppose  $X$  is smooth and projective over  $K$  = number field. Suppose given  $\Phi: X \rightarrow \mathbb{P}^m$ ,  $\Psi: X \rightarrow \mathbb{P}^n$ , corresponding to the same divisor class  $[D]$  on  $X$ ,

i.e.  $\Phi^{-1}(H_1) \sim \Psi^{-1}(H_2)$ ,  $H_1$  hyperplane in  $\mathbb{P}^m$ ,  $H_1 \notin \Phi(X)$ ,  $H_2$  in  $\mathbb{P}^n$ ,  $H_2 \notin \Psi(X)$ .  
 (Assume all this).

Define  $h_\Phi(P) = h(\Phi(P))$ ,  $h_\Psi(P) = h(\Psi(P))$ .

Theorem:  $h_\Phi \equiv h_\Psi \pmod{O(1)}$ .

i.e.  $h_\Phi - h_\Psi$  is bounded as a function  $X(\bar{k}) \rightarrow \mathbb{R}$   
 $\bar{k} = \text{set of points on } X \text{ defined over } \bar{k}$ .

Proof: Say  $\Phi = (f_0, \dots, f_m)$ ,  $\Psi = (g_0, \dots, g_n)$  with  $f_i, g_j \in V = \{h \in k(x)^*: (h) + D \geq 0\}$ .

Define  $w = (f_0, \dots, f_m, g_0, \dots, g_n)$ .

Now it's enough to prove the theorem when  $\Phi = (f_0, \dots, f_n)$ ,  $\Psi = (f_0, \dots, f_n, g)$ ,  $g \in V$ .

$X$ , smooth and projective over  $K$ .  $[D]$ , divisor class.

Assume we have morphisms  $f: X \rightarrow \mathbb{P}_K^m$ ,  $g: X \rightarrow \mathbb{P}_K^n$ ,  $f, g$  both associated to  $[D]$ .  
 i.e., given a hyperplanes  $H \subset \mathbb{P}^m$  and  $H' \subset \mathbb{P}^n$ ,  $f^* = f^{-1}(X \cap f(X))$ , counted with  
 multiplicities, is linearly equivalent to  $g^* H'$ .

[Recall that if  $F(P) = (x_0(P), \dots, x_m(P))$ , then  $\frac{x_i}{x_j} \cdot F \in K(X)^*$  and  $(\frac{x_i}{x_j} \cdot F) + D \geq 0$ ,  
 where  $D \in [D]$ ]

Look at  $F$ : have  $f_0, \dots, f_m \in \{h \in K(X)^*: (h) + D \geq 0\}$  and  
 $F(P) = (f_0(P), \dots, f_m(P)) = (1, \frac{f_1}{f_0}(P), \dots, \frac{f_m}{f_0}(P))$ .

Look at  $g$ : have  $g_0, \dots, g_n \in \{\text{same}\}$ ,  $g$  defined similarly.

$h_g, h_\Phi: X(\bar{k}) \rightarrow \mathbb{R}$ ,  $h_\Phi(P) = h(F(P))$ .

Theorem (above):  $h_f - h_g$  is bounded.

Proof: We may assume  $F = (f_0, \dots, f_n)$ ,  $g = (f_0, \dots, f_n, g_0)$ . Important fact about  
 projective  $X$ :  $f(X), g(X)$  are subvarieties of  $\mathbb{P}_K$ , i.e., they are defined by  
 a bunch of vanishings of homogeneous polynomials.

$$\begin{array}{ccc} X & \xrightarrow{g} & \mathbb{P}_K^{n+1} \\ & \searrow & \downarrow \pi \\ & & \mathbb{P}_K^n \end{array}$$

$\pi = \text{projection from } (0, \dots, 0, 1) = Q$ . By assumption,  $f$  is a  
 morphism. So  $Q \notin g(X)$ , for if  $g(x) = Q$  then  $f$  would be  
 undefined at  $x$ .

We have homogeneous coordinates  $z_0, \dots, z_{n+1}$  on  $\mathbb{P}^{n+1}$ .  $\pi(z_0, \dots, z_{n+1}) = (z_0, \dots, z_n)$   
 $Q \notin g(X)$  means that some homogeneous polynomial vanishing on  $g(X)$   
 is of form  $z_{n+1}^D + a_{D-1} z_{n+1}^{D-1} + \dots + a_0$ , where  $a_{D-j} \in K[z_0, \dots, z_n]$ , homogeneous  
 of degree  $D-j$ .

Pick  $P \in X(\bar{k})$ . Then  $P \in X(L)$ , some finite  $L/K$ .

$x_j = z_j(P)$ ,  $x = z_{n+1}(P)$ . Have  $x^D + a_{D-1} x^{D-1} + \dots + a_0 = 0$ ,  $a_j = \sum_{m_i=D-j} \lambda_{j,m} x_0^{m_0} \dots x_n^{m_n}$

Examine places  $v$  of  $L$  separately.

$v = P$ , finite. Say  $v_P(x_i) = q_i \in \mathbb{Z}$ . ( $v_P(p) = 1$  for  $p \in \mathbb{Z}$ , prime).

$v_P(\lambda_{j,m}) = \alpha_{j,m}$ , independent of  $P$ .

So  $v_p(a_i) \geq \min_m (\alpha_{i,m} + m_1 q_1 + \dots + m_n q_n) \geq \min_m (\alpha_{i,m}) + (D-i) \min_k (q_k)$   
 From  $\otimes$   $v_p(x^D) \geq v_p(a_{D-j} x^{D-j})$ , some  $j$ , so  $D v_p(x) \geq v_p(a_{D-j}) + (D-j) v_p(x)$   
 $\text{So } j v_p(x) \geq v_p(a_{D-j}) \geq \min_m (\alpha_{i,m}) + (D-j) \min_k (q_k)$   
 $\text{So } v_p(x) \geq \min_k (q_k) + (\text{universal constant}).$

$$\text{So } \|x\|_p = (NP)^{-v_p(x)/[L:\mathbb{Q}]} \leq (NP)^{[-\max_k v_p(x_k) - \text{univ. cst.}]/[L:\mathbb{Q}]}$$

$$\leq \max_k \|x_k\|_p (NP)^{-(\text{univ. cst.})/[L:\mathbb{Q}]} \quad \text{--- (1)}$$

$$\text{Put } \max_k (NP)^{-\alpha_{j,m}} = C_p.$$

Now either  $\lambda_{j,m} = 0$  or there are only finitely many  $P$  with  $v_p(\lambda_{j,m}) \neq 0$  ( $v_p(0) = -\infty$ , so  $\|0\|_p = 0$ ). So for almost all  $P$ ,  $C_p = 1$  or 0.  
 But  $C_p = 0$  only if all  $\lambda_{j,m} = 0$ . This implies  $x = 0$ . If  $x = z_{n,i}(P) = 0$ , then  $h_F = h_g$  and there's nothing to do.

So have almost all  $C_p = 1$

$$\text{Also, } \max_{j \leq n+1} \|x_j\|_p \leq C_p \cdot \max_{j \leq n} \|x_j\|_p, \text{ by (1), for all } P.$$

Now take  $V = \mathbb{R}$ , infinite.

From  $\otimes$ ,  $\|x\|_p^D \leq \|D\|_p \cdot \max_j \|x_j\|_p \cdot \|x\|_p^j$ . The number of possible monomials  $T_n^{m_n}$  of degree  $D-j$  is  $\binom{n+D-j}{n} = \Delta_j$ , say.  
 $\text{So } \|x_j\|_p \leq \|\Delta_j\|_p \cdot \max_m (\|\lambda_{j,m}\|_p \cdot \|x_0\|_p^{m_0} \cdots \|x_n\|_p^{m_n})$ , where  $m_i = D-j$ .

$$\leq \|\Delta_j\|_p \cdot \max_m \|\lambda_{j,m}\|_p \cdot \max_{k \leq n} \|x_k\|_p^{D-j}$$

Choose  $j = j_0$  maximising  $\|x_j\|_p \cdot \|x\|_p^j$ .

$$\text{So } \|x\|_p^D \leq \|D\|_p \cdot \|\Delta_{j_0}\|_p \cdot \max_m \|\lambda_{j_0,m}\|_p \cdot \max_{k \leq n} \|x_k\|_p^{D-j_0} \cdot \|x\|_p^{j_0}$$

$$\text{So } \exists \text{ constant } C_0 \text{ such that } \|x\|_p^{D-j_0} \leq C_0 \cdot \max_{k \leq n} \|x_k\|_p^{D-j_0}, \text{ i.e. } \|x\|_p \leq C_0 \cdot \max_{k \leq n} \|x_k\|_p.$$

So  $\forall$  places, finite or infinite,  $\exists$  constant  $C_V$  such that

$$\|x\|_V \leq C_V \cdot \max_{k \leq n} \|x_k\|_p, \text{ and almost all } C_V = 1.$$

$$\text{So } \max_{k \leq n+1} \|x_k\|_V \leq C_V \cdot \max_{k \leq n} \|x_k\|_p. \text{ Put } C = \prod_V C_V. \text{ Then } \prod_V \max_{k \leq n+1} \|x_k\|_V \leq C \cdot \prod_V \max_{k \leq n} \|x_k\|_p.$$

$$\text{So } H(g(P)) \leq C \cdot H(f(P)). \text{ Obviously } H(f(P)) \leq H(g(P)).$$

$$\text{So } h(f(P)) \leq h(g(P)) \leq h(f(P)) + \log C.$$

So, given any divisor class  $[D]$  on  $X$  associated to a morphism  $X \rightarrow \mathbb{P}_k^n$  we have an equivalence class of height functions. Denote any such function by  $h_{[D]}$ . ( $h \sim h'$  if  $h-h'$  is bounded)

$h_{[D]}$  is bounded below. And if  $[D]$  is associated to an embedding  $X \hookrightarrow \mathbb{P}_k^n$  then  $\forall C$  and  $\forall d$ ,  $\{P \in X(\bar{k}) : h_{[D]}(P) \leq C \text{ & } P \text{ is defined over a field } L \text{ with } (L:k) \leq d\}$  is finite

(From Northcott's Theorem).

Last time we showed:  $X$  over  $K$  projective, given divisor class  $[D]$  in  $X$ , corresponding to at least one morphism  $\varphi: X \rightarrow \mathbb{P}^n$ , have  $h_{[D]}: X(\bar{K}) \rightarrow \mathbb{R}$ , defined up to addition of a bounded function, and bounded function. Moreover, if  $\varphi$  is finite (given that  $X$  is projective, this  $\Leftrightarrow$  inverse image of every point in  $\mathbb{P}^n(\bar{K})$  is finite, as a set), then by Northcott's Theorem,  $\forall c, d, \#\{p \in X(\bar{K}): h_{[D]}(p) \leq c \text{ & } p \text{ is defined over some } L \text{ with } [L:K] \leq d\}$  is finite.

Eg:  $X$  a curve,  $\varphi$  non-constant.  $\Rightarrow \varphi$  is finite, as every  $\varphi^{-1}(Q) \subseteq X$ .

So either  $\dim \varphi^{-1}(Q) = 1$ , in which case  $\varphi$  is constant, or  $\dim \varphi^{-1}(Q) = 0$ , when  $\varphi^{-1}(Q)$  is finite and non-empty. (or  $\dim \varphi^{-1}(Q) = -\infty$ , i.e.  $\varphi^{-1}(Q) = \emptyset$ ).

Given divisor classes  $[D], [E]$  on  $X$ , have  $[D+E]$ . (If  $D = \sum m_i A_i$ ,  $E = \sum n_j B_j$ , then  $D+E = \sum (m_i+n_j) A_i$ )

Lemma: If  $[D], [E]$  correspond to morphisms  $\varphi: X \rightarrow \mathbb{P}_K^m$ ,  $\psi: X \rightarrow \mathbb{P}_K^n$ , then  $[D+E]$  corresponds to a morphism  $w: X \rightarrow \mathbb{P}_K^{mn+m+n}$ , given as follows:  
 If  $\varphi(P) = (x_0, \dots, x_m)$ ,  $x_i = x_i(P)$  and  $\psi(P) = (y_0, \dots, y_n)$ ,  $y_j = y_j(P)$ , then  
 $w(P) = (x_0, y_0, \dots, x_m y_n)$

Proof: need to know if all  $x_i y_j = 0$  simultaneously at  $P \in X$ , then all  $x_i, y_j = 0$  at  $P$ .  
 This is obvious. By assumption that  $\varphi, \psi$  are morphisms, get contradiction.

Proposition:  $h_{[D+E]} = h_{[D]} + h_{[E]}$ .

Proof:  $H_{[D]}(P) = \prod_i \max \{ \|x_i(P)\|_v\}$ ,  $H_{[E]}(P) = \prod_j \max \{ \|y_j(P)\|_v\}$ . ( $h = \log H$ ).  
 So  $H_{[D]}(P) H_{[E]}(P) = \prod_{i,j} \max \{ \|x_i y_j(P)\|_v\}$  (as  $\max_i \max_j = \max_{i,j}$ )  $= H_{[D+E]}(P)$ .  
 Take logs.

Now suppose  $X (= E = C)$  is an elliptic curve over  $K$ . We shall need to compare  $h_{[\tau]}(P)$  and  $h_{[\tau]}(mP)$ . i.e.,  $h_{[\tau]}(P+Q)$  and  $h_{[\tau]}(P), h_{[\tau]}(Q)$

Recall: given a morphism  $f: V \rightarrow W$  of smooth projective varieties and a divisor class  $[D]$  on  $W$ , have  $f^*[D] = [f^*D]$  a divisor class on  $V$ : if  $D = \sum m_i A_i$  then  $f^*D = \sum n_i f^*A_i$  where  $f^*A_i = f^{-1}(A_i)$ , counted with multiplicities.  
 If  $V, W$  are curves,  $Q \in W$  say,  $f^{-1}(Q) = \{P_1, \dots, P_r\}$  as sets.  
 Choose a uniformizing parameter  $t$  in  $W$  at  $Q$  (i.e. generator of maximal ideal  $m_Q$  of DVR  $\mathcal{O}_{W,Q} = \{\varphi \in K(W): \varphi \text{ regular at } Q\}$ ,  $m_Q = \{\varphi \in \mathcal{O}_{W,Q}: \varphi(Q) = 0\}$ )  
 $f(P_i) = Q \Leftrightarrow \mathcal{O}_{W,Q} \subseteq \mathcal{O}_{V,P_i}$ ,  $m_Q \subseteq m_{P_i}$ . So  $t = \cup_{i=1}^r v_i \in \mathcal{O}_{V,P_i}$ ,  $v_i \in \mathcal{O}_{V,P_i}$ ,  $n_i \in \mathbb{N}$ .  
 $f^*(Q) = \sum n_i P_i$ , All  $n_i = 1 \Leftrightarrow f$  is unramified over  $Q$ .

Eg:  $f = [m]: E \rightarrow E$ . If  $[D] = 0$ , then  $f^*D =$  the  $m$ -torsion points.  $[m]$  is unramified everywhere so all multiplicities here are 1.

In general, we want to compare  $[m]^*[D]$  and  $[D]$ .

Basic tool is the "theorem of the cube": Consider  $E \times E \times E$ , ( $E$  elliptic curve over number field  $K$ ), with maps:  $s_{123}, s_{12}, s_{13}, s_{23}, s_1, s_2, s_3 : E \times E \times E \rightarrow E$ , by:

$$s_{123}(P, Q, R) = P + Q + R, \quad s_{12}(P, Q, R) = P, Q, \text{ etc...}$$

Then for every divisor class  $[D]$  on  $E$ ,  $s_{123}^*[D] + s_{12}^*[D] + s_{13}^*[D] + s_{23}^*[D] + s_1^*[D] + s_2^*[D] + s_3^*[D] = 0$

Proof: Invoke basic proposition in algebraic geometry: given a smooth projective variety  $Z$  over  $K$ , and two divisor classes  $[F], [G]$  in  $Z$ , have  $[F] \sim [G]$  over  $K \Leftrightarrow [F] \sim [G]$  over a field  $L \supseteq K$ .

i.e.,  $\exists \varphi \in K(x)$  with  $(\varphi) = F - G \Leftrightarrow \exists \psi \in L(x)$  with  $(\psi) = F - G$ .

So it is enough to prove theorem for  $E$  over  $\mathbb{C}$ .

Assume first that  $[D] = [P]$

$$\text{Consider } \varphi(z_1, z_2, z_3) = \frac{\sigma(z_1 + z_2 + z_3 - P) \sigma(z_1 - P) \sigma(z_2 - P) \sigma(z_3 - P)}{\sigma(z_1 + z_2 - P) \sigma(z_1 + z_3 - P) \sigma(z_2 + z_3 - P)}$$

$$E = \mathbb{C}/\Lambda, \Lambda = \mathbb{Z}\lambda_1 \oplus \mathbb{Z}\lambda_2, \sigma(z + \lambda_\alpha) = \exp(i\pi + \eta_\alpha(z - \frac{\lambda_\alpha}{2})) \sigma(z), (\eta_\alpha \in \mathbb{C})$$

$$\text{Check: } \varphi(z_1 + \lambda_\alpha, z_2, z_3) = \varphi(z_1, z_2 + \lambda_\alpha, z_3) = \varphi(z_1, z_2, z_3 + \lambda_\alpha) = \varphi(z_1, z_2, z_3)$$

So  $\varphi$  is a ratio of two holomorphic functions of  $z_1, z_2, z_3$ , and so is meromorphic and is invariant w.r.t.  $\lambda^3 = \Lambda \oplus \Lambda \oplus \Lambda \subseteq \mathbb{C} \oplus \mathbb{C} \oplus \mathbb{C} = \mathbb{C}^3$ .

So  $\varphi$  is meromorphic in  $\mathbb{C}^3/\Lambda^3 = E^3 \times E^3 \times E^3$ .

$$\text{Also, } (\varphi)_0 = s_{123}^* D + s_1^* D + s_2^* D + s_3^* D \text{ and } (\varphi)_{00} = s_{12}^* D + s_{23}^* D + s_{13}^* D$$

[Recall:  $\sigma$ , as function on  $\mathbb{C}$ , has zeroes only at points of  $\Lambda$ , simple zeroes]

Now, if  $[D] = \sum n_i [P_i]$ , cook up  $\varphi = \varphi_{P_i} \forall P_i$  and then take  $\prod_i \varphi_{P_i}^{n_i}$  to prove theorem.

Definition: Have  $[-1] : E \rightarrow E$ ,  $P \mapsto -P$ . A divisor class  $[D]$  on  $E$  is symmetric (respectively antisymmetric) if  $[-1]^* D \sim D$  (respectively  $[-1]^* D \sim -D$ ).

Eg: If  $[D] = [P] + [-P]$  (note:  $\deg D = 2$ ), then  $[D]$  is symmetric.

If  $[D] = [P] - [-P]$  then  $[D]$  is antisymmetric.

Proposition: If  $D$  is symmetric, then  $[m]^* D \sim m^2 D$

Proof: Induction on  $m$ . Obvious for  $m=1$ . So assume  $[n]^* D \sim n^2 D$ .

Have  $E \rightarrow E \times E \times E$ ,  $P \mapsto (nP, P, -P)$ .

$$\begin{matrix} & \downarrow s \\ + \dots & \searrow \end{matrix}$$

$$E \quad t_{123}(P) = nP, \quad t_{12}(P) = (n+1)P, \text{ etc.}$$

$$\text{So, } t_{123} = [n], \quad t_{12} = [n+1], \dots, t_{13} = [0], \quad t_1 = [n], \quad t_2 = [1] = \text{id}, \quad t_3 = [-1]$$

$$\text{So } [n]^* D + [n]^* D + 0 + [-1]^* D \sim [n+1]^* D + [n-1]^* D + 0.$$

Check  $[0]^* D \sim 0$  as divisors.

$E \xrightarrow{[0]} E$ ,  $[0]$  collapses  $E$  to  $\{0\}$ .

Say  $D = \sum n_p [P]$ ,  $n_0 P = 0$ . Then  $[0]^* D = \sum n_p ([0]^{-1} P)$ . But if  $P \neq 0$ , then  $[0]^{-1}(P) = \emptyset$ . So  $[0]^* D = 0 = \sum_p 0 [P]$ .

If some  $P = 0$ , rewrite  $D$  as  $D \sim F - G$ ,  $F = \sum m_Q Q$ ,  $G = \sum q_R R$ , no  $Q, R = 0$ .

(always possible). Then  $[0]^* D = [0]^* F - [0]^* G = 0$ .

More generally if  $\varPhi : X \rightarrow Y$  collapses  $X$  to a point then  $\varPhi^*(D) = 0$  for all divisor classes  $[D]$  on  $Y$ .

Argue by induction on  $n$ .  $[1]^* D = D$ , since  $[1]$  is identity.

Assume  $n \geq 1$  and  $[n]^* D = n^2 D$ . So  $2n^2 D + 2D = [n+1]^* D + [n-1]^2 D$ , and since  $2n^2 + 2 - (n-1)^2 = 2n^2 + 2 - n^2 + 2n - 1 = (n+1)^2$ , we are done.

Exercise: If  $[-1]^* D = -D$  then  $[n]^* D = nD$ . (Check  $nD + nD = [n+1]^* D + [n-1]^* D$ ).

Fix  $D = 2[0]$ . This is symmetric and corresponds to a morphism  $E \xrightarrow{\pi} \mathbb{P}^1$ , of degree 2. [If  $E$  is  $y^2 = f(x)$  in affine terms, then  $\pi(x, y) = x$ ].

Consider  $h = h_{[0]}$ , defined up to a bounded function. We know  $h$  has a finiteness property (Northcott), and that  $h_{[0]} + h_{[E]} = h_{[0+E]}$ . So  $h_{[n^2 D]} = n^2 h \quad \forall n \in \mathbb{Z}$ . But  $n^2 [D] = [n]^* D$ .

So  $n^2 h(P) = h_{[n^2 D]}(P) = h_{[n]^* D}(P) = h_0(nP)$ . [Recall that for a morphism  $\varphi: X \rightarrow Y$  and divisor class  $D$  in  $Y$ ,  $h_{\varphi^* D}(P) = h_D(\varphi(P))$ ].

i.e., for given  $n$ ,  $\exists$  constant  $c$  such that  $|h(nP) - n^2 h(P)| \leq c \quad \forall P \in E(\bar{R})$

So  $h$  is a quadratic function, but for a little "noise".

Get rid of noise: fix  $m \geq 2$ .

Proposition:  $\exists$  unique  $\tilde{h}: E(\bar{R}) \rightarrow \mathbb{R}$  such that (a)  $\tilde{h} - h$  is bounded.

$$(b) \tilde{h}(mP) = m^2 \tilde{h}(P) \quad \forall P \in E(\bar{R}).$$

Proof:  $\exists c$  with  $|h(mP) - m^2 h(P)| \leq c \quad \forall P$ .

$$\begin{aligned} \text{So } |h(m^n P) - m^2 h(m^{n-1} P)| &\leq c \quad \forall P, \forall n \geq 1. \text{ So } \left| \frac{1}{m^{2n}} h(m^n P) - \frac{1}{m^{2n-2}} h(m^{n-1} P) \right| < c/m^{2n}. \\ \text{So } \left| \frac{1}{m^{2n}} h(m^n P) - \frac{1}{m^{2(n-r)}} h(m^{n-r} P) \right| &< c \left( \frac{1}{m^{2n}} + \dots + \frac{1}{m^{2(n-r+1)}} \right) \\ &< \frac{c}{m^{2(n-r+1)}} \cdot \sum_{s \geq 0} m^{-2s} < \frac{c}{m^{2(n-r+1)}} \cdot \frac{1}{1-m^{-2}}. \end{aligned}$$

So the sequence  $\left( \frac{1}{m^{2n}} h(m^n P) \right)_{n \geq 0}$  is a Cauchy sequence, so it is convergent - call its limit  $\tilde{h}(P)$ . This defines  $\tilde{h}: E(\bar{R}) \rightarrow \mathbb{R}$ .

Now  $\left| \frac{1}{m^{2n}} h(m^n P) - h(P) \right| \leq c \sum_{s \geq 0} m^{-2s} = \frac{c}{1-m^{-2}}$ . So  $\tilde{h}(P) - h(P)$  is bounded, independently of  $P$ .

So (a) holds.

$$\text{For (b): } \tilde{h}(mP) = \lim_{n \rightarrow \infty} \frac{1}{m^{2n}} \tilde{h}(m^{n+1} P) = m^2 \lim_{n \rightarrow \infty} \frac{1}{m^{2(n+1)}} \tilde{h}(m^{n+1} P) = m^2 \tilde{h}(P)$$

So (b) holds.

Uniqueness: suppose have  $h': E(\bar{R}) \rightarrow \mathbb{R}$ .  $h' - h$  is bounded and  $h'(mP) = m^2 h'(P)$ . Then  $h' - \tilde{h}$  is bounded and  $h'(m^n P) - \tilde{h}(m^n P) = m^{2n} (h'(P) - \tilde{h}(P))$ .

If  $h' - \tilde{h} \neq 0$ , get contradiction to boundedness by  $n \rightarrow \infty$ .

Define  $B: E(\bar{R}) \times E(\bar{R}) \rightarrow \mathbb{R}$ , by  $B(P, Q) = \frac{1}{2} [\tilde{h}(P-Q) - \tilde{h}(P) - \tilde{h}(Q)]$ .

Aim: prove  $B$  is  $\mathbb{Z}$ -bilinear, wrt structure of  $E(\bar{R})$  as an abelian group.

Back to theorem of cube.  $S_{123}^* D + S_{12}^* D + \dots = S_{12}^* D + \dots$ .

So  $h_{S_{123}^* D} + h_{S_{12}^* D} + \dots = h_{S_{12}^* D} + \dots$  modulo  $O(1)$ . But  $h_{S_{123}^* D}(P, Q, R) = b_D(P+Q+R)$ , etc..

So  $h(P+Q+R) + h(P) + h(Q) + h(R) = h(P+Q) + h(P+R) + h(Q+R)$ , independent of  $P, Q, R \in E(\bar{R})$ .

$$\text{So } \tilde{h}(P+Q+R) + \tilde{h}(P) + \tilde{h}(Q) + \tilde{h}(R) = \tilde{h}(P+Q) + \tilde{h}(P+R) + \tilde{h}(Q+R).$$

$D$  is symmetric,  $[E]^\ast D = D$ . So  $h(-x) = h(x) + O(1)$ , so  $\tilde{h}(-x) = \tilde{h}(x)$ .

$R = -Q$ :  $2\tilde{h}(P) + 2\tilde{h}(Q) = \tilde{h}(P-Q) + \tilde{h}(P+Q) \Rightarrow B$  is bilinear.

Recall: we fixed  $m \geq 2$ ,  $h = h_{[D]}$ ,  $D$  associated to a morphism,  $D$  symmetric.

Eg:  $D = 2[\phi]$ . (This  $D$  is associated to  $E \xrightarrow{\pi} P'$ ,  $\deg \pi = 2$ . Fibres of  $P$  are  $\{P\} \cup \{-P\}$ ).

Then defined  $\tilde{h}$ , normalised height, by  $\tilde{h}(P) = \lim_{n \rightarrow \infty} \frac{1}{m^{2n}} h(m^n P)$ .

We proved that  $\tilde{h} = h + O(1)$ ,  $\tilde{h}(mP) = m^2 \tilde{h}(P)$ .

Proposition:  $\tilde{h}: E(\bar{K}) \rightarrow \mathbb{R}$  is a quadratic form.

Proof: We need to show that  $B(P, Q) = \frac{1}{2} (\tilde{h}(P+Q) - \tilde{h}(P) - \tilde{h}(Q))$  is bilinear wrt structure of  $E(\bar{K})$  as a  $\mathbb{Z}$ -module.

Cube:  $S_{123}^* D + S_1^* D + S_2^* D + S_3^* D \sim S_{12}^* D + S_{13}^* D + S_{23}^* D$ .  $S_I: E \times E \times E \rightarrow \mathbb{R}$ .

So  $h_{S_{123}^* D} + h_{S_1^* D} + \dots \sim h_{S_{12}^* D} + \dots + O(1)$ . As usual,  $h_{f \times D}(P) = h_D(f(P))$ .

So  $h(P+Q+R) + h(P) + h(Q) + h(R) \sim h(P+Q) + h(Q+R) + h(P+R) + O(1)$ , for  $P, Q, R \in E(\bar{K})$ .

$\tilde{h}(P+Q+R) = \lim_{n \rightarrow \infty} \frac{1}{m^{2n}} h(m^n P + m^n Q + m^n R)$ , etc. So multiply  $P, Q, R$  by  $m^n$ , divide equation by  $m^{2n}$  and let  $n \rightarrow \infty$ .

$$\text{Get } \tilde{h}(P+Q+R) + \tilde{h}(P) + \tilde{h}(Q) + \tilde{h}(R) = \tilde{h}(P+Q) + \tilde{h}(P+R) + \tilde{h}(Q+R).$$

$$\begin{aligned} \text{Then, } 2B(P+R, Q) &= \tilde{h}(P+Q+R) - \tilde{h}(P+R) - \tilde{h}(Q) \\ &= \tilde{h}(P+Q) + \tilde{h}(P+R) + \tilde{h}(Q+R) - \tilde{h}(P) - \tilde{h}(Q) - \tilde{h}(R) - \tilde{h}(Q) \\ &= (\tilde{h}(P+Q) - \tilde{h}(P) - \tilde{h}(Q)) + (\tilde{h}(Q+R) - \tilde{h}(Q) - \tilde{h}(R)) = 2B(P, Q) + 2B(R, Q). \end{aligned}$$

Lemma:  $\tilde{h} \geq 0$ .

Proof:  $h$  is bounded below, so  $\tilde{h}$  is bounded below. If  $\tilde{h}(P) < 0$ , then  $\tilde{h}(m^n P) \rightarrow -\infty$  as  $n \rightarrow \infty$ .

Theorem: For  $P \in E(\bar{K})$ ,  $\tilde{h}(P) = 0 \Leftrightarrow P$  is a torsion point.

Proof: Recall that  $\tilde{h}$  satisfies the conclusions of Northcott's Theorem. Given  $c, d \in \mathbb{N}$ ,  $\{P \in E(\bar{K}): \tilde{h}(P) \leq c, P \text{ defined over } L/K, [L:K] \leq d\}$  is finite.

Say  $\tilde{h}(P) = 0$ , say  $P$  defined over  $L$ . Then  $m^n P \in E(L) \forall n$ , and  $\tilde{h}(m^n P) = 0 \forall n$ .

So the set  $\{m^n P: n \in \mathbb{N}\}$  is finite. So  $m^n P = m^r P$ , some  $n > r$ . So  $P$  is torsion.

Conversely,  $P$  torsion  $\Rightarrow m^n P = m^r P$  some  $n > r$ .

$$\text{Then } m^{2n} \tilde{h}(P) = \tilde{h}(m^n P) = \tilde{h}(m^r P) = m^{2r} \tilde{h}(P), \text{ so } \tilde{h}(P) = 0.$$

Theorem: Given  $d \in \mathbb{N}$ , the set  $\{P \in E(\bar{K}): P \text{ defined over some } L/K, [L:K] \leq d, P \text{ a torsion point}\}$  is finite. In particular,  $\text{Tors}(E(\bar{K})) = \{\text{torsion points}\}$  is finite.

Proof:  $\text{Tors}(E(\bar{K})) = \{P \in E(\bar{K}): \tilde{h}(P) = 0\}$ . Then apply Northcott.

Theorem (Mordell-Weil):  $E(K)$  is a finitely generated Abelian group.

Proof: We know that  $\text{Tors} E(K)$  is finite. Put  $\Gamma = E(K)/\text{Tors} E(K)$ . Enough to show  $\Gamma$  is f.g.

$E(H) \subseteq E(L)$ , for  $L/K$ , so we can make any finite extension of  $K$  that we like.

Fix  $m=2$ : extend  $K$  if necessary so that all 2-torsion points of  $E$  are defined over  $K$ . We use: Weak Mordell-Weil:  $\Gamma/\mathbb{Z}_2$  is f.g.

Notice that  $\tilde{h}$  defines a function  $\tilde{h}: \Gamma \rightarrow \mathbb{R}$  and  $\tilde{h}$  is a positive definite quadratic form on  $\Gamma$ . Choose  $\gamma_1, \dots, \gamma_r \in \Gamma$  representing the elements of  $\Gamma/2\Gamma$ . Put  $c = \max \tilde{h}(\gamma_i)$ . Put  $\Sigma = \{x \in \Gamma : \tilde{h}(x) \leq c\}$ . We know that  $\Sigma$  is finite.

Claim:  $\Sigma$  generates  $\Gamma$  as an Abelian group.

Suppose this is false, i.e.  $\exists x \in \Gamma$  not in group generated by  $\Sigma$ , such that  $\tilde{h}(x)$  is minimal. Can write  $x = 2y + \gamma_i$ , some  $\gamma_i$ . Then  $x = 2z - \gamma_i$ . ( $y, z \in \Gamma$ ).

Then  $\tilde{h}(x - \gamma_i) = 4\tilde{h}(y)$ ,  $\tilde{h}(x + \gamma_i) = 4\tilde{h}(z)$ .

$\tilde{h}(x) = B(x, x)$ , so  $\tilde{h}(x - \gamma_i) = \tilde{h}(x) + \tilde{h}(\gamma_i) - 2B(x, \gamma_i)$ ,

$$\tilde{h}(x + \gamma_i) = \tilde{h}(x) + \tilde{h}(\gamma_i) + 2B(x, \gamma_i).$$

So  $\tilde{h}(x) + \tilde{h}(\gamma_i) = 2\tilde{h}(y) = 2\tilde{h}(z)$ .  $\tilde{h} \geq 0$ . So either  $\tilde{h}(y) < \tilde{h}(x)$  or  $\tilde{h}(z) < \tilde{h}(x)$ , else  $\tilde{h}(\gamma_i) > 3\tilde{h}(x) > 3c$ .

$\tilde{h}(x)$  is minimal, so  $y$  or  $z$  is in subgroup generated by  $\Sigma$ , so  $x$  is too.

In general, it is difficult to compute the Mordell-Weil group, due to difficulties of computing the rank. Finding the rank of  $E/K$  is very difficult. But finding  $\text{Tors } E(K)$  is much easier.

Reason: suppose  $P$  is a prime ideal of  $\mathcal{O}_K$  such that  $E \bmod P$  is smooth, i.e. is an elliptic curve over  $K(P) = \mathcal{O}_K/P = \mathbb{F}_q$ , say,  $q = p^r = NP$ . Then the part of  $\text{Tors } E(K)$  of order prime to  $P$  surjects into  $E(K(P))$ .

We proved earlier that if  $p \nmid m$  then  $E[m]$ , the  $m$ -torsion points of  $E$  is of degree  $m^2$  over  $S = \text{Spec } \mathcal{O}_K - (\text{bad primes}) - (\text{primes dividing } m)$ , and is unramified over  $S$ . So  $E(K)[m] \subseteq E[m]$ .  $E[m]$  intersects  $(E \bmod P)$ , the fibre over  $P$ , in  $m^2$  distinct points defined over some  $\mathbb{F}_{q^s}$ . So  $E(K)[m]$  intersects  $E \bmod p$  in distinct points as required.

$$\begin{array}{ccc} E \bmod p & \xrightarrow{\quad \quad} & E[m] \\ \cancel{\quad \quad \quad \quad \quad} & & \\ & \cancel{\quad \quad \quad \quad \quad} & S \\ & P & \end{array}$$

Elliptic Curves over  $\mathbb{F}_q$  ( $q = p^r$ ).

Have  $E \hookrightarrow \mathbb{P}_{\mathbb{F}_q}^2$ ,  $E$  given by a homogeneous cubic  $F=0$ .  $E$  is smooth (i.e.,  $F, \frac{\partial F}{\partial x}, \dots$  never vanish simultaneously), and have  $O \in E$ ,  $O$  defined over  $\mathbb{F}_q$ . Then have group law on  $E$ ,  $O$  as origin.

Proposition: For any number field  $K$  and a prime ideal  $P$  of  $K$  such that  $\mathcal{O}_{K/P} \cong \mathbb{F}_q^\times$ ,  $\exists$  an elliptic curve  $\tilde{E}_K$  defined over  $K$  and a ring  $S$ ,  $\mathcal{O}_K \leq S \leq K$ , such that  $P \notin \text{Spec } S = \text{Spec } \mathcal{O}_K - (\text{finite set})$ , and an elliptic curve  $\tilde{E} \hookrightarrow \mathbb{P}_S^2$ , such that  $(\tilde{E})_K = \tilde{E}_K$  (i.e., what I get from  $\tilde{E}$  by extending  $\mathbb{F}_q \xrightarrow{\quad \quad \downarrow \text{Spec } S \quad \quad} S$ ).  $S \hookrightarrow K$  is just  $\tilde{E}_K$ ) and  $\tilde{E} \bmod P$  is  $E$ , i.e.  $E$  arises by reduction mod  $P$  from some elliptic curve  $E$  defined over some number field  $K$ .

Proof: We can choose homogeneous coordinates  $(X, Y, Z)$  in  $\mathbb{P}_{\mathbb{F}_q}^2$  such that  $O = (0, 1, 0)$ .

So  $Y^3$  has coefficient zero in the polynomial  $F$ . Now choose  $K$  and  $P$  such that  $\mathcal{O}_{K/P} \cong \mathbb{F}_q$ . Then choose  $\tilde{F} \in \mathcal{O}_K[X, Y, Z]$  such that  $Y^3$  does not appear in  $F$  and  $\tilde{F} \pmod{P} = F$ .

Consider the equations  $\tilde{F} = \frac{\partial \tilde{F}}{\partial X} = \frac{\partial \tilde{F}}{\partial Y} = \frac{\partial \tilde{F}}{\partial Z} = 0$  (\*). By assumption, these are insoluble modulo  $P$  even after enlarging  $\mathbb{F}_q \hookrightarrow \mathbb{F}_{q^n}$ , so those equations are insoluble in  $\bar{K}$ . (else  $\exists$  simultaneous solution in  $\mathcal{O}_L$ , some  $L \supset K$ , then there would be a solution in  $\mathcal{O}_L/Q$ ,  $Q$  a prime,  $Q \nmid P$ , #).

So  $\tilde{F} = 0$  is an elliptic curve  $\tilde{E}_K$ , origin  $(0, 1, 0)$ . To get  $\text{Spec } S$ , take  $\text{Spec } \mathcal{O}_K$  and delete the prime ideals modulo which (\*) has a solution. (This is a finite set). Now  $\tilde{F} = 0$  defines:  $\tilde{E} \hookrightarrow \mathbb{P}_S^2$

$$\downarrow_S$$

For any  $Q \in \text{Spec } S$ , we get an elliptic curve  $\tilde{E}_{K(Q)}$  over  $S/Q$  by reducing  $\tilde{F}$  modulo  $Q$ . As before, the group laws on the curves  $\tilde{E}_{K(Q)}$  "fit together".

Recall corollary: given  $m \in \mathbb{N}$  the morphism  $[m]: E \rightarrow E$  is surjective, finite and of degree  $m^2$  (already proved).

Question: How many points defined over  $\mathbb{F}_q$  does  $E$  have? Depends on  $E$ , but:

Theorem (Hasse):  $|\#E(\mathbb{F}_q) - (q+1)| \leq 2\sqrt{q}$ .

Proof uses isogenies.  $K$  a field,  $E_1, E_2$  elliptic curves defined over  $K$ . Then, an isogeny from  $E_1$  to  $E_2$  is a non-constant morphism  $\varphi: E_1 \rightarrow E_2$  of algebraic varieties that is also a homomorphism w.r.t. the group laws of  $E_1, E_2$  (over  $K$ ).

Examples:  $[m]: E \rightarrow E$  is an isogeny.  $\{\text{isogenies } E_i \rightarrow E_j\} \cup \{0\}$ , ( $0$  collapsing  $E_i$  to  $0 \in E_j$ ), is an abelian group, denoted  $\text{Hom}_H(E_i, E_j)$ .

An endomorphism of  $E$  is an isogeny  $E \rightarrow E$ .  $X, Y$  projective curves,  $f: X \rightarrow Y$  a morphism. Then  $\deg f \in \mathbb{N}$ , or  $= 0$  if  $f$  is constant.

Immediate aim: prove that  $\deg: \text{Hom}(E_1, E_2) \rightarrow \mathbb{Z}$  is a positive definite quadratic form

For this we need the theorem of the cube for  $E/\mathbb{F}_q$ :

Proof of cube theorem: fix a divisor class  $[D]$  on  $E$ .  $[D] = \sum_{i=1}^n [P_i]$ , each  $[P_i]$  defined over some  $\mathbb{F}_{q^{m_i}} \supseteq \mathbb{F}_q$ . For each  $P_i \exists L \supseteq K$  such that  $P_i$  lifts to a point  $\tilde{P}_i$  on  $\tilde{E}$  defined over  $L$ . So we can lift  $[D]$  to  $[\tilde{D}]$ , a divisor class on  $\tilde{E}$ , maybe after enlarging  $K$ , and also maybe enlarging  $\mathbb{F}_q$ . For  $I \subseteq \{1, 2, 3\}$ ,  $I \neq \emptyset$ , have:

$s_I: \tilde{E} \times \tilde{E} \times \tilde{E} \rightarrow \tilde{E}$  ( $s_I$  gives back the appropriate sum morphism on  $\tilde{E}_K$  and on each  $\tilde{E}_{K(Q)}$ ). Put  $[\tilde{A}] = s_{123}^*[\tilde{D}] + s_{12}^*[\tilde{D}] + s_2^*[\tilde{D}] + s_3^*[\tilde{D}] - s_{12}^*[\tilde{D}] - s_{13}^*[\tilde{D}] - s_{23}^*[\tilde{D}]$ .

Next,  $[\tilde{A}]$  is trivial modulo  $P$ . Cube in char  $\mathbb{F}_q \Rightarrow [\tilde{A}] = 0$  on  $\tilde{E}_K$ .

Reduce mod  $P$  to get  $[A] = 0$  where  $[A] = s_{123}^*[D] + \dots$ . As before have general statement: if  $X$  is a smooth projective variety and  $[A]$  a divisor class on  $X$ , then  $[A] = 0$  over  $K$ , if  $[A] = 0$  over  $\bar{K}$ . So done for  $\mathbb{F}_q$ .

Theorem:  $\deg: \text{Hom}(E_1, E_2) \rightarrow \mathbb{Z}$  is a quadratic form.

Proof: Given  $\alpha: E_1 \rightarrow E_2$ , have  $\alpha^*: \{\text{divisor classes on } E_1\} \rightarrow \{\text{divisor classes on } E_2\}$ .

Then  $(\deg \alpha) \cdot \deg [D] = \deg [\alpha^* D]$  for  $D$  in  $E_2$ .

Given  $\alpha, \beta: E_1 \rightarrow E_2$ , define  $\langle \alpha, \beta \rangle = (\alpha + \beta)^* - \alpha^* - \beta^*$ . Have  $E_1 \xrightarrow{(\alpha, \beta)^*} E_2 \times E_2 \times E_2$ .

So (by cube),  $(\alpha + \beta + \gamma)^* + \alpha^* + \beta^* + \gamma^* - (\alpha + \beta)^* - (\alpha + \gamma)^* - (\beta + \gamma)^* = 0$

Follows formally that  $\langle \alpha, \beta + \gamma \rangle = \langle \alpha, \beta \rangle + \langle \alpha, \gamma \rangle$ . So  $\langle , \rangle$  is bilinear.

$E_1, E_2$  over  $K$ . Isogenies  $\alpha, \beta, \gamma: E_1 \rightarrow E_2$ . Define  $\langle \alpha, \beta \rangle = (\alpha + \beta)^* - \alpha^* - \beta^*$ , where  $\alpha^*: \{\text{divisor classes on } E_2\} \rightarrow \{\text{divisor classes on } E_1\}$ .

We proved (via cube), that  $\langle \alpha, \beta + \gamma \rangle = \langle \alpha, \beta \rangle + \langle \alpha, \gamma \rangle$ .

Define  $\deg(\alpha^*)$  by  $\deg[\alpha^* D] = \deg \alpha^* \deg[D]$ .

i.e., over  $\bar{K}$ , if  $D = \sum n_p [P]$ , then  $\alpha^* D = \sum n_p [\alpha^* P]$  ( $\alpha^* P = \alpha^{-1}(P)$ , counted with multiplicities)  
 $= \sum n_p (\deg \alpha) = \deg \alpha \cdot \deg D$ . So  $\deg \alpha^* = \deg \alpha$ .

Theorem:  $\deg: \text{Hom}(E_1, E_2) \rightarrow \mathbb{Z}$  is a positive definite quadratic form.

Proof: Note that  $\deg \alpha + \deg \beta = \deg \alpha^* + \deg \beta^*$ .

[Take  $D = \sum n_p [P]$  on  $E_2$ , so  $\alpha^* D = \sum n_p \alpha^*[P]$ ,  $\beta^* D = \sum n_p \beta^*[P]$ .

By definition,  $(\alpha^* + \beta^*) D = \alpha^* D + \beta^* D$ , so  $\deg(\alpha^* + \beta^*) = \deg \alpha^* + \deg \beta^*$ .]

Now,  $\langle \varphi, \psi \rangle = (\varphi + \psi)^* - \varphi^* - \psi^*$ .

So  $\deg \langle \varphi, \psi \rangle = \deg(\varphi + \psi) - \deg \varphi - \deg \psi$ .

Know  $\langle \varphi, \psi + \chi \rangle = \langle \varphi, \psi \rangle + \langle \varphi, \chi \rangle$ , so  $\deg \langle \varphi, \psi + \chi \rangle = \deg \langle \varphi, \psi \rangle + \deg \langle \varphi, \chi \rangle$ .

On the other hand,  $\langle \varphi, \varphi \rangle = (2\varphi)^* - 2\varphi^*$ . So  $\deg \langle \varphi, \varphi \rangle = \deg(2\varphi)^* - 2\deg \varphi^*$ .

$2\varphi = [2] \circ \varphi$ , so  $\deg 2\varphi = \deg[2] \cdot \deg \varphi = 4 \deg \varphi$ . [If  $X \xrightarrow{f} Y \xrightarrow{g} Z$  are morphisms of curves, then  $\deg(gf) = \deg f \cdot \deg g$ . cf: Tower Law, Galois Theory].

So  $\deg \langle \varphi, \varphi \rangle = 2 \deg \varphi$ .

So  $\deg$  is the quadratic form associated to the bilinear form,  $\frac{1}{2} \deg \langle \varphi, \psi \rangle$ .

To get positive definite, just note that any non-constant morphism has  $\deg > 0$ .

Apply to counting points where  $K = \mathbb{F}_q$ .

Frobenius: If  $X$  is any variety defined over  $K = \mathbb{F}_q$ , then there is a morphism  $F: X \rightarrow X$  defined over  $K$  as follows: Say  $X$  is projective,  $X \hookrightarrow \mathbb{P}_K^n$ .

Then we have  $\begin{array}{ccc} X & \xrightarrow{F} & X \\ \downarrow f & \downarrow F & \downarrow \\ \mathbb{P}_K^n & \xrightarrow{F} & \mathbb{P}_K^n \end{array} \quad F(x_0, \dots, x_n) = (x_0^q, \dots, x_n^q)$ . — (\*)

More intrinsically, say  $X = \coprod U_i$ ,  $U_i$  affine. Then  $U_i$  has an intrinsic coordinate ring  $A_i$ , a  $K$ -algebra. ( $U_i = \text{Spec } A_i$ ).

Defining  $F: U_i \rightarrow U_i$  is same as defining  $A_i \xleftarrow{F} A_i$  — a homomorphism of  $\mathbb{F}_q \xleftrightarrow{F} F$   $K$ -algebras,

and so corresponds to  $F_{U_i}: U_i \rightarrow U_i$ .

These  $F_{U_i}$  glue together to give  $F: X \rightarrow X$ .

Given  $P \in X(\bar{K})$  we have  $F(P) = P \Leftrightarrow P \in X(K)$ .

Proof: Look at  $(*)$ . So counting  $K$  points = counting fixed points under  $F$   
 $= (-1)^i \operatorname{Tr} F|_{H^i(X, \mathbb{Q}_l)}$  - Lefschetz Fixed Point Theorem.

Grothendieck and Artin constructed  $H^i(X, \mathbb{Q}_l)$ .  $\mathbb{Q}_l$  is characteristic  $\mathcal{O}$ , the  $l$ -adic numbers.  
 Proved  $\exists$  a Lefschetz fixed point formula

Deligne:  $F$  acts on  $H^i(X, \mathbb{Q}_l)$  such that all eigenvalues ( $X$  smooth, projective)  
 are algebraic numbers all of whose complex embeddings have absolute value  
 $q^{i/2}$ . (analogue of Riemann Hypothesis).

$X$  an elliptic curve over  $K = \mathbb{F}_q$ .  $X$  can be lifted to char 0.



$$H^0(X_C, \mathbb{Q}_l) \cong \mathbb{Q}_l.$$

$$H^1 \cong \mathbb{Q}_l^2$$

$$H^2 \cong \mathbb{Q}_l.$$

We shall construct directly an analogue of  $H^i$  (in fact,  $(H^i)^\vee \cong H_i$ ) in  $X/\mathbb{F}_q$ ,  
 using torsion points.

Postpone this and return to estimating  $\#E(\mathbb{F}_q)$ . Have  $F: E \rightarrow E$ .

Theorem:  $F$  is an isogeny.

Proof:  $0 \in E(K)$ , so  $F(0) = 0$ . We shall prove (later) that any  $\Phi: E_1 \rightarrow E_2$  with  
 $\Phi(0) = 0$  is an isogeny.

Note that  $F(P) = P \Leftrightarrow P \in \ker(I-F)$ . Shall prove later that  $I-F$  is separable.

Then,  $\#\ker(I-F) = \deg(I-F)$ .

$(I-F): E \rightarrow E$ . The points in  $E(\bar{K})$  that lie in  $\ker(I-F)$  are precisely the  $K$ -points on  $E$ .

By Riemann-Hurwitz, any non-constant separable morphism  $E_1 \xrightarrow{F} E_2$  of elliptic curves is everywhere unramified.

So  $\forall P \in E_2(\bar{K})$ ,  $\#\{Q \in E_1(\bar{K}): f(P) = Q\} = \deg F$ .

Claim:  $\deg F = q^{\dim E} = q$ .

Proof: Look at  $F: K(E) \rightarrow K(E)$ . Trace  $\deg I$  over a perfect field.  
 $f \mapsto f^q$  Field Theory  $\Rightarrow \deg F = q$ .

$\deg$  is a positive definite quadratic form.  $|\deg(\alpha + \beta) - \deg \alpha - \deg \beta| \leq 2\sqrt{\deg \alpha \deg \beta}$ .

$$\text{So } |\deg(I-F) - \deg I - \deg F| \leq 2\sqrt{q}.$$

$$\text{So } |\#E(K) - (q+1)| \leq 2\sqrt{q} \quad [\text{Hasse's Theorem}].$$

Fix prime  $l \neq \operatorname{char} K$ ,  $K$  any field. Know  $\forall n, [l^n]: E \rightarrow E$  has degree  $l^{2n}$ .

So  $[l^n]$  separable gives  $p \nmid \deg[l^n]$ . So  $[l^n]$  is everywhere unramified.

Look at  $E(\bar{K})[l^n] = \ker[l^n]$  in  $E(\bar{K})$ .

We see  $E(\bar{K})[L^n] = \mathbb{Z}/L^n\mathbb{Z} \oplus \mathbb{Z}/L^n\mathbb{Z}$  (decomposition not canonical), by induction on  $n$ , or by structure theorem for  $\mathbb{F}_q$ -abelian groups.

Have  $E(\bar{K})[L^{n+1}] \xrightarrow{[L]} E(\bar{K})[L^n]$ . Must consider them  $\mathbb{A}^n$  simultaneously.

Lemma: Suppose  $\Phi: E_1 \rightarrow E_2$  is a morphism of algebraic varieties,  $E_1, E_2$  elliptic curves over  $K$ , with  $\Phi(O) = O$ . Then  $\Phi$  is an isogeny.

Proof: Recall: If  $X$  is any smooth projective curve, then  $\text{Pic}^\circ(X) = \text{group of divisor classes on } X \text{ of degree } 0$ .

For  $X$  an elliptic curve, have  $X \xrightarrow{i} \text{Pic}^\circ X$

$$P \mapsto [P] - [O].$$

$i$  is an isomorphism, so giving  $\text{Pic}^\circ X$  the structure of an algebraic variety, and  $X$  the structure of a commutative group.

$$[P+Q] - [O] \sim [P] - [O] + [Q] - [O], \text{ i.e., } [P+Q] + [O] \sim [P] + [Q].$$

$\Phi$  induces  $\Phi_*: \text{Pic}^\circ E_1 \rightarrow \text{Pic}^\circ E_2$ ,  $\Phi_*(\mathbb{Z} n_p[P]) = \mathbb{Z} n_p[\Phi(P)]$ , a homomorphism of commutative groups. So get:

$$\begin{array}{ccc} E_1 & \xrightarrow{\Phi} & E_2 \\ i_1 \cong & & \downarrow i_2 \cong \\ \text{Pic}^\circ E_1 & \xrightarrow{\Phi_*} & \text{Pic}^\circ E_2 \end{array}$$

$$\Phi_* i_1(P) = [\Phi(P)] - [\Phi(O)] = [\Phi(P)] - [O] = i_2 \Phi(P), \text{ so diagram is commutative.}$$

$i_1, i_2$  are isomorphisms of commutative groups, and  $\Phi_*$  is a homomorphism, so  $\Phi$  is also a homomorphism.

Used this lemma when  $\Phi = \text{Frobenius map}$ ,  $F: E_1 \rightarrow E_2$  over  $K = \mathbb{F}_q$ .

Check  $F(O) = O$ : well,  $F(P) = P \Leftrightarrow P \in E(\mathbb{F}_q)$ , and  $O \in E(\mathbb{F}_q)$  by definition of an elliptic curve.

Proposition: if  $\Phi, \Psi: E_1 \rightarrow E_2$  are isogenies and  $w$  is a global 1-form in  $E_2$ , then  $(\Phi + \Psi)^* w = \Phi^* + \Psi^* w$ .

$E$  is given in affine coordinates by  $y^2 = f(x)$ , (at least if  $\text{char } K \neq 2, 3$ ).

Then  $w = \frac{dx}{y}$ : this has no poles, so is global, and no zeroes.

Even if  $\text{char } K = 2$  or  $3$ ,  $w$  still exists. For any  $K$ ,  $w$  is unique up to scalars.

What about  $\Phi^* w$ ? Suppose  $f: Y \rightarrow X$  is a morphism of smooth varieties over  $K$ . If  $w$  is an  $r$ -form on  $Y$ , then  $\exists$  natural  $r$ -form  $f^* w$  in  $X$ . In particular,  $f^*: \{1\text{-forms on } Y\} \rightarrow \{1\text{-forms on } X\}$ . This is dual to the derivative map  $f_* = df: T_x \rightarrow f^* T_y$ . Eg, if  $w = d\eta$ ,  $\eta$  a function on  $Y$ , then  $f^* = d(\eta \circ f)$ .

Proof of proposition: have  $w(O)$ , a cotangent vector at the origin  $O \in E_2$ , and  $(f^* w)(O)$  a cotangent vector at  $O \in E_1$ . Dually, consider  $\Phi_*, \Psi_*: T_O E_1 \rightarrow T_O E_2$ . There are lie algebras, although very boring ( $1$ -dimensional, so  $[ , ] = 0$ ).

$G, H$  algebraic groups over  $K$ ,  $\Phi, \Psi: G \rightarrow H$ , morphisms of algebraic varieties taking  $e_G \mapsto e_H$ . Define  $\Phi \cdot \Psi: G \rightarrow H$ ;  $g \mapsto \Phi(g)\Psi(g)$ . [ $\circ = \oplus$  for elliptic curve].

Compute  $(\varphi \cdot \psi)_*: T_e G \rightarrow T_{eH}$  in terms of  $\varphi_*$  and  $\psi_*$  as follows.  
Suppose  $\gamma \in T_e G$ . This is  $\Leftrightarrow e + \varepsilon \gamma \in G (K[\varepsilon])$

$$\begin{array}{ccc} \text{Diagram showing } e \text{ and } \gamma \text{ in } G & \longleftrightarrow & \text{Diagram showing } e \text{ and } g(\gamma) \text{ in } H \\ \text{with } \gamma \text{ tangent to } e & & \text{with } g(\gamma) \text{ tangent to } e_H \end{array} \quad g|_{t=0} = e, \frac{dg}{dt}|_{t=0} = \gamma.$$

$$\begin{aligned} \varphi_* \psi(g) &= \varphi(e_H + \varepsilon \gamma) \cdot \psi(e_H + \varepsilon \gamma) = (\varphi_H + \varepsilon \varphi_*(\gamma)) (\psi_H + \varepsilon \cdot \psi_*(\gamma)) \\ &= \varphi_H + \varepsilon (\varphi_*(\gamma) + \psi_*(\gamma)) = \varphi_H + \varepsilon ((\varphi \psi)_*(\gamma)) \end{aligned}$$

Compare coefficients of  $\varepsilon$ :  $\varphi_*(\gamma) + \psi_*(\gamma) = (\varphi \psi)_*(\gamma)$ .

Dually,  $(\varphi \psi)^* = \varphi^* + \psi^*$  as maps  $T_e^* H \rightarrow T_e^* G$ .

So if  $H = E_2$  and  $G = E_1$ , then  $e = 0$  and  $\circ = +$ , so we get

$$((\varphi + \psi)^* w)(o) = (\varphi^* w)(o) + (\psi^* w)(o), \text{ so } (\varphi^* w + \psi^* w) = (\varphi + \psi)^* w \text{ globally on } E_1.$$

Note that if we have  $E_1 \xrightarrow{\varphi} E_2 \xrightarrow{\psi} E_3$ , then  $(\psi \circ \varphi)^* w = \varphi^* \psi^* w$ , by general stuff about differentiation.

Lemma: On  $E$ ,  $[-1]^* w = -w$ .

Proof: Consider  $\varPhi: G \rightarrow G$ ,  $\varPhi(g) = g^{-1}$ , where  $G$  is an algebraic group.

What is  $\varPhi_*: T_e G \rightarrow T_{eG}$ ? Let  $\gamma \in T_e G$ , so  $1 + \varepsilon \gamma \in G(K[\varepsilon])$  ( $1 := e$ ).

$$g_j = 1 + \varepsilon \gamma \Rightarrow g_j^{-1} = 1 - \varepsilon \gamma. \text{ So } \varPhi(1 + \varepsilon \gamma) = 1 - \varepsilon \gamma = 1 + \varepsilon \varPhi_*(\gamma).$$

So  $\varPhi_*(\gamma) = -\gamma$ . Dually,  $\varPhi^*: T_e^* G \rightarrow T_e^* G$  is also  $-1$ .

$$\text{So } ([-1]^* w)(o) = (-w)(o). \text{ So } [-1]^* w = -w \text{ globally on } E.$$

Corollary:  $I-F$  is separable.

Proof:  $(I-F)^* w = (I)^* w - F^* w = w - F^* w$ .

If  $F \in K[E]$ , then  $F \circ F = F^2$ . So  $F^* df = q_f f^{q-1} df = 0$ . So  $F^*$  is 0 on rational differentials in  $E$ . So  $F^* w = 0$ , so  $(I-F)^* w = w$ .

So  $(I-F)_*$  is non-zero on tangent vectors (and so is an isomorphism on tangent spaces), i.e.  $I-F: E \rightarrow E$  is a morphism of curves that is  $\neq 0$  in tangent spaces. This is equivalent to the definition of separable.

Then by Riemann-Hurwitz,  $I-F \neq 0$  everywhere in tangent spaces, i.e.  $I-F$  is everywhere unramified.

### Dual Isogenies.

Suppose  $\varPhi: E_1 \rightarrow E_2$  a non-zero isogeny of elliptic curves over  $K$ . Say  $\deg \varPhi = m$ . Maybe  $\varPhi$  is inseparable (e.g.,  $K = \mathbb{F}_q$ ,  $\varPhi = \text{Frobenius}$ ).

But recall Galois theory:  $K(E_1)$  can be decomposed as:  $K(E_1) = \begin{cases} \text{purely inseparable} \\ \text{separable.} \end{cases}$

Then  $\varPhi$  can be factored:  $E_1 \xrightarrow{\alpha} E_3 \xrightarrow{\beta} E_2$ ,  $\alpha$  purely inseparable,  $\beta$  separable.

$\deg \varPhi = \deg \alpha + \deg \beta$ .  $E_3$  is an algebraic curve (in fact, elliptic).

[Follows from general theorems about algebraic curves. If  $X \rightarrow Y$  is a purely inseparable morphism of algebraic curves, then  $g(X) = g(Y)$ . If  $X$  is elliptic,

$g(X)=1$ , so  $g(Y)=1$ , so  $Y$  has a  $K$ -point  $f(o)$ . ( $g=\text{genus} = \# \text{ linearly independent global 1-forms}$ ). Any curve of genus 1 with a marked point  $P$  is naturally an elliptic curve with  $P$  as origin].

$\alpha, \beta$  are isogenies, as they take  $O$  to  $O$ .

Claim:  $\ker \Phi \subseteq E_1[m]$ , and have diagram  $E_1 \xrightarrow{[m]} E_1$

Proof: (i) Assume  $\Phi$  separable, and that  $m$  is prime to  $\text{char } K$ . Then over  $\bar{K}$ ,  $\Phi^{-1}(\bar{Q})$  consists of  $m$  distinct points. So  $\ker \Phi$  consists of  $m$  points. So  $mP=O \Leftrightarrow P \in \ker \Phi$ ,  $P$  defined over  $\bar{K}$ . So  $\ker \Phi \subseteq E_1[m]$ .

Have  $E_1 \xrightarrow{[m]} E_1$

$\Phi, [m]$  are separable morphisms, and so (by Riemann-Hurwitz) are everywhere unramified.

Given any isogeny  $\Phi: E_1 \rightarrow E_2$ ,  $\ker \Phi$  comes with multiplicities.  $\Phi, [m]$  are everywhere unramified, so the structures in  $\ker \Phi$  and  $\ker [m] = E_1[m]$  are just structures of finite abelian groups. Get  $H = E_1[m]/\ker \Phi \hookrightarrow E_2$ .  $H$  acts on  $E_2$  by translations.

General fact: given a finite group  $H$  of automorphisms of an algebraic variety  $X$ , there exists a quotient  $X/H$ , ie have morphism  $\pi: X \rightarrow X/H$  of varieties defined over  $K$ , whose fibres are the orbits.

Eg:  $E_1/E_1[m] \rightarrow E_1$ . ie,  $\pi = [m]: E_1 \rightarrow E_1$ .

(ii) Assume  $\Phi$  separable, and  $\text{char } K \mid m$ . Picture is the same, but  $[m]$  is inseparable (since  $[m]^* w = mw = 0$ ,  $w$  global 1-form on  $E_1$ ). Still have  $E_1[m]/\ker \Phi \hookrightarrow E_2$ , and then construct  $E_2 \rightarrow E_1$  as quotient by  $E_1[m]/\ker \Phi$ .

(iii)  $\Phi$  purely inseparable. Can break up  $\Phi$ , with  $E_1 \xrightarrow{\Phi_i} E'_i \rightarrow \dots \xrightarrow{\Phi_r} E_2$ , each  $\Phi_i$  purely inseparable, of degree  $p$ . (If  $K = \mathbb{F}_p$ , then  $\Phi_i = \text{Frobenius}: E_i \rightarrow E_i$ . In general, each  $\Phi_i$  is "some version of the Frobenius")

Claim: Have a diagram  $E_1 \xrightarrow{[p]} E_1$

$[p]^* w = 0$ , ie  $[p]^*$  kills all tangent vectors. But  $\Phi_i$  is universal wrt killing tangent vectors. (Given  $X$  over  $K$ ,  $\text{char } K = p$ ,  $\exists \Phi: X \rightarrow X'$ , morphism of varieties over  $K$ , universal wrt killing tangent vectors. If  $K = \mathbb{F}_p$ , then  $\Phi = \text{Frob.}$ ,  $\deg \Phi = p^{\dim X}$ ).

Piecing together (i), (ii), (iii), and second claim, we have proved the first claim.

Basic idea: prove  $\ker \Phi \subseteq E_1[m]$ , and construct  $E_2 \rightarrow E_1$  as quotient by  $E_1[m]/\ker \Phi$ .

Remark: Given isogeny  $\Phi: E_1 \rightarrow E_2$ ,  $\Phi \neq 0$ , get isogeny  $E_1/\ker \Phi \rightarrow E_2$ .

Given  $\Phi: E_1 \rightarrow E_2$ ,  $\deg \Phi = m$ . Constructed (in outline)  $\hat{\Phi}: E_2 \rightarrow E_1$  so that  $E_1 \xrightarrow{[m]} E_1$  is commutative.  $\hat{\Phi}$  is the dual isogeny.

$$\Phi \rightarrow_{E_2} \hat{\Phi}$$

Describe  $\Phi$  in terms of divisors. Have  $i_\alpha: E_\alpha \rightarrow \text{Pic}^0 E_\alpha$ , by  $P \mapsto [P] - [0]$ .

$\text{Div}^0 = \{\text{divisors of degree } 0\}$ .  $\text{Pic}^0 = \text{Div}^0 / (\text{linear equivalence})$ .

Have  $\sigma_\alpha: \text{Div}^0 E_\alpha \rightarrow E_\alpha$ ;  $\sum n_p [P] \mapsto \sum [n_p] P$ .

Exercise:  $\sigma_\alpha$  factors through  $\text{Pic}^0 E_\alpha$  so that  $E_\alpha \xleftarrow[\sigma_\alpha]{i_\alpha} \text{Pic}^0 E_\alpha$  are inverse. (Abel-Jacobi).

Claim:  $\hat{\Phi}$  is the composite  $\psi: E_2 \xrightarrow{i_2} \text{Pic}^0 E_2 \xrightarrow{\Phi^*} \text{Pic}^0 E_1 \xrightarrow{i_1} E_1$ .

Proof:  $\hat{\Phi}, \psi$  are defined over  $K$ . To show they are equal it's enough to assume  $K = \bar{K}$ .

Let  $Q \in E_2(\bar{K})$ .  $\psi(Q) = 0$ ,  $\Phi^*([Q] - [0]) = 0$ ,  $(\sum_{P \in \Phi^{-1}(Q)} e_{\Phi}(P) [P] - \sum_{T \in \ker \Phi} e_{\Phi}(T) [T])$ ,

where  $e_{\Phi}(P)$  = ramification index of  $\Phi$  at  $P$ .

Since  $\Phi$  is a map of elliptic curves,  $e_{\Phi}(P) = \deg_i \Phi$ , degree of inseparability of  $\Phi$ . So  $m = \deg \Phi = \deg_i \Phi \cdot \#(\ker \Phi)$ .

Fix  $P_i \in \Phi^{-1}(Q)$ . Get:  $\psi(Q) = [\deg_i \Phi] \left( \sum_{P \in \Phi^{-1}(Q)} P - \sum_{T \in \ker \Phi} T \right)$

$$= [\deg_i \Phi] \left( \sum_T (P_i + T) - \sum_T T \right) = [\deg_i \Phi] \cdot [\# \ker \Phi] P_i = [m] P_i$$

$\Phi(P_i) = Q$ . So  $[m] P_i = \psi(Q) = \psi(\Phi(P_i))$ , and  $\hat{\Phi}(\Phi(P_i)) = [m] P_i$ , both  $\forall P_i \in E_1(K)$ .

$\therefore \psi = \hat{\Phi} \circ \Phi$ , so  $(\psi - \hat{\Phi}) \circ \Phi = 0$ . But  $\Phi \neq 0$ , so  $\psi = \hat{\Phi}$ .

Theorem:  $(\Phi + \psi)^* = \hat{\Phi} + \hat{\psi}$   $\forall \Phi, \psi: E_1 \rightarrow E_2$ .

Proof:  $E_\alpha \xrightarrow{i_\alpha} \text{Pic}^0 E_\alpha$ , isomorphically, via  $i_1, i_2, \hat{\Phi}, \hat{\psi}$ , corresponding to  $\Phi^*, \psi^*$ .

So need  $(\Phi + \psi)^* = \Phi^* + \psi^*$ .

$E_1 \rightarrow E_2 \times E_2 \times E_2$ ,  $(\Phi + \psi, -\Phi, -\psi)$ .

Cube:  $\forall D$ ,  $[0]^* D + (\Phi + \psi)^* D + \Phi^*[-1]^* D + \psi^*[-1]^* D \sim \psi^* D + \Phi^* D + (\Phi + \psi)^*[-1]^* D$ .

Claim:  $D \in \text{Pic}^0 \Rightarrow D$  is skew-symmetric, ie  $[-1]^* D \sim -D$

Proof: Exercise.

Given this claim, get  $2(\Phi + \psi)^* D \sim 2\Phi^* D + 2\psi^* D$ , so  $2(\Phi + \psi)^* = 2(\Phi^* + \psi^*)$

Cancel 2 as before.

Note: In the diagram,  $E_1 \xrightarrow{[m]} E_2$ ,  $\ker \Phi$  is a "finite group scheme over  $K$ ".

$\ker[m] = E[m]$ . Over  $\mathbb{Q}$ ,  $E[m]$  has degree  $m^2$ , but may not have all its points defined over  $\mathbb{Q}$ .

We showed that under  $E \cong \text{Pic}^0 E$ ,  $\hat{\Phi}$  is just  $\Phi^*$ .  
 $P \mapsto [P] - [0]$

Complete proof of proposition:  $D \sim [z] - [0]$  where  $z \in E$ . We want to find  $x$  such that  $[x] - [-x] \sim [z] - [0]$ . Recall  $[P+Q] + [0] \sim [P] + [Q]$ . We want  $[x] + [0] = [-x] + [z]$ , ie  $x = z - x$ . Choose any  $x$  such that  $2x = z$ . This is possible since 2 is surjective. This proves the claim.

Thus  $2(\Phi + \psi)^* = 2\Phi^* + 2\psi^*$ , ie  $2((\Phi + \psi)^* - \Phi^* - \psi^*)$  kills  $\text{Pic}^0 E_2$ . So  $2((\hat{\Phi} + \hat{\psi}) - \hat{\Phi} - \hat{\psi}) = 0$  is an isogeny  $E_2 \rightarrow E_1$ . But  $\text{Hom}(E_2, E_1)$  is a torsion-free  $\mathbb{Z}$ -module.

Tate modules: Fix a prime  $l$ . There is a sequence of  $\mathbb{Z}$ -modules  
 $\dots \rightarrow \mathbb{Z}/l^3\mathbb{Z} \rightarrow \mathbb{Z}/l^2\mathbb{Z} \rightarrow \mathbb{Z}/l\mathbb{Z} \rightarrow 0.$

Proposition ("l-adic integers"): There is a ring  $\mathbb{Z}_l$  such that  $\mathbb{Z}_l$  is universal for rings  $R$  with homomorphisms  $R \xrightarrow{\varphi_l} \mathbb{Z}/l^2\mathbb{Z}$  such that  $R \xrightarrow{\varphi_{lm}} \mathbb{Z}/l^m\mathbb{Z}$  commutes  $\forall m, n$ .

$$\begin{array}{ccc} & & \downarrow \\ \varphi_l & \searrow & \downarrow \\ & & \mathbb{Z}/l^m\mathbb{Z} \end{array}$$

$\mathbb{Z}_l$  is a DVR with maximal ideal  $(l) = l\mathbb{Z}_l$  and  $\mathbb{Z} \hookrightarrow \mathbb{Z}_{(l)} \hookrightarrow \mathbb{Z}_l$ . Moreover,  $\mathbb{Z}/l^n\mathbb{Z} \xrightarrow{\cong} \mathbb{Z}_{(l)}/l^n\mathbb{Z}_{(l)} \xrightarrow{\cong} \mathbb{Z}_l/l^n\mathbb{Z}_l$  and  $\mathbb{Z}_l$  is uncountable.

Hensel's Lemma: Suppose  $f \in \mathbb{Z}_l[x]$  is monic and that  $f$  has a solution modulo  $l$ . Then  $f$  has a solution in  $\mathbb{Z}_l$ . (false for  $\mathbb{Z}$  or  $\mathbb{Z}_{(l)}$ ).

Starting from an f.g.  $\mathbb{Z}$ -module  $M$  we can form  $\dots \rightarrow M/l^3M \rightarrow M/l^2M \rightarrow M/lM \rightarrow 0$ , and construct  $M_l$ , the  $l$ -adic completion of  $M$ . There are  $\mathbb{Z}_l$ -modules not arising naturally from a  $\mathbb{Z}$ -module.

Example: Let  $E$  be an elliptic curve over  $K$ . ( $K = \bar{\mathbb{F}}$ ). Suppose  $l \notin \text{char } K$ . Then  $E[l] \cong (\mathbb{Z}/l\mathbb{Z})^2$ ,  $E[l^n] \cong (\mathbb{Z}/l^n\mathbb{Z})^2$ , and  $[i]: E[l^{n+1}] \rightarrow E[l^n]$  is surjective.

We get a sequence  $\dots \rightarrow E[l^3] \rightarrow E[l^2] \rightarrow E[l] \rightarrow 0$ , giving the  $l$ -adic Tate module  $T_l(E)$  of  $E$  such that

$$(i) T_l(E) \cong \mathbb{Z}_l^2$$

(ii) There is a natural map  $\pi_n: T_l(E) \rightarrow E[l^n]$  with  $\ker \pi_n = l^n T_l(E)$ , and  $T_l(E) \xrightarrow{\pi_m} E[l^m]$

$$\begin{array}{ccc} \pi_n & \searrow & \downarrow [l^{m-n}] \\ & & E[l^m] \end{array}$$

, for  $m \geq n$  is commutative.

But there is no  $\mathbb{Z}$ -module  $M \cong \mathbb{Z}^2$  giving rise to this naturally.

Aim: To prove  $\text{Hom}(E_1, E_2)$  is f.g. of rank  $\leq 4$  (then = isogeny).

Theorem: The natural map  $\text{Hom}(E_1, E_2) \otimes_{\mathbb{Z}} \mathbb{Z}_l \rightarrow \text{Hom}_{\mathbb{Z}_l}(T_l E_1, T_l E_2)$  is injective.

Proof: Any isogeny  $E_1 \xrightarrow{\varphi} E_2$  induces a homomorphism  $E_1[l^n] \xrightarrow{\varphi_n} E_2[l^n]$  compatible with multiplication by  $l$ .

$$\begin{array}{ccc} E_1[l^{n+1}] & \xrightarrow{\varphi_{n+1}} & E_2[l^{n+1}] \\ \downarrow [l] & & \downarrow \\ E_1[l^n] & \xrightarrow{\varphi_n} & E_2[l^n] \\ \vdots & & \vdots \\ \underbrace{T_l E_1 \text{ sits on}}_{\text{top of this column}} & & \underbrace{T_l E_2 \text{ on}}_{\text{this.}} \end{array}$$

Thus there is a map  $\text{Hom}(E_1, E_2) \rightarrow \text{Hom}_{\mathbb{Z}_l}(T_l E_1, T_l E_2)$  which is  $\mathbb{Z}$ -linear,

but which naturally extends to a  $\mathbb{Z}_l$ -linear map,

$$\text{Hom}(E_1, E_2) \otimes_{\mathbb{Z}} \mathbb{Z}_l \rightarrow \text{Hom}_{\mathbb{Z}_l}(T_l E_1, T_l E_2)$$

Suppose  $\varphi_i = 0$ . Then  $\varphi = \sum a_i \varphi_i$ , where  $a_i \in \mathbb{Z}_l$  and  $\varphi \in \text{Hom}(E_1, E_2)$

Recall  $\mathbb{Z}/(l^n) \xrightarrow{\cong} \mathbb{Z}_l/(l^n)$ , so any  $a \in \mathbb{Z}_l$  can be approximated modulo  $l^n$  by  $x \in \mathbb{Z}$ .

Choose  $x_i \in \mathbb{Z}$  such that  $x_i \equiv a_i \pmod{l^n}$  (continued later).

Note:  $E[l^n]$  is a f.g.  $\mathbb{Z}/(l^n)$ -module,  $\cong \mathbb{Z}/(l^n) \oplus \mathbb{Z}/(l^n)$ . So  $E[l^n]$  is naturally a  $\mathbb{Z}_l$ -module.

$$x_i|_{E[l^n]} = [x_i]|_{E[l^n]}$$

$$\text{Put } \psi = \sum [x_i] \varphi = \sum x_i \varphi_i. \quad \varphi_i = 0, \text{ so } \sum a_i \varphi_i|_{E[l^n]} = 0.$$

So  $\psi|_{E[l^n]} = 0$  ( $\psi$  approximates  $\varphi \pmod{l^n}$ ).  $\psi$  kills  $E[l^n]$ , so we have a commutative diagram:

$$\begin{array}{ccc} E & \xrightarrow{\psi} & E_2 \\ l^n \downarrow & \nearrow e & \nearrow x \end{array}$$

Lemma (proved later): For any subgroup  $M \subseteq \text{Hom}(E_1, E_2)$  define

$$M^{\text{div}} = \{ \gamma: E_1 \rightarrow E_2 : n\gamma \in M, \text{ some } n \in \mathbb{Z} \}.$$

Then  $(M^{\text{div}})^{\text{div}} = M^{\text{div}}$ , and if  $M$  is f.g., so is  $M^{\text{div}}$ .

Back to proof of Theorem: Apply lemma with  $M$  generated by  $\varphi_1, \dots, \varphi_r$ . Then  $\psi \in M^{\text{div}}$ , and  $\psi = l^n X$ , so  $X \in M^{\text{div}}$ .

Wlog  $M = M^{\text{div}}$ . (by enlarging the generating set) and  $\{\varphi_1, \dots, \varphi_r\}$  is a  $\mathbb{Z}$ -basis.

Then  $X = \sum \beta_i \varphi_i$  for certain  $\beta_i \in \mathbb{Z}$ , so  $x_i = l^n \beta_i \pmod{l^n}$ .

Since  $n$  was arbitrary,  $x_i = 0$  ( $\mathbb{Z}_l$  is a PID). Hence  $\psi = 0$ .

Proof of Lemma:  $\text{deg}_l$  is a positive definite quadratic form  $\text{Hom}(E_1, E_2) \rightarrow \mathbb{Z}$ .

Let  $M \subseteq \text{Hom}(E_1, E_2)$  be a f.g.  $\mathbb{Z}$ -module.  $\text{deg}_l$  extends to  $\text{deg}: M \otimes \mathbb{R} \rightarrow \mathbb{R}$  and is still a positive definite quadratic form, so is continuous, on  $M \otimes \mathbb{R}$ .

$$M \subseteq M^{\text{div}} \subseteq M \otimes \mathbb{Q} \subseteq M \otimes \mathbb{R}$$

$$\overset{\text{f.g.}}{\text{Hom}}(E_1, E_2)$$

$\text{deg}_l X$  is in  $\mathbb{Z} + x \in M^{\text{div}}$ , so  $l^n M^{\text{div}} = \{0\}$ , so  $M^{\text{div}}$  is a lattice in  $M \otimes \mathbb{R}$ , so has a finite  $\mathbb{Z}$ -basis.

Corollary:  $\text{Hom}(E_1, E_2)$  is a f.g.  $\mathbb{Z}$ -module of rank  $\leq 4$ .

Proof: Let  $H = \text{Hom}(E_1, E_2)$  - torsion free. If the result is false then there are  $x_1, \dots, x_5 \in H$ , linearly independent. Then  $H \otimes \mathbb{Z} \rightarrow \text{Hom}(T_l E_1, T_l E_2)$  is not an injection  $\Rightarrow$ .

Corollary:  $\text{Hom}(E, E)$  is a ring and an f.g.  $\mathbb{Z}$ -module of rank  $\leq 4$ .

Exercise: Show that if  $K = \mathbb{C}$  then  $\text{rank}_{\mathbb{Z}} \text{Hom}(E, E) \leq 2$ .

(Rank 4 does occur in char  $p > 0$ ).

### Weil Pairing.

Let  $E$  be an elliptic curve over  $K$ . Recall  $\mu_m(K) = \{ \lambda \in \bar{K} : \lambda^m = 1 \}$

Theorem: There is a pairing  $e_m : E[m] \times E[m] \rightarrow \mu_m(\bar{K})$  such that

- (i)  $e_m$  is  $\mathbb{Z}$ -bilinear,
- (ii)  $e_m$  is skew-symmetric
- (iii)  $e_m$  is non-degenerate
- (iv)  $(e_m(nP, nQ))^n = e_m(P, Q)$
- (v) if  $\varphi : E_1 \rightarrow E_2$  is an isogeny then  $e_m(\varphi P, Q) = e_m(P, \varphi Q)$ .  $\hat{\varphi}$  is the adjoint.
- (vi) taking limits, there is a pairing  $e : T_0 E \times T_0 E \rightarrow T_0(\mu_{\bar{K}})$ , ( $\cong \bar{\mathbb{Z}}$ ), which is non-degenerate,  $\mathbb{Z}$ -bilinear, skew-symmetric.
- (vii)  $e_m$  and  $e$  are equivalent wrt  $\text{Gal}(\bar{K}:K)$ . If  $\sigma \in \text{Gal}(\bar{K}:K)$ , then  $e_m(\sigma P, \sigma Q) = \sigma e_m(P, Q)$
- (viii) if  $\varphi : E \rightarrow E$  is an isogeny then  $\varphi_* : T_0 E \rightarrow T_0 E$  has a determinant  $e(\varphi_*(x), \varphi_*(y)) = e(x, y)^{\det \varphi_*}$ , and  $\det \varphi_* = \deg \varphi$ .

(a) Construction of  $e_m$ : use Weil Reciprocity.

Proposition: Let  $X$  be a smooth projective algebraic curve over  $K$  and  $f, g \in K(X)^*$ .

let  $\text{supp } f = \{ \text{zeroes and poles of } f \}$  and assume  $\text{supp } f \cap \text{supp } g = \emptyset$ .

Say  $(f) = \sum n_p P$ ,  $(g) = \sum m_q Q$ . Define  $f((g)) = \prod f(Q)^{m_q} \in K^*$ .

Then  $f((g)) = g((f))$ .

Proof: (Wlog  $K = \bar{K}$ ). Step (i): if  $X = \mathbb{P}^1$ , the result is trivial.

Step (ii): Use that for  $n$  sufficiently large  $\exists$  a separable morphism  $X \xrightarrow{\varphi_n} \mathbb{P}^1$  of degree  $n$ . (In fact, by R-R,  $n \geq 2g+1$  will do, where  $g = g(X)$ . Any divisor class of degree  $n \geq 2g+1$  corresponds to  $X \hookrightarrow \mathbb{P}^N$  of degree  $n$ . Construct  $\varphi_n$  as a projection from some general linear space of dimension  $N-2$ )

If  $p \in E[m]$ , then  $mP = 0$ , ie  $m[P] - m[0] \sim 0$ . ie  $\exists F = f_p \in \bar{K}(E)^*$  with  $(F) = m([P] - [0])$ .

Let  $D = [P] - [0]$ .

Recall:  $\deg D = 0$ , so  $D$  is antisymmetric in  $\text{Pic}^0 E$ , so  $(m)^* D \sim mD \sim 0$ .

So  $\exists g = g_p \in \bar{K}(E)^*$  such that  $(g) = [m]^* D$ . So  $(g^m) = m \cdot [m]^* D = [m]^*(mD) = [m]^*(F) = (F \circ [m])$ . So  $g^m = \lambda F \circ [m]$ ,  $\lambda \in \bar{K}^*$  (global functions on projective varieties are constant). Replacing  $F$  by  $\lambda F$ , we may assume  $\lambda = 1$ . So  $g^m = F \circ [m]$ .

Let  $Q \in E[m]$ ,  $X \in E$ , arbitrary. Then  $g(X+Q)^m = F \circ [m](X+Q) = F(mX + mQ) = F(mx)$   $= g(X)^m$ .

Then  $\frac{g(X+Q)}{g(X)} \in \bar{K}(E)$ , and takes values in  $\mu_m$ . So on some open piece  $U$  of  $E$ ,  $x \mapsto \frac{g(X+Q)}{g(X)}$  is a morphism  $U \rightarrow \mu_m \subseteq \bar{K} = A'_E$ . But  $\mu_m$  is finite and  $U$  is connected, so this morphism is constant (ie, independent of  $X$ ).

So define  $e(Q, P) = \frac{g(X+Q)}{g(X)} \in \mu_m$ .

(b)  $e_m$  is bilinear.

$$e_m(P_1 + P_2, Q) = \frac{g_Q(x+P_1+P_2)}{g_Q(x)} = \frac{g_Q((x+P_1)+P_2)}{g_Q(x+P_1)} \cdot \frac{g_Q(x+P_1)}{g_Q(x)} = e_m(P_2, Q) \cdot e_m(P_1, Q).$$

So  $e_m$  is bilinear in the first term.

Consider  $e_m(S, P_1 + P_2)$ . Put  $P_3 = P_1 + P_2$ . i.e.  $[P_3] + [0] \sim [P_1] + [P_2]$ .

So  $\exists h \in \bar{K}(E)^*$  with  $[h] = [P_3] + [0] - [P_1] - [P_2]$ . Let  $f_i = f_{P_i}$ ,  $g_i = g_{P_i}$ .

$$\text{Then, } \frac{f_3}{f_1 f_2} = m[P_3] - m[0] - m[P_1] + m[0] - m[P_2] + m[0] = m(h).$$

So  $f_3 = \lambda f_1 f_2 h^m$ ,  $\lambda \in \bar{K}^*$ . So  $f_3 \circ [m] = \lambda (f_1 \circ [m])(f_2 \circ [m])(h \circ [m])^m$ .

So  $g_3^m = \lambda g_1^m g_2^m (h \circ [m])^m$ , so  $g_3 = \nu g_1 g_2 h \circ [m]$ ,  $\nu \in \bar{K}^*$ .

$$\begin{aligned} \text{So } e(S, P_1 + P_2) &= e(S, P_3) = \frac{g_3(x+s)}{g_3(x)} = \frac{\nu g_1(x+s) g_2(x+s) h(m(x+y))}{\nu g_1(x) g_2(x) h(mx)} \\ &= e(s, P_1) e(s, P_2) \end{aligned}$$

(c) Skew-symmetry.

Axiom:  $e_m(P, P) = 1$ .

Let  $(f) = m[P] - [0]$ . For  $z \in E$ , define  $\tau_z(y+z) = \text{"translation by } z\text{"}$ .  $\tau_z: E \rightarrow E$  is an isomorphism of varieties, but not an isogeny ( $0 \mapsto 0$ ), if  $z \neq 0$ .

$$(f \circ \tau_{ip}) = m[P - (P)] - m[0 - (P)] = m[(1-i)P] - m[(-i)P].$$

So  $\prod_{i=0}^{m-1} f \circ \tau_{ip} = m \sum_i ((1-i)P) - ((-i)P) = 0$ , by inspection. So  $\prod f \circ \tau_{ip}$  is constant.

Choose  $P'$  such that  $mP' = P$ .

$$\text{Then } (g \circ \tau_{ip})^m(z) = g(z+(ip))^m = f(mz+ip) = f \circ \tau_{ip} \circ [m](z).$$

So  $\prod_{i=0}^{m-1} (g \circ \tau_{ip})^m$  is constant, so  $\prod (g \circ \tau_{ip})$  is constant.

$$\text{So } \prod (g \circ \tau_{ip})(x) = \prod g \circ \tau_{ip}(x+P'). \text{ So } \prod (g \circ \tau_{ip})(x) = \prod g \circ \tau_{(i+1)p}(x).$$

Cancel terms:  $g(x) = g \circ \tau_{mp}(x) = g(x+P)$ .

$$\text{So } e(P, P) = \frac{g(x+P)}{g(x)} = 1, \text{ so } e \text{ is skew-symmetric.}$$

(d) Non-degeneracy.

Suppose  $e(P, Q) = 1 \quad \forall P \in E[m]$ .  $f = f_Q$ ,  $g = g_Q$ , such that  $(f) = m([Q] - [0])$ ,  $g^m = f \circ [m]$ .  $\frac{g(x+P)}{g(x)} = 1 \quad \forall P \in E[m]$ , so  $g(x+P) = g(x) \quad \forall P \in E[m]$ .

i.e.,  $g$  is invariant under translation action of  $E[m]$  on  $E$ .

$$\begin{array}{ccc} E & \xrightarrow{\pi} & \overbrace{E}^{[m]} \\ g \downarrow & \swarrow h & g = h \circ \pi \\ E' & & E/E[m] \end{array}$$

So  $g = h \circ [m]$ , some  $h$ . So  $h^m \circ [m] = g^m = f \circ [m]$ . So  $f = h^m$ .

So  $m(h) = (f) = m([Q] - [0])$ . This is an equality of divisors, not just divisor classes. So  $[h] = [Q] - [0]$

So  $\mathbb{P}Q \neq 0 \Rightarrow h: E \rightarrow E'$  is a morphism of degree 1, i.e. an isomorphism  $\star$ . So  $Q = 0$ .

So  $e_m$  is non-degenerate.

### (e) Adjoints

Suppose  $\varphi: E_1 \rightarrow E_2$  is an isogeny,  $P \in E_1[m]$ ,  $Q \in E_2[m]$ . Then  $e_m(P, Q) = e_m(P, \hat{\varphi}Q)$

Proof: Via  $E_i \xrightarrow{\cong} \text{Pic}^0 E_i$ ,  $P \mapsto [P] - [0]$ ,  $\hat{\varphi} = \varphi^*$  i.e.,  $[\hat{\varphi}Q] - [0] \sim \varphi^*([Q] - [0])$  on  $E_1$ .

So  $\exists h \in \bar{K}(E_1)^*$  such that  $(h) = \varphi^*[Q] - \varphi^*[0] - [\hat{\varphi}Q] + [0]$ .  $\quad \text{--- (*)}$ .

Have  $f = f_Q$ ,  $g = g_Q \in \bar{K}(E_2)^*$  with  $(f) = m([Q] - [0])$ ,  $g^m = f \cdot [m]$ .

$e(\varphi P, Q) = \frac{g(X+P)}{g(X)}$ , any  $X \in E_2$ .

Consider  $\left(\frac{f \cdot \varphi}{h^m}\right) = (f \cdot \varphi) - m(h) = \varphi^*(f) - m(h) = \varphi^*m([Q] - [0]) - m(h)$ .

$= m(\varphi^*[Q] - \varphi^*[0] - (h)) = m([\hat{\varphi}Q] - [0])$ , by  $(*)$

This is an equality of divisors, so  $\frac{f \cdot \varphi}{h^m} = f_{\hat{\varphi}Q}$

$$\text{Also, } \left(\frac{g \cdot \varphi}{h \cdot [m]}\right)^m = \frac{g^m \cdot \varphi}{h^m \cdot [m]} = \frac{f \cdot [m] \cdot \varphi}{h^m \cdot [m]} = \frac{f \cdot \varphi}{h^m} [m] = f_{\hat{\varphi}Q} [m]$$

$$\text{So, } g_{\hat{\varphi}Q} = \frac{g \cdot \varphi}{h \cdot [m]}$$

$$\text{So, } e(P, \hat{\varphi}Q) = \frac{g_{\hat{\varphi}Q}(X+P)}{g_{\hat{\varphi}Q}(X)} = \left( \frac{g \cdot \varphi(X+P)}{h[m](X+P)} \right) / \left( \frac{g \cdot \varphi(X)}{h[m](X)} \right) = \frac{g(\varphi X + \varphi P) / h(mx+mp)}{g(\varphi X) / h(mx)}$$

$$= \frac{g(\varphi X, \varphi P)}{g(\varphi X)} = e(\varphi P, Q).$$

Also,  $\forall \sigma \in \text{Gal}(\bar{K}/K)$ ,  $\sigma e_m(P, Q) = e_m(\sigma(P), \sigma(Q))$  - easy.

And,  $(e_m(P, Q))^n = e_m(nP, nQ)$  - easy.

Fix prime  $l \neq \text{char } K$ . Then the  $e_{ln}$  fit together to give

$e: T_l(E) \times T_l(E) \rightarrow T_l(\mu) \xrightarrow{\cong} \mathbb{Z}_l$ .  $e$  is bilinear, skew-symmetric, non-degenerate, and  $\text{Gal}(\bar{K}/K)$  equivariant.

And, if  $\varphi: E_1 \rightarrow E_2$  is an isogeny, then  $e(\varphi, x, y) = e(x, \hat{\varphi}y)$   $\forall x \in T_l(E_1)$

And, if  $\varphi: E \rightarrow E$  is an isogeny, then  $\deg \varphi = \det(\varphi_L) \quad \text{--- (*)}$ .

~~Let  $K = \mathbb{F}_q$ .  $f = \text{Frob} = \text{Frob}_q$ . Elliptic curve  $E$  over  $\mathbb{F}_q$ .  $F: E \rightarrow E$ ,  $\deg F = q$~~

Proof of (\*): Say  $\deg \varphi = m$ . Then  $\varphi \hat{\varphi} = [m]$ . So  $e(x, y)^m = e(mx, y)$   
 $= e(\hat{\varphi}, \varphi, x, y) = e(\varphi, x, \varphi, y)$  by adjointness.

Wrt some basis of  $T_l$ ,  $\varphi_L$  has matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ . Then by bilinearity  
and skew-symmetry,  $e(\varphi, x, \varphi, y) = e(x, y) \det \varphi_L$ . so  $m = \det \varphi_L$ .

Know:  $\#E(\mathbb{F}_q) = 1 - a_q + q$ ,  $|a_q| \leq 2\sqrt{q}$ .

$m, n \in \mathbb{Z}$ .  $\deg \left(\frac{m}{n} - F\right) = \frac{1}{n^2} \deg(m-nF) \geq 0$ . So  $\det \left(\frac{m}{n} - \varphi\right) \geq 0$

Lemma:  $a_q = \text{Tr}(\varphi_L)$

Proof: Know that  $\det \varphi = \deg F = q$ .  $\det(1-\varphi) = \deg(1-F) = \#E(\mathbb{F}_q) = 1 - a_q + q$ .

Fact about  $2 \times 2$  matrices:  $\det \varphi - \det(1-\varphi) = \text{Tr} \varphi - 1$ . So  $\text{Tr} \varphi = a_q$ .

So, # points on  $E$  fixed by  $F = 1 - \text{Tr } \varphi + \det \varphi$ .

So can think of  $T_1(E) \cong H_1(E, \mathbb{Z}_\ell)$  "approximately"

$$\mathbb{Z}_\ell \cong \Lambda^2 T_1(E) \cong H_2(E, \mathbb{Z}_\ell)$$

So we have a Lefschetz fixed point formula, for counting the number of points on  $E(\mathbb{F}_\ell)$ .

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